

# Mausoleum of the First Qin Emperor

## 1. World Heritage Property Data

### 1.1 - Name of World Heritage property

Mausoleum of the First Qin Emperor

### 1.2 - World Heritage property details

#### 1.3 - Geographic information table

Name	Coordinates	Property (ha)	Buffer zone (ha)	Total (ha)	Inscription year
Mausoleum of the First Qin Emperor	34.381 / 109.26	244	4325	4569	1987
<b>Total (ha)</b>		<b>244</b>	<b>4325</b>	<b>4569</b>	

### 1.4 - Map(s)

Title	Date	Link to source
Map showing the inscribed property	1986	
Map showing the inscribed property	1986	
Mausoleum of the First Qin Emperor - Map of the inscribed property	2014	

### 1.5 - Web and Social Media data of the property (if applicable)

- [The Museum of Qin Shihuang Terracotta Warriors and Horses](#)

### Comment

Official website: <http://www.bmy.com.cn/> Official Weibo account: <https://weibo.com/u/7409196757>

## 2. Other Conventions/Programmes under which the World Heritage property is protected (if applicable)

### 2.1 - Records indicate that your World Heritage property (in whole or in part) is designated and/or protected under the Conventions/programmes shown in the prefilled table below. Please check and amend as necessary.

		The World Heritage property (in whole or in part) <u>is</u> designated and/or protected under this convention/programme	The World Heritage property (in whole or in part) <u>is not</u> designated and/or protected under this convention/programme
2.1.1	<b>International Register of Cultural Property under Special Protection</b> (1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict)		✗
2.1.2	<b>List of Cultural Property under Enhanced Protection</b> (Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict)		✗
2.1.3	<b>The List of Wetlands of International Importance (The Ramsar List)</b> (Convention on Wetlands of International Importance (Ramsar Convention))		✗
2.1.4	<b>World Network of Biosphere Reserves</b> Man and the Biosphere (MAB) Programme		✗
2.1.5	<b>Global Geoparks Network</b> UNESCO Global Geoparks		✗

### 2.2 - Please provide comments on 2.1 if necessary

Not Comment

### 2.3 - Do your national authorities intend to request the granting of Enhanced Protection (if relevant) under the Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict for the World Heritage property in the next three years?

Not applicable

### 2.4 - Do your national authorities intend to designate whole or part of the World Heritage property for inclusion in the List of Wetlands of International Importance (The Ramsar List), if relevant, in the next three years?

Not applicable

**2.5 - Do your national authorities intend to designate whole or part of the World Heritage property as a Man and Biosphere Reserve (if relevant) in the next three years?**

Not applicable

**2.6 - Do your national authorities intend to apply for whole or part of World Heritage property to be designated as a UNESCO Global Geopark (if relevant) in the next three years?**

Not applicable

**2.7 - Please indicate the level of cooperation at property level between designations under different Conventions/Programmes**

<b>2.7.1</b>	<b>1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict</b>	
2.7.1	There is <b>no contact</b> with the Focal Point(s) of this designation/programme.	✖
2.7.2	The World Heritage Site Manager <b>occasionally</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.3	The World Heritage Site Manager <b>regularly</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.4	The World Heritage Site Manager <b>also manages</b> this designation/programme.	
<b>2.7.2</b>	<b>Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict</b>	
2.7.1	There is <b>no contact</b> with the Focal Point(s) of this designation/programme.	✖
2.7.2	The World Heritage Site Manager <b>occasionally</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.3	The World Heritage Site Manager <b>regularly</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.4	The World Heritage Site Manager <b>also manages</b> this designation/programme.	
<b>2.7.3</b>	<b>Convention on Wetlands of International Importance (Ramsar Convention)</b>	
2.7.1	There is <b>no contact</b> with the Focal Point(s) of this designation/programme.	✖
2.7.2	The World Heritage Site Manager <b>occasionally</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.3	The World Heritage Site Manager <b>regularly</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.4	The World Heritage Site Manager <b>also manages</b> this designation/programme.	
<b>2.7.4</b>	<b>Man and the Biosphere (MAB) Programme</b>	
2.7.1	There is <b>no contact</b> with the Focal Point(s) of this designation/programme.	✖
2.7.2	The World Heritage Site Manager <b>occasionally</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.3	The World Heritage Site Manager <b>regularly</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.4	The World Heritage Site Manager <b>also manages</b> this designation/programme.	
<b>2.7.5</b>	<b>UNESCO Global Geoparks</b>	
2.7.1	There is <b>no contact</b> with the Focal Point(s) of this designation/programme.	✖
2.7.2	The World Heritage Site Manager <b>occasionally</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.3	The World Heritage Site Manager <b>regularly</b> communicates with the Focal Point(s) of this designation/programme.	
2.7.4	The World Heritage Site Manager <b>also manages</b> this designation/programme.	

**2.8 - Please add any further comments on cooperation with the other designation(s)/programme(s)**

Not Comment

**2.9 - Are you aware of any elements associated with the World Heritage property that have been inscribed on the Representative List of the Intangible Cultural Heritage?**

No

**2.10 - Please list any elements associated with the World Heritage property inscribed under the Convention for the Safeguarding of the Intangible Cultural Heritage of which you are aware**

Not Comment

**2.11 - Are you aware of any documentary heritage listed under the Memory of the World Programme associated with the World Heritage property?**

No

**2.12 - Please list any documentary heritage associated with the World Heritage property listed under the Memory of the World Programme of which you aware.**

Not Comment

### 3. Statement of Outstanding Universal Value

#### 3.1 - Statement of Outstanding Universal Value for the property as adopted by the World Heritage Committee

##### Statement of Outstanding Universal Value

###### Brief synthesis

Located at the northern foot of Lishan Mountain, 35 kilometers northeast of Xi'an, Shaanxi Province, Qinshihuang Mausoleum is the tomb of Emperor Qinshihuang, founder of the first unified empire in Chinese history during the 3rd century BCE. Begun in 246 BCE the grave mound survives to a height of 51.3 meters within a rectangular, double-walled enclosure oriented north-south. Nearly 200 accompanying pits containing thousands of life-size terra cotta soldiers, terra cotta horses and bronze chariots and weapons - a world-renowned discovery - together with burial tombs and architectural remains total over 600 sites within the property area of 56.25 square kilometers. According to the historian Sima Qian (c. 145-95 BCE), workers from every province of the Empire toiled unceasingly until the death of the Emperor in 210 in order to construct a subterranean city within a gigantic mound.

As the tomb of the first emperor who unified the country, it is the largest in Chinese history, with a unique standard and layout, and a large number of exquisite funeral objects. It testifies to the founding of the first unified empire- the Qin Dynasty, which during the 3rd BCE, wielded unprecedented political, military and economic power and advanced the social, cultural and artistic level of the empire.

**Criterion (i):** Because of their exceptional technical and artistic qualities, the terracotta warriors and horses, and the funerary carts in bronze are major works in the history of Chinese sculpture prior to the reign of the Han dynasty.

**Criterion (iii):** The army of statues bears unique testimony to the military organization in China at the time of the Warring Kingdoms (475-221 BCE) and that of the short-lived Empire of a Thousand Generations (221-210 BCE). The direct testimony of the objects found *in situ* (lances, swords, axes, halberds, bows, arrows, etc.) is evident. The documentary value of a group of hyper realistic sculptures where no detail has been neglected - from the uniforms of the warriors, their arms, to even the horses' halters - is enormous. Furthermore, the information to be gleaned from the statues concerning the craft and techniques of potters and bronze-workers is immeasurable.

**Criterion (iv):** The mausoleum of Qin Shi Huang is the largest preserved site in China. It is a unique architectural ensemble whose layout echoes the urban plan of the capital, Xianyang, with the imperial palace enclosed by the walls of the city, themselves encircled by other walls. This capital of the Qin (to which succeeded on the present site of Xian the capitals of the Han, Sui and Tang dynasties) is a microcosm of the Zhongguo (Middle Country) that Qin Shi Huang wanted both to unify (he imposed throughout the land a single system of writing, money, weights and measures) and to protect from the barbarians that could arrive from any direction (the army which watches over the dead emperor faces outward from the tomb).

**Criterion (vi):** The mausoleum of Qin Shi Huang is associated with an event of universal significance: the first unification of the Chinese territory by a centralized state created by an absolute monarch in 221 BCE.

###### Integrity

The Qinshihuang Mausoleum features a high level of integrity; the grave mound, mausoleum constructions, burial pits, sites of ritual construction and overall setting in the property area and the buffer zone are well preserved, and fully reflect the structure and ritual system of the whole mausoleum.

###### Authenticity

The grave mound, sites of constructions, burial tombs and burial pits in Qinshihuang Mausoleum truthfully maintain their original location, material, formationtechnology and structure, which authentically reflect the constricting regulation of the Mausoleum and palace life and military systems of the Qin Dynasty. The numerous unearthed cultural relics reflect the highest technical level of pottery, chariot assembly, metallurgy and metal processing in the Qin Dynasty.

###### Protection and management requirements

The Qinshihuang Mausoleum has been listed a State Priority Protected Site and thus is under the protection of the *Law of the People's Republic of China on the Protection of Cultural Relics*. In July 2005, the Shaanxi Provincial People's Congress passed the *Shaanxi Provincial Regulation on the Protection of Qinshihuang Mausoleum* and established a protection body: Qinshihuang Mausoleum. In 2009, the Museum of the Terra-Cotta Warriors and Horses of Qinshihuang was upgraded to the Qinshihuang Mausoleum Museum by the Shaanxi Provincial Bureau of Cultural Heritage, taking charge of the overall planning, management, archaeological excavation, scientific research and daily maintenance.

In order to respond to the pressure of urban development and tourism, the Shaanxi provincial government approved the *Conservation Plan for Qinshihuang Mausoleum* in July 2010, which clarifies the borders of the protection area and the construction control zone around the mausoleum and prohibits the development of Lintong district from infringing on the mausoleum. The measure has effectively protected the mausoleum and its settings, prevented destructive activities, and ensured the authenticity and integrity of the proper

#### 3.2 - Please list the key attributes of Outstanding Universal Value of your property and give an assessment of their condition. As a guideline, it is suggested to focus on approximately five key attributes (no more than 15 overall).

Brief identification of attribute	Preserved	Compromised	Seriously compromised	Lost
3.2.1 Construction regulations and architectural layouts of the mausoleum	✗			
3.2.2 Archaeological remains including tomb heaps, accompanying tombs, buildings and accompanying pits	✗			
3.2.3 Terracotta warriors and horses and funerary carts in bronze	✗			
3.2.4 Other unearthed funeral objects	✗			
3.2.5 Techniques of the Qin dynasty for ceramic and cart production, metallurgy, and metal processing	✗			
3.2.6 Overall environmental features	✗			
3.2.7	✗			
3.2.8	✗			
3.2.9	✗			
3.2.10	✗			

3.2.11					x				
3.2.12					x				
3.2.13					x				
3.2.14					x				
3.2.15					x				

### 3.3 - Comments, conclusions and/or recommendations related to Statement of Outstanding Universal Value

The property's OUV statement has its source from the UNESCO official website. The property's OUV and attributes are integrally maintained.

## 4. Factors Affecting the Property

### 4.1. Buildings and Development

#### 4.1.1 - Housing

Previous answer Cycle 2 (29/07/2011):

- Not relevant

x Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	红旗 Current	红旗 Potential	● Inside	● Outside	↘ Decreasing	→ Stable	↗ Increasing	
● Positive x	x	x		x		→		
● Negative								

#### 4.1.2 - Commercial development

Previous answer Cycle 2 (29/07/2011):

- Not relevant

x Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	红旗 Current	红旗 Potential	● Inside	● Outside	↘ Decreasing	→ Stable	↗ Increasing	
● Positive								
● Negative x	x	x		x	↘			

#### 4.1.3 - Industrial areas

Previous answer Cycle 2 (29/07/2011):

- Relevant, Negative, Current, Outside

x Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	红旗 Current	红旗 Potential	● Inside	● Outside	↘ Decreasing	→ Stable	↗ Increasing	
● Positive								
● Negative x	x			x	↘			

#### 4.1.4 - Major visitor accommodation and associated infrastructure

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Potential, Outside

x Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	红旗 Current	红旗 Potential	● Inside	● Outside	↘ Decreasing	→ Stable	↗ Increasing	
● Positive x	x	x		x		→		
● Negative								

#### 4.1.5 - Interpretative and visitation facilities

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Inside, Outside

Relevant				Not relevant			
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	X	X	X	X			↗
Negative							

#### 4.1.6 - Please comment as necessary on how the factors selected as relevant in 4.1 are affecting the property either negatively or positively

Houses provided for residents living in the surrounding of the property. Commercial development and industrial areas have impact on the environmental setting but have been brought under restriction and supervision. Some buildings have been removed. Accommodation, catering and guide facilities ensure adequate service for tourists and basically do not have impact on the property's environmental setting.

### 4.2. Transportation Infrastructure

#### 4.2.1 - Ground transport infrastructure

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Inside, Outside

Relevant				Not relevant			
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	X			X		↗	
Negative							

#### 4.2.2 - Underground transport infrastructure

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	X Not relevant
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#### 4.2.3 - Air transport infrastructure

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	X Not relevant
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#### 4.2.4 - Marine transport infrastructure

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Potential, Outside

Relevant	X Not relevant
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#### 4.2.5 - Effects arising from use of transportation infrastructure

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	X Not relevant
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#### 4.2.6 - Please comment as necessary on how the factors selected as relevant in 4.2 are affecting the property either negatively or positively

Parking Lots 1 and 2 in the museum effectively ease traffic pressure during peak time and help improve tourists' experience.

### 4.3. Services Infrastructures

#### 4.3.1 - Water infrastructure

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	X Not relevant
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#### 4.3.2 - Renewable energy facilities

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	X Not relevant
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### 4.3.3 - Non-renewable energy facilities

Previous answer Cycle 2 (29/07/2011):

- Not relevant

☒ Relevant		Not relevant						
		Impact		Origin		Trend of impact		
Impact		红旗 Current	黄色 Potential	绿色 Inside	红色 Outside	↘ Decreasing	→ Stable	↗ Increasing
● Positive								
● Negative ✗		✗	✗		✗	↘		

### 4.3.4 - Localised utilities

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Outside

☒ Relevant		Not relevant						
		Impact		Origin		Trend of impact		
Impact		红旗 Current	黄色 Potential	绿色 Inside	红色 Outside	↘ Decreasing	→ Stable	↗ Increasing
● Positive ✗		✗	✗		✗		→	
● Negative								

### 4.3.5 - Major linear utilities

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Outside

Relevant	☒ Not relevant
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### 4.3.6 - Please comment as necessary on how the factors selected as relevant in 4.3 are affecting the property either negatively or positively

LNG stations have negative impact on the property's environmental setting. Some public utility facilities provide convenience for local community residents and have no impact on the environmental setting.

## 4.4. Pollution

### 4.4.1 - Pollution of marine waters

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	☒ Not relevant
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### 4.4.2 - Ground water pollution

Previous answer Cycle 2 (29/07/2011):

- Relevant, Negative, Potential, Outside

Relevant	☒ Not relevant
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### 4.4.3 - Surface water pollution

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	☒ Not relevant
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### 4.4.4 - Air pollution

Previous answer Cycle 2 (29/07/2011):

- Relevant, Negative, Potential, Outside

☒ Relevant		Not relevant						
		Impact		Origin		Trend of impact		
Impact		红旗 Current	黄色 Potential	绿色 Inside	红色 Outside	↘ Decreasing	→ Stable	↗ Increasing
● Positive								
● Negative ✗		✗	✗	✗		↘		

#### 4.4.5 - Solid waste

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### 4.4.6 - Input of excess energy

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### 4.4.7 - Please comment as necessary on how the factors selected as relevant in 4.4 are affecting the property either negatively or positively

Tests of particulate pollutants show that average value of fine particulate pollutants in the exhibition hall of Pit 1 is 105.8ug/m<sup>3</sup> in winter and 20.08 ug/m<sup>3</sup> in summer. figures inside and outside the exhibition hall are the same. Comparing to what was monitored in 5 years ago, the concentration of PM2.5 has drastically declined and the rate of concentration decline outside the exhibition hall is even greater.

### 4.5. Biological resource use/modification

#### 4.5.1 - Fishing/collecting aquatic resources

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### 4.5.2 - Aquaculture

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### 4.5.3 - Land conversion

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### 4.5.4 - Livestock farming/Grazing of domesticated animals

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

	Impact		Origin	Trend of impact			
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	
Negative							

#### 4.5.5 - Crop production

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

	Impact		Origin	Trend of impact			
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	
Negative							

#### 4.5.6 - Commercial wild plant collection

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.5.7 - Subsistence wild plant collection**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.5.8 - Commercial hunting**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.5.9 - Subsistence hunting**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.5.10 - Forestry/Wood production**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.5.11 - Please comment as necessary on how the factors selected as relevant in 4.5 are affecting the property either negatively or positively**

Animal husbandry and agriculture are major sources of income for local community residents, having no impact on the property or its environmental setting.

### **4.6. Physical resource extraction**

#### **4.6.1 - Mining**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.6.2 - Quarrying**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.6.3 - Oil and gas**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.6.4 - Water (extraction)**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.6.5 - Please comment as necessary on how the factors selected as relevant in 4.6 are affecting the property either negatively or positively**

Not Comment

### **4.7. Local conditions affecting physical fabric**

#### **4.7.1 - Wind**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

#### **4.7.2 - Relative humidity**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant

Not relevant

	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive							
Negative ✗	✗	✗	✗		↘		

#### 4.7.3 - Temperature

Previous answer Cycle 2 (29/07/2011):

- Not relevant

✗ Relevant	Not relevant						
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive							
Negative ✗	✗	✗	✗		↘		

#### 4.7.4 - Radiation/Light

Previous answer Cycle 2 (29/07/2011):

- Not relevant

✗ Relevant	Not relevant						
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive							
Negative ✗		✗	✗			➡	

#### 4.7.5 - Dust

Previous answer Cycle 2 (29/07/2011):

- Not relevant

✗ Relevant	Not relevant						
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive							
Negative ✗	✗	✗	✗	✗	↘		

#### 4.7.6 - Water (rain/water table)

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.7.7 - Pests

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.7.8 - Micro-organisms

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.7.9 - Please comment as necessary on how the factors selected as relevant in 4.7 are affecting the property either negatively or positively

Monitoring results of temperature and humidity in cultural property storages and exhibition halls open to the public show that the indoor constant temperature effect is not satisfactory but remain stable to some extent. There is a certain gap to be filled in terms of requirements for heritage preservation environment.

### 4.8. Social/Cultural uses of heritage

#### 4.8.1 - Ritual/Spiritual/Religious and associative uses

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.8.2 - Society's valuing of heritage

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Potential, Inside, Outside

✗ Relevant	Not relevant								
Impact		Origin	Trend of impact						
Impact		Current	Potential	Inside	Outside	Decreasing	Stable	Increasing	
Positive		✗	✗	✗					
Negative									

#### 4.8.3 - Indigenous hunting, gathering and collecting

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.8.4 - Changes in traditional ways of life and knowledge system

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.8.5 - Identity, social cohesion, changes in local population and community

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Inside, Outside

✗ Relevant	Not relevant								
Impact		Origin	Trend of impact						
Impact		Current	Potential	Inside	Outside	Decreasing	Stable	Increasing	
Positive		✗	✗						
Negative									

#### 4.8.6 - Impacts of tourism/Visitation/Recreation

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Outside

✗ Relevant	Not relevant								
Impact		Origin	Trend of impact						
Impact		Current	Potential	Inside	Outside	Decreasing	Stable	Increasing	
Positive		✗	✗	✗					
Negative									

#### 4.8.7 - Please comment as necessary on how the factors selected as relevant in 4.8 are affecting the property either negatively or positively

Not Comment

### 4.9. Other human activities

#### 4.9.1 - Illegal activities

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant
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#### 4.9.2 - Deliberate destruction of heritage

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.9.3 - Military training

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.9.4 - War

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.9.5 - Terrorism

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.9.6 - Civil unrest

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.9.7 - Please comment as necessary on how the factors selected as relevant in 4.9 are affecting the property either negatively or positively

Not Comment

### 4.10. Climate change and severe weather events

#### 4.10.1 - Storms

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.2 - Flooding

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.3 - Drought

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.4 - Desertification

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.5 - Changes to oceanic waters

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.6 - Temperature change

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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#### 4.10.7 - Other climate change impacts

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
-----------------------------------	--

**4.10.8 - Please comment as necessary on how the factors selected as relevant in 4.10 are affecting the property either negatively or positively**

Not Comment

**4.11. Sudden ecological or geological events**

**4.11.1 - Volcanic eruption**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.11.2 - Earthquake**

Previous answer Cycle 2 (29/07/2011):

- Relevant, Negative, Potential, Outside

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.11.3 - Tsunami/Tidal wave**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.11.4 - Avalanche/Landslide**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.11.5 - Erosion and siltation/Deposition**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
-----------------------------------	--

**4.11.6 - Fire (wildfire)**

Previous answer Cycle 2 (29/07/2011):

- Relevant, Negative, Potential, Outside

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.11.7 - Please comment as necessary on how the factors selected as relevant in 4.11 are affecting the property either negatively or positively**

Not Comment

**4.12. Invasive/alien species or hyper-abundant species**

**4.12.1 - Translocated species**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.12.2 - Invasive/Alien terrestrial species**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.12.3 - Invasive/Alien freshwater species**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input type="checkbox"/> Relevant	<input checked="" type="checkbox"/> Not relevant
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**4.12.4 - Invasive/Alien marine species**

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input checked="" type="checkbox"/> Relevant	<input type="checkbox"/> Not relevant
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#### 4.12.5 - Hyper-abundant species

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input checked="" type="checkbox"/> Relevant	<input type="checkbox"/> Not relevant
--	---------------------------------------

#### 4.12.6 - Modified genetic material

Previous answer Cycle 2 (29/07/2011):

- Not relevant

<input checked="" type="checkbox"/> Relevant	<input type="checkbox"/> Not relevant
--	---------------------------------------

#### 4.12.7 - Please comment as necessary on how the factors selected as relevant in 4.12 are affecting the property either negatively or positively

Not Comment

### 4.13. Management and institutional factors

#### 4.13.1 - Management system/Management plan

<input checked="" type="checkbox"/> Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	👉 Current	👉 Potential	👉 Inside	👉 Outside	👉 Decreasing	👉 Stable	👉 Increasing	
👉 Positive ✅	✗	✗	✗	✗				
👉 Negative								

#### 4.13.2 - Legal framework

<input checked="" type="checkbox"/> Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	👉 Current	👉 Potential	👉 Inside	👉 Outside	👉 Decreasing	👉 Stable	👉 Increasing	
👉 Positive ✅	✗	✗	✗	✗				
👉 Negative								

#### 4.13.3 - Governance

<input checked="" type="checkbox"/> Relevant	<input type="checkbox"/> Not relevant
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#### 4.13.4 - Management activities

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Inside, Outside

<input checked="" type="checkbox"/> Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	👉 Current	👉 Potential	👉 Inside	👉 Outside	👉 Decreasing	👉 Stable	👉 Increasing	
👉 Positive ✅	✗	✗	✗	✗				
👉 Negative								

#### 4.13.5 - Financial resources

<input checked="" type="checkbox"/> Relevant	Not relevant							
	Impact		Origin		Trend of impact			
Impact	👉 Current	👉 Potential	👉 Inside	👉 Outside	👉 Decreasing	👉 Stable	👉 Increasing	
👉 Positive ✅	✗	✗	✗			➡		
👉 Negative								

#### 4.13.6 - Human resources

<input checked="" type="checkbox"/> Relevant	Not relevant							
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	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	✗	✗	✗			➡	
Negative							

#### 4.13.7 - Low impact research/monitoring activities

Previous answer Cycle 2 (29/07/2011):

- Relevant, Positive, Current, Inside

✗ Relevant	Not relevant						
	Impact		Origin		Trend of impact		
Impact	Current	Potential	Inside	Outside	Decreasing	Stable	Increasing
Positive	✗	✗	✗			➡	
Negative							

#### 4.13.8 - High impact research/monitoring activities

Previous answer Cycle 2 (29/07/2011):

- Not relevant

Relevant	✗ Not relevant

#### 4.13.9 - Please comment as necessary on how the factors selected as relevant in 4.13 are affecting the property either negatively or positively

Not Comment

### 4.14. Other factor(s)

#### 4.14.1 - Other factor(s)

Not Comment

### 4.15. Factors Summary Table

#### 4.15.1 - Factors Summary Table

Name	Impact	Origin	Trend
4.1 Buildings and Development			
4.1.1 Housing	●	▣	➡
4.1.2 Commercial development	✗	▣	⬇
4.1.3 Industrial areas	✗	▣	⬇
4.1.4 Major visitor accommodation and associated infrastructure	●	▣	➡
4.1.5 Interpretative and visitation facilities	●	▣	➡
4.2 Transportation Infrastructure			
4.2.1 Ground transport infrastructure	●	▣	➡
4.3 Services Infrastructures			
4.3.3 Non-renewable energy facilities	✗	▣	⬇
4.3.4 Localised utilities	●	▣	➡

<b>4.4 Pollution</b>							
<b>4.4.4 Air pollution</b>							
<b>4.5 Biological resource use/modification</b>							
<b>4.5.4 Livestock farming/Grazing of domesticated animals</b>							
<b>4.5.5 Crop production</b>							
<b>4.7 Local conditions affecting physical fabric</b>							
<b>4.7.2 Relative humidity</b>							
<b>4.7.3 Temperature</b>							
<b>4.7.4 Radiation/Light</b>							
<b>4.7.5 Dust</b>							
<b>4.8 Social/Cultural uses of heritage</b>							
<b>4.8.2 Society's valuing of heritage</b>							
<b>4.8.5 Identity, social cohesion, changes in local population and community</b>							
<b>4.8.6 Impacts of tourism/Visitation/Recreation</b>							
<b>4.13 Management and institutional factors</b>							
<b>4.13.1 Management system/Management plan</b>							
<b>4.13.2 Legal framework</b>							
<b>4.13.4 Management activities</b>							
<b>4.13.5 Financial resources</b>							
<b>4.13.6 Human resources</b>							
<b>4.13.7 Low impact research/monitoring activities</b>							

**Legend**      Current      Potential      Negative      Positive      Inside      Outside

#### 4.16. Assessment of current and potential positive and negative factors

##### 4.16.1 - Assessment of current and potential negative and positive factors

###### 4.1 Buildings and Development

Name	Impact	Origin	Trend
4.1.1 Housing			

#### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread

#### Temporal scale - Occurrence of the impact

<input checked="" type="checkbox"/>	One off or rare
	Intermittent or sporadic
	Frequent
	On-going

#### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

#### Trend - Development over the last 6 years

	Decreasing
<input checked="" type="checkbox"/>	Static
	Increasing

Name	Impact	Origin	Trend
4.1.2 Commercial development			

#### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread

#### Temporal scale - Occurrence of the impact

<input checked="" type="checkbox"/>	One off or rare
	Intermittent or sporadic
	Frequent
	On-going

#### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

#### Trend - Developement over the last 6 years

<input checked="" type="checkbox"/>	Decreasing
	Static
	Increasing

Name	Impact	Origin	Trend
4.1.3 Industrial areas	 		 

#### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread

#### Temporal scale - Occurrence of the impact

<input checked="" type="checkbox"/>	One off or rare
	Intermittent or sporadic
	Frequent
	On-going

#### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

#### Trend - Developement over the last 6 years

<input checked="" type="checkbox"/>	Decreasing
	Static
	Increasing

Name	Impact	Origin	Trend
Mausoleum of the First Qin Emperor	 		 

#### 4.1.4 Major visitor accommodation and associated infrastructure



##### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input type="checkbox"/>	Widespread

##### Temporal scale - Occurrence of the impact

<input checked="" type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input type="checkbox"/>	On-going

##### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
<input type="checkbox"/>	Minor
<input type="checkbox"/>	Significant
<input type="checkbox"/>	Major

##### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
<input type="checkbox"/>	Medium capacity
<input type="checkbox"/>	Low capacity
<input type="checkbox"/>	No capacity and / or resources

##### Trend - Development over the last 6 years

<input type="checkbox"/>	Decreasing
<input checked="" type="checkbox"/>	Static
<input type="checkbox"/>	Increasing

Name	Impact	Origin	Trend
4.1.5 Interpretative and visitation facilities			

##### Spatial scale - Area affected by the factor

<input type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input checked="" type="checkbox"/>	Widespread

##### Temporal scale - Occurrence of the impact

<input type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input checked="" type="checkbox"/>	On-going

##### Impact - Impact on the attributes

<input type="checkbox"/>	Insignificant
--------------------------	---------------

	Minor
✗	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
✗	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	
	Decreasing
	Static
✗	Increasing

## 4.2 Transportation Infrastructure

Name	Impact	Origin	Trend
<b>4.2.1 Ground transport infrastructure</b>			
<b>Spatial scale - Area affected by the factor</b>			
	Restricted		
✗	Localised		
	Extensive		
	Widespread		
<b>Temporal scale - Occurrence of the impact</b>			
	One off or rare		
	Intermittent or sporadic		
	Frequent		
✗	On-going		
<b>Impact - Impact on the attributes</b>			
✗	Insignificant		
	Minor		
	Significant		
	Major		
<b>Management response - Capacity of management to respond</b>			
✗	High capacity		
	Medium capacity		
	Low capacity		
	No capacity and / or resources		
<b>Trend - Developement over the last 6 years</b>			
	Decreasing		
✗	Static		
	Increasing		

#### 4.3 Services Infrastructures

Name	Impact	Origin	Trend
4.3.3 Non-renewable energy facilities			
<b>Spatial scale - Area affected by the factor</b>			
<input checked="" type="checkbox"/> Restricted			
<b>Temporal scale - Occurrence of the impact</b>			
<input checked="" type="checkbox"/> One off or rare			
<b>Impact - Impact on the attributes</b>			
<input checked="" type="checkbox"/> Insignificant			
<b>Management response - Capacity of management to respond</b>			
<input checked="" type="checkbox"/> High capacity			
<b>Trend - Development over the last 6 years</b>			
	Decreasing		
<input checked="" type="checkbox"/> Static			

Name	Impact	Origin	Trend
4.3.4 Localised utilities			
<b>Spatial scale - Area affected by the factor</b>			
<input checked="" type="checkbox"/> Restricted			
<b>Temporal scale - Occurrence of the impact</b>			
<input checked="" type="checkbox"/> One off or rare			

	Frequent
	On-going
<b>Impact - Impact on the attributes</b>	
<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	
	Decreasing
<input checked="" type="checkbox"/>	Static
	Increasing

#### 4.4 Pollution

Name	Impact	Origin	Trend
4.4.4 Air pollution	    		

<b>Spatial scale - Area affected by the factor</b>	
<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread
<b>Temporal scale - Occurence of the impact</b>	
<input checked="" type="checkbox"/>	One off or rare
	Intermittent or sporadic
	Frequent
	On-going
<b>Impact - Impact on the attributes</b>	
<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	

<input checked="" type="checkbox"/>	Decreasing
<input type="checkbox"/>	Static
<input type="checkbox"/>	Increasing

#### 4.5 Biological resource use/modification

Name	Impact	Origin	Trend
4.5.4 Livestock farming/Grazing of domesticated animals	  	 	

##### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input type="checkbox"/>	Widespread

##### Temporal scale - Occurrence of the impact

<input type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input checked="" type="checkbox"/>	On-going

##### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
<input type="checkbox"/>	Minor
<input type="checkbox"/>	Significant
<input type="checkbox"/>	Major

##### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
<input type="checkbox"/>	Medium capacity
<input type="checkbox"/>	Low capacity
<input type="checkbox"/>	No capacity and / or resources

##### Trend - Development over the last 6 years

<input type="checkbox"/>	Decreasing
<input checked="" type="checkbox"/>	Static
<input type="checkbox"/>	Increasing

Name	Impact	Origin	Trend
4.5.5 Crop production	  	 	

##### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input type="checkbox"/>	Widespread

##### Temporal scale - Occurrence of the impact

	One off or rare
	Intermittent or sporadic
	Frequent
✗	On-going
<b>Impact - Impact on the attributes</b>	
✗	Insignificant
	Minor
	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
✗	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Development over the last 6 years</b>	
	Decreasing
✗	Static
	Increasing

## 4.7 Local conditions affecting physical fabric

Name	Impact	Origin	Trend
<b>4.7.2 Relative humidity</b>			
<b>Spatial scale - Area affected by the factor</b>			
✗ Restricted			
<b>Temporal scale - Occurrence of the impact</b>			
<b>Impact - Impact on the attributes</b>			
✗ Insignificant			
<b>Management response - Capacity of management to respond</b>			
✗ High capacity			

	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	
<input checked="" type="checkbox"/>	Decreasing
	Static
	Increasing

Name	Impact	Origin	Trend
<b>4.7.3 Temperature</b>			

<b>Spatial scale - Area affected by the factor</b>	
<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread

<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
<input checked="" type="checkbox"/>	On-going

<b>Impact - Impact on the attributes</b>	
<input checked="" type="checkbox"/>	Insignificant
	Minor
	Significant
	Major

<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

<b>Trend - Developement over the last 6 years</b>	
<input checked="" type="checkbox"/>	Decreasing
	Static
	Increasing

Name	Impact	Origin	Trend
<b>4.7.4 Radiation/Light</b>			

<b>Spatial scale - Area affected by the factor</b>	
<input checked="" type="checkbox"/>	Restricted
	Localised
	Extensive
	Widespread

#### Temporal scale - Occurrence of the impact

<input checked="" type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input type="checkbox"/>	On-going

#### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
<input type="checkbox"/>	Minor
<input type="checkbox"/>	Significant
<input type="checkbox"/>	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
<input type="checkbox"/>	Medium capacity
<input type="checkbox"/>	Low capacity
<input type="checkbox"/>	No capacity and / or resources

#### Trend - Developement over the last 6 years

<input type="checkbox"/>	Decreasing
<input checked="" type="checkbox"/>	Static
<input type="checkbox"/>	Increasing

Name	Impact	Origin	Trend
<b>4.7.5 Dust</b>			

#### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input type="checkbox"/>	Widespread

#### Temporal scale - Occurrence of the impact

<input type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input checked="" type="checkbox"/>	On-going

#### Impact - Impact on the attributes

<input checked="" type="checkbox"/>	Insignificant
<input type="checkbox"/>	Minor
<input type="checkbox"/>	Significant
<input type="checkbox"/>	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
<input type="checkbox"/>	Medium capacity
<input type="checkbox"/>	Low capacity
<input type="checkbox"/>	No capacity and / or resources

#### Trend - Developement over the last 6 years

<input checked="" type="checkbox"/>	Decreasing
<input type="checkbox"/>	Static
<input type="checkbox"/>	Increasing

## 4.8 Social/Cultural uses of heritage

Name	Impact	Origin	Trend
4.8.2 Society's valuing of heritage	  	 	

#### Spatial scale - Area affected by the factor

<input type="checkbox"/>	Restricted
<input checked="" type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive
<input type="checkbox"/>	Widespread

#### Temporal scale - Occurrence of the impact

<input type="checkbox"/>	One off or rare
<input type="checkbox"/>	Intermittent or sporadic
<input type="checkbox"/>	Frequent
<input checked="" type="checkbox"/>	On-going

#### Impact - Impact on the attributes

<input type="checkbox"/>	Insignificant
<input type="checkbox"/>	Minor
<input checked="" type="checkbox"/>	Significant
<input type="checkbox"/>	Major

#### Management response - Capacity of management to respond

<input checked="" type="checkbox"/>	High capacity
<input type="checkbox"/>	Medium capacity
<input type="checkbox"/>	Low capacity
<input type="checkbox"/>	No capacity and / or resources

#### Trend - Developement over the last 6 years

<input type="checkbox"/>	Decreasing
<input type="checkbox"/>	Static
<input checked="" type="checkbox"/>	Increasing

Name	Impact	Origin	Trend
4.8.5 Identity, social cohesion, changes in local population and community	  	 	

#### Spatial scale - Area affected by the factor

<input checked="" type="checkbox"/>	Restricted
<input type="checkbox"/>	Localised
<input type="checkbox"/>	Extensive

	Widespread
<b>Temporal scale - Occurrence of the impact</b>	
<input checked="" type="checkbox"/>	One off or rare
	Intermittent or sporadic
	Frequent
	On-going
<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
<input checked="" type="checkbox"/>	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Development over the last 6 years</b>	
	Decreasing
<input checked="" type="checkbox"/>	Static
	Increasing

Name	Impact	Origin	Trend
4.8.6 Impacts of tourism/Visitation/Recreation	  	 	

	Restricted
<input checked="" type="checkbox"/>	Localised
	Extensive
	Widespread
<b>Spatial scale - Area affected by the factor</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
<input checked="" type="checkbox"/>	On-going
<b>Temporal scale - Occurrence of the impact</b>	
	Insignificant
	Minor
<input checked="" type="checkbox"/>	Significant
	Major
<b>Impact - Impact on the attributes</b>	
	High capacity
	Medium capacity

	Low capacity
	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	
	Decreasing
	Static
✗	Increasing

#### 4.13 Management and institutional factors

Name	Impact	Origin	Trend
4.13.1 Management system/Management plan			

<b>Spatial scale - Area affected by the factor</b>	
	Restricted
	Localised
	Extensive
✗	Widespread

<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
✗	On-going

<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
	Significant
✗	Major

<b>Management response - Capacity of management to respond</b>	
✗	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

<b>Trend - Developement over the last 6 years</b>	
	Decreasing
	Static
✗	Increasing

Name	Impact	Origin	Trend
4.13.2 Legal framework			

<b>Spatial scale - Area affected by the factor</b>	
	Restricted
	Localised

	Extensive
✗	Widespread
<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
✗	On-going
<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
	Significant
✗	Major
<b>Management response - Capacity of management to respond</b>	
✗	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Development over the last 6 years</b>	
	Decreasing
	Static
✗	Increasing

Name	Impact	Origin	Trend
<b>4.13.4 Management activities</b>			

<b>Spatial scale - Area affected by the factor</b>	
	Restricted
	Localised
	Extensive
✗	Widespread
<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
✗	On-going
<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
	Significant
✗	Major
<b>Management response - Capacity of management to respond</b>	
✗	High capacity
	Medium capacity

	Low capacity
	No capacity and / or resources

#### Trend - Developement over the last 6 years

	Decreasing
	Static
✗	Increasing

Name	Impact	Origin	Trend
<b>4.13.5 Financial resources</b>			

#### Spatial scale - Area affected by the factor

	Restricted
	Localised
✗	Extensive
	Widespread

#### Temporal scale - Occurrence of the impact

	One off or rare
	Intermittent or sporadic
	Frequent
✗	On-going

#### Impact - Impact on the attributes

	Insignificant
	Minor
	Significant
✗	Major

#### Management response - Capacity of management to respond

✗	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources

#### Trend - Developement over the last 6 years

	Decreasing
	Static
✗	Increasing

Name	Impact	Origin	Trend
<b>4.13.6 Human resources</b>			

#### Spatial scale - Area affected by the factor

	Restricted
	Localised
	Extensive

<input checked="" type="checkbox"/>	Widespread
<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
<input checked="" type="checkbox"/>	On-going
<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
	Significant
<input checked="" type="checkbox"/>	Major
<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity
	Low capacity
	No capacity and / or resources
<b>Trend - Development over the last 6 years</b>	
	Decreasing
	Static
<input checked="" type="checkbox"/>	Increasing

Name	Impact	Origin	Trend
4.13.7 Low impact research/monitoring activities	    	    	

<b>Spatial scale - Area affected by the factor</b>	
	Restricted
<input checked="" type="checkbox"/>	Localised
	Extensive
	Widespread
<b>Temporal scale - Occurrence of the impact</b>	
	One off or rare
	Intermittent or sporadic
	Frequent
<input checked="" type="checkbox"/>	On-going
<b>Impact - Impact on the attributes</b>	
	Insignificant
	Minor
<input checked="" type="checkbox"/>	Significant
	Major
<b>Management response - Capacity of management to respond</b>	
<input checked="" type="checkbox"/>	High capacity
	Medium capacity

	Low capacity
	No capacity and / or resources
<b>Trend - Developement over the last 6 years</b>	
	Decreasing
	Static
✗	Increasing

#### 4.17. Serial inscriptions (national or transnational)

**4.17.1 - If your property is a serial inscription (national or transnational) please identify which components of the property are impacted by each factor**

Not Comment

#### 4.18. Prediction of the state of conservation at next cycle of Periodic Reporting.

**4.18.1 - Please predict what the state of conservation of each attribute will be approximately 6 years from now (at the time of the next cycle of Periodic Reporting)**

	Attribute	Preserved	Compromised	Seriously compromised	Lost
4.18.1.1	Construction regulations and architectural layouts of the mausoleum	✗			
4.18.1.2	Archaeological remains including tomb heaps, accompanying tombs, buildings and accompanying pits	✗			
4.18.1.3	Terracotta warriors and horses and funerary carts in bronze	✗			
4.18.1.4	Techniques of the Qin dynasty for ceramic and cart production, metallurgy, and metal processing	✗			
4.18.1.5	Overall environmental settings	✗			

### 5. Protection and Management of the Property

#### 5.1. Boundaries and Buffer Zones

**5.1.1 - Are the boundaries of the World Heritage property adequate to maintain the property's Outstanding Universal Value?**

The boundaries are **adequate to maintain** the property's Outstanding Universal Value

**5.1.2 - Are the boundaries of the World Heritage property known and recognised?**

The boundaries are **known by both** the management authority and local communities/landowners

**5.1.3 - Are the buffer zone(s) of the World Heritage property adequate to maintain the property's Outstanding Universal Value?**

The buffer zones are **adequate** to maintain the property's Outstanding Universal Value

**5.1.4 - Are the boundaries of the buffer zones known and recognised?**

The buffer zones of the World Heritage property are **known and recognised by both** the management authority and local communities/landowners

**5.1.5 - Comments, conclusions and/or recommendations related to boundaries and buffer zones of the World Heritage property**

The protected area totals 45.69 square kilometers, among which the area of the property (the priority protected area) is 2.74 square kilometers. The buffer zone basically contains all heritage attributes unearthed or discovered.

#### 5.2. Protective Measures

**5.2.1 - Protective designation (legal, regulatory, contractual, planning, institutional and/or traditional).**

Public property of the PRC.

Declared a major national preservation of cultural relics by decree promulgated by the State Council of the PRC, in 1961.

Protected under the Constitution of the PRC, the Criminal Law of the PRC, the Cultural Relics Protection Law or the PRC, the Environment Protection Law of the PRC, and other relevant act.

The Qin Shi Huang Mausoleum is open to the public, and a Museum of Qin Shi Huang Terracotta Warriors and Horses has been established with an annual tourist flow of more than two million.

The Mausoleum of the First Qin Emperor was approved by the State Council to be the National Priority Protected Cultural Heritage Property in 1961. In 1984, it was approved to be included as a part of Lishan National Scenic District.

Source: Periodic Reporting Cycle 2

#### Comment

Announced as a National Priority Protected Site in 1961, a National Grade-A Museum in 2007, and a National 5A Tourist Attraction in 2008.

**5.2.2 - Please list any legislation and other measures (regulatory -including spatial planning- contractual, institutional or traditional) not included in 5.2.1 and indicate the category**

**5.2.3 - Is the legal framework (i.e. legislation and/or regulation including spatial planning) adequate for maintaining the Outstanding Universal Value including conditions of Integrity and/or Authenticity of the property?**

The legal framework for maintaining of the Outstanding Universal Value including conditions of Authenticity and/or Integrity of the World Heritage property provides an adequate basis for effective management and protection

**5.2.4 - Is the legal framework (i.e. legislation and/or regulation) adequate in the buffer zone for maintaining the Outstanding Universal Value including conditions of Integrity and/or Authenticity of the property?**

The legal framework in the buffer zone for the maintenance of the Outstanding Universal Value including conditions of Authenticity and/or Integrity of the World Heritage property provides an adequate basis for effective management and protection

**5.2.5 - Is the legal framework (i.e. legislation and/or regulation) in the broader setting of the World Heritage property adequate for maintaining the Outstanding Universal Value including conditions of Integrity and/or Authenticity of the property?**

The legal framework for the broader setting of the World Heritage property provides an adequate basis for effective management and protection of the property, contributing to the maintenance of its Outstanding Universal Value including conditions of Authenticity and/or Integrity

**5.2.6 - Can the legal framework (i.e. legislation and/or regulation) be enforced?**

There is adequate capacity/resources to enforce legislation and/or regulation in the World Heritage property

**5.2.7 - Please provide a short summary of how the legislation, including spatial planning and other regulation, works in practice**

The Regulations of Shaanxi Province for the Conservation of the Mