

Distribution limited

WHC-96/CONF.201/14C  
Paris, 7 November 1996  
Original : English/French

**UNITED NATIONS EDUCATIONAL,  
SCIENTIFIC AND CULTURAL ORGANIZATION**

**CONVENTION CONCERNING THE PROTECTION OF THE  
WORLD CULTURAL AND NATURAL HERITAGE**

**WORLD HERITAGE COMMITTEE  
Twentieth session**

**Mérida, Yucatan, Mexico  
2-7 December 1996**

**Item 13 of the Provisional Agenda: Examination of the World Heritage Fund and Approval of the Budget for 1997, and Presentation of a Provisional Budget for 1998**

**C) Proposed workplan and budget for 1997, and provisional budget for 1998**

**SUMMARY**

The Financial Regulations for the World Heritage Fund (WHC/7) state that the resources of the Fund may be used only for such purposes as the World Heritage Committee define and that expenditure shall be made within the limits of funds available.

The Director of the World Heritage Centre presents herewith the proposed budget of the World Heritage Fund for 1997 and the indicative budget for 1998.

The Committee is requested to authorize that expenditure can take the form of the proposed budget for 1997 and the indicative budget for 1998.

## Introduction

1. In accordance with Article 4.1 of the Financial Regulations for the World Heritage Fund (WHC/7), the resources of the Fund may be used only for such purposes as the World Heritage Committee shall define and that expenditure shall be made within the limits of funds available.
2. After reviewing the financial situation as of 31 August 1996 (Annex I to Document WHC-96/CONF.201.14A) and taking into account comments made by some members of the Bureau at its twentieth session in June 1996, the Secretariat proposes for 1997 an increase of 10 per cent compared to the budget for 1996. This represents an increase in 1997 of 25 per cent over the 1996 budget adjusted to exclude Support to the World Heritage Secretariat (US \$ 3,000,000 less US \$ 360,000).
3. The proposed budget for the World Heritage Fund for 1997 consequently amounts to US \$ 3,300,000.
4. In addition, the Secretariat proposes that the Reserve Fund, to meet requests for assistance resulting from disasters or natural calamities, be replenished to US \$ 500,000 as at 1 January 1997.
5. In considering these proposals, the Committee may wish to note that the assessed compulsory contributions for 1997 will be some US \$ 2 million and that the voluntary contributions have historically been around US \$ 1 million per year. The implementation of this budget would therefore reduce reserves by some US \$ 300,000, excluding the replenishment to the Reserve Fund.
6. To continue this process of reducing operating reserves over the next few years in a well managed and financially prudent way, the Secretariat proposes that the indicative budget for 1998 should be US \$ 3,600,000.
7. With regards the Contingency Reserve, the Committee will recall that this was created for an amount of US \$ 2 million by a decision taken at its seventeenth session (Cartagena, 1993). The Secretariat considers that in view of serious arrears in the compulsory contributions to the World Heritage Fund by States Parties, which totalled US \$ 2.7 million at 31 August 1996, of which some US \$ 2 million related to prior years, and that the proposed budget for 1997 exceeds expected income for that year and the consequent reduction in operating reserves, that it would be prudent to maintain the Contingency Reserve at its established level.
8. The Secretariat concurs with the view of some members of the Bureau that reserves should be reduced, but considers that this should be met over a period of time through a reduction in Operating Reserves.

### Synoptic Overview of the Budget

9. The proposed budget, which has been divided into five chapters that are described in greater detail in the following paragraphs, (and are presented as the "Workplan for the implementation of the World Heritage Convention for the biennium 1997-1998, Document WHC-96/CONF.201/INF.19) may be summarized as follows :

		US\$		
		Approved	Proposed	Indicative
		1996	1997	1998
Chapter I	Overall servicing/functioning of the World Heritage Convention	440 000	120 000	80 000
Chapter II	Establishment of World Heritage List	592 000	672 000	672 000
Chapter III	Technical implementation of World Heritage Convention	1 410 000	1 830 000	1 830 000
Chapter IV	Monitoring and reporting on the state conservation of World Heritage sites	260 000	280 000	280 000
Chapter V	World Heritage documentation, information and education programme for the 25th Anniversary	298 000	398 000	308 000
	Balance to be included in 1998 proposed budget when presented to the twenty-first session of the Committee			430 000
	<b>TOTAL WHF</b>	<b>3 000 000</b>	<b>3 300 000</b>	<b>3 600 000</b>

10. For the information of the Committee, the resources made available to the World Heritage Centre from UNESCO's Regular Programme for 1996/1997 and from other sources are also shown under each of the following chapter headings.

1. **Chapter I - Overall servicing/functioning of the World Heritage Convention**

WORLD HERITAGE FUND	US\$		
	Approved	Proposed	Indicative
	1996	1997	1998
Attendance of experts in statutory WH meetings	80 000	80 000	80 000
Support to WH Secretariat	360 000	0	0
Overall evaluation of 25 years	0	40 000	0
<b>Total WHF</b>	<b>440 000</b>	<b>120 000</b>	<b>80 000</b>

UNESCO REGULAR PROGRAMME	US \$	
	Approved (28C5)	
	1997	
Support Costs including assistance to statutory meetings	265 550	
Staff Costs	1 321 600	

OTHER SOURCES	US \$	
	1997	
Secondments & Associate experts (2 posts at HQS & 1 post decentralized UNESCO BGK)	378 000	

World Heritage Fund

12. It is proposed to keep the same level of funding for 1997 as for 1996, for assistance to States Parties to attend statutory meetings. However, personnel support costs of the Secretariat of the Convention will be entirely absorbed by Organization's funds, thus permitting a reduction of the funds for this Chapter which will decrease from US\$ 440,000 in 1996 to US\$ 120,000 in 1997, as US\$ 40,000 will be allocated towards an evaluation of the functioning of the Convention on the occasion of its 25th anniversary and to thereafter reduce this Chapter to US\$ 80,000 in 1998.

UNESCO Regular Programme

13. This Chapter covers in particular a contribution towards the costs for the organization of statutory meetings and the general functioning of the World Heritage Centre. In 1997, an amount of US\$ 88,000 will be foreseen to cover the costs of two secretarial posts; these costs should be absorbed in 1998 by the personnel costs of the Organization.

Other resources

14. This explicitly concerns secondment of personnel and, in fact, three associate experts whose posts will terminate at the end of December 1997.

15. Chapter II - Establishment of the World Heritage List

WORLD HERITAGE FUND	US\$		
	Approved	Proposed	Indicative
	1996	1997	1998
Advisory Services:			
ICOMOS	310 000	350 000	350 000
IUCN	190 000	220 000	220 000
WCMC	22 000	32 000	32 000
Sub-Total Total Advisory Services	522 000	602 000	602 000
Global Strategy	70 000	70 000	70 000
<b>Total WHF</b>	<b>592 000</b>	<b>672 000</b>	<b>672 000</b>

UNESCO REGULAR PROGRAMME	US \$	
	Approved (28C5)	
	1997	
	26 000	

World Heritage Fund

16. The funds allocated for the establishment of the Global Strategy remain unchanged in 1997 and 1998. However, funds for the advisory services of ICOMOS, IUCN and WCMC will be increased respectively by US\$ 40,000, US\$ 30,000 and US\$ 10,000. This increase has been necessary due to the growing number of World Heritage nominations.

UNESCO Regular Programme

17. An amount of US\$ 26,000, of which US\$ 10,000 will be decentralized to Field Offices, is foreseen for 1997. This amount will be used to cover mission costs of personnel and consultants.

18. **Chapter III - Technical Implementation of the World Heritage Convention**

WORLD HERITAGE FUND	US\$		
	Approved	Proposed	Indicative
	1996	1997	1998
Preparatory assistance	175 000	240 000	240 000
Technical cooperation	685 000	900 000	900 000
Training	550 000	690 000	690 000
<b>TOTAL WHF</b>	<b>1 410 000</b>	<b>1 830 000</b>	<b>1 830 000</b>

UNESCO REGULAR PROGRAMME	US \$	
	Approved (28C5)	
	1997	
	61 000	

World Heritage Fund

19. The budgetary funding for this Chapter for 1997 and 1998 is expected to increase by US\$ 420,000 by comparison with 1996. The following increases are expected: Preparatory assistance will be allocated US\$ 65,000 more, Technical Cooperation an additional US\$ 215,000 and Training an additional US\$ 140,000. These budgetary increases should considerably improve the support capacity to States Parties, especially for those with a great need to strengthen their technical capacities.

UNESCO Regular Programme

20. The amount of US\$ 61,000 foreseen for this Chapter in 1997 will be allocated as follows: The US\$ 61,000 will be allocated as follows:

- US\$ 41,000 Preparation of technical assistance projects (of which US\$ 15,000 is decentralized)
- US\$ 5,000 Training fellowships
- US\$ 15,000 Documentation and information support concerning the legal protection and management of sites (decentralized).

21. **Chapter IV - Monitoring and reporting on the state of conservation of World Heritage sites**

WORLD HERITAGE FUND	US\$		
	Approved	Proposed	Indicative
	1996	1997	1998
Reactive monitoring	60 000	80 000	80 000
Systematic monitoring and reporting:			
Latin America	40 000	40 000	40 000
Africa	50 000	50 000	50 000
Arab States and the Mediterranean	38 000	38 000	38 000
Asia	42 000	42 000	42 000
Europe	30 000	30 000	30 000
<b>TOTAL WHF</b>	<b>260 000</b>	<b>280 000</b>	<b>280 000</b>

UNESCO REGULAR PROGRAMME	US \$	
	Approved (28C5)	
	1997	
Headquarters	46 500	
Field Offices	45 000	
Total	91 500	

**World Heritage Fund**

22. Funds allocated under this Chapter will be increased by only US\$ 20,000 in 1997 and remain at the 1997 level for 1998. This increase will be used for reactive monitoring, e.g. monitoring requested by States Parties or in emergency situations. The other lines remain unchanged.

**UNESCO Regular Programme**

23. Of a total of US\$ 91,500, an amount of US\$ 46,500 is allocated to Headquarters, the remaining US\$ 45,000 is decentralized. The US\$ 91,500 will be allocated as follows:

- US\$ 5,500 Report on the state of World Heritage in Asia and the Pacific
- US\$ 10,000 Pilot project with the Getty Conservation Institute to establish archive centres at selected sites
- US\$ 76,000 Reinforce monitoring and publication of reports for site managers

24. **Chapter V - World Heritage Documentation, Information and Education Programme for the 25th Anniversary**

WORLD HERITAGE FUND	US\$		
	Approved	Proposed	Indicative
	1996	1997	1998
Documentation (1997)		55 000	34 000
Promotional material (1996)/Information materials (1997)	199 000	167 000	175 000
World Heritage Clearing House (1996)/Internet and World Heritage Information Network (WHIN) (1997)	55 000	44 000	27 000
Young People's participation in World Heritage Preservation and Promotion (1996)/World Heritage Education (1997)	44 000	30 000	70 000
Co-production with the media and publishers (1997)	0	2 000	2 000
25th Anniversary of the World Heritage Convention (1997)	0	100 000	0
<b>TOTAL WHF</b>	<b>298 000</b>	<b>398 000</b>	<b>308 000</b>

UNESCO REGULAR PROGRAMME	US \$	
	Approved (28C5)	
	1997	
Headquarters	35 000	
Field Offices	60 000	
Total	95 000	

OTHER RESOURCES (Rhône-Poulenc)	US \$	
	1997 (indicative)	
	100 000	

25. It is noted that the total amount allocated under this Chapter in 1997 is US\$ 593,000, divided as follows: US\$ 398,000 of World Heritage funds (in comparison to US\$ 298,000 in 1996 and US\$ 308,000 in 1998); US\$ 95,000 of UNESCO Regular Programme, and US\$ 100,000 from other sources.

**World Heritage Fund**

26. Resources from the Fund allocated to this Chapter shall be used mainly for:
- the development of electronic information systems, the Internet and the World Heritage Information Network (WHIN)
  - production of information material (US\$ 167,000 in 1997 and US\$ 175,000 in 1998) e.g. posters, annual report for the 25th Anniversary, etc.
  - 25th Anniversary events and activities (US\$ 100,000).



UNESCO Regular Programme

27. In 1997, US\$ 95,000 will be allocated to this Chapter, of which US\$ 60,000 will be decentralized, this last amount particularly for the development of educational programmes for World Heritage. The remaining amount will be used for documentation activities (US\$ 7,500); exhibitions and special events (US\$ 20,000), World Heritage Education project implementation (US\$ 5,000) and a meeting and networking with media partners (US\$ 2,500).

Other resources

28. Rhône-Poulenc has indicated that they may provide US\$ 100,000 for World Heritage Education in 1997. This will be negotiated in early December 1996. Activities for which sponsors are being sought include exhibitions, regional publications, production of the World Heritage educational kit as indicated in the Work Plan (WHC-96/CONF.201/INF.19).

**WORLD HERITAGE FUND  
SYNOPTIC OVERVIEW OF THE BUDGET**

Chapter I	Approved 1994	Approved 1995	Approved 1996	Proposed 1997	Indicative 1998
	US\$				
<b>Overall functioning of the World Heritage Convention</b>					
· Attendance of experts in statutory World Heritage meetings	40,000	40,000	80,000	80,000	80,000
· Support to the World Heritage Secretariat	280,000	360,000	360,000	0	0
· Overall evaluation of 25 years				40,000	
	<b>320,000</b>	<b>400,000</b>	<b>440,000</b>	<b>120,000</b>	<b>80,000</b>
	<b>SUB TOTAL</b>				
<b>Chapter II</b>					
<b>Establishment of the World Heritage List</b>					
· Global strategy	40,000	70,000	70,000	70,000	70,000
· Advisory services	520,000 <sup>1</sup>	522,000 <sup>2</sup>	522,000	602,000	602,000
	<b>560,000</b>	<b>592,000</b>	<b>592,000</b>	<b>672,000</b>	<b>672,000</b>
	<b>SUB TOTAL</b>				
<b>Chapter III</b>					
<b>Technical implementation of the World Heritage Convention</b>					
· Preparatory assistance	150,000	150,000	175,000	240,000	240,000
· Technical cooperation	790,000	750,000	685,000	900,000	900,000
· Training	440,000	452,000	550,000	690,000	690,000
	<b>1,380,000</b>	<b>1,352,000</b>	<b>1,410,000</b>	<b>1,830,000</b>	<b>1,830,000</b>
	<b>SUB TOTAL</b>				

1. US\$ 20,000 shifted from Chapter IV (Monitoring) to Chapter II (Advisory services)
2. US\$ 22,000 shifted from Chapter V (Basic support for Information System) to Chapter II (Advisory services)

	Approved 1994	Approved 1995	Approved 1996	Proposed 1997	Indicative 1998
US\$					
<b>Chapter IV</b>					
<b>Monitoring and reporting on the state of conservation of World Heritage sites</b>					
· Reactive monitoring	85,000	68,000	60,000	80,000	80,000
· Support to States Parties for monitoring and reporting					
* Methodological development	80,000	50,000	0	0	0
* Latin America & the Caribbean	65,000	50,000	40,000	40,000	40,000
* Africa	55,000	50,000	50,000	50,000	50,000
* Arab States & the Mediterranean	40,000	30,000	38,000	38,000	38,000
* Asia	55,000	60,000	42,000	42,000	42,000
* Europe	0,000	0,000	30,000	30,000	30,000
<b>SUB TOTAL</b>	<b>380,000/1</b>	<b>308,000</b>	<b>260,000</b>	<b>280,000</b>	<b>280,000</b>

**Chapter V**  
World Heritage Documentation, Information and Education for the 25th Anniversary

	270,000	268,000	298,000	398,000	308,000
<b>SUB TOTAL</b>	<b>270,000</b>	<b>268,000</b>	<b>298,000</b>	<b>398,000</b>	<b>308,000</b>
<b>Balance (*)</b>					<b>430,000</b>
<b>TOTAL ANNUAL BUDGET WORLD HERITAGE FUND</b>	<b>2,910,000</b>	<b>2,920,000</b>	<b>3,000,000</b>	<b>3,300,000</b>	<b>3,600,000</b>

**Chapter VI**

Emergency Reserve Fund	1,000,000				500,000
------------------------	-----------	--	--	--	---------

(\*) This balance will be distributed among the Chapters of the 1998 budget according to the needs and will be reflected in the submission to the Committee at its 21st session.

Explanations concerning the increase in the Budget from 1996 to 1997 (US\$ 300,000)

Chapter 1 - Overall servicing/functioning of the World Heritage Convention

- Attendance of experts in statutory World Heritage meetings

An exact calculation of travel and per diem costs is not possible at this date as the seven members of the Bureau have to be replaced. The same amount for 1996 has been maintained for 1997, as experience has proved that this amount is sufficient to respond to all requests.

- Support to the World Heritage Secretariat

Following the Committee's request, staff costs financed by the World Heritage Fund will be absorbed by the UNESCO Regular Programme funds as of 1997. Therefore, this line is eliminated.

- Overall evaluation of 25 years

A general evaluation of the implementation of the Convention and the elaboration of strategic guidelines for its implementation are proposed at the occasion of the 25th Anniversary. This will include preparatory work by a consultant, the organization of an international meeting, the publication and distribution of the results.

Chapter II - Establishment of the World Heritage List

- Global Strategy

In conformity with Document WHC-96/CONF.201/10, the further development of a global strategy for the implementation of the Convention.

- Advisory Services

The advisory bodies (IUCN, ICOMOS, WCMC) are experiencing an increase in their workload commensurate to the number of requests for inscription of sites to be listed. Cooperation with these advisory bodies is being reviewed (cf. Document WHC-96/CONF.201/11).

### Chapter III - Technical Implementation of the World Heritage Convention

#### - Preparatory assistance

The increase in the budget foreseen for this purpose will provide improved possibilities for the preparation of nomination files as well as for the study of new types of sites. Furthermore, it will encourage the preparation of international assistance projects.

#### - Technical cooperation

This budget line has been slightly increased in order to better respond to needs.

#### - Training

This budget line has also been increased in order to initiate the proposed training strategy and respond to the increasing number of training requests.

### Chapter IV - Monitoring and Reporting on the State of Conservation of World Heritage sites

#### - Reactive monitoring

An increase of a third of the budget should cover the growing need for this type of monitoring.

#### - Support to States Parties for monitoring and reporting

No increase is foreseen as experience has shown that the amount allocated was sufficient.

### Chapter V - World Heritage Documentation, Information and Education for the 25th Anniversary

#### - 25th Anniversary of the World Heritage Convention (1997)

An increase of US\$ 100,000 from the previous year (1996) will be entirely devoted to the celebration of the 25th Anniversary of the Convention. For the remainder, allocations are presented on page 8 of this document.

The breakdown of resources by activity is shown in Document WHC-96/CONF.201/INF.19.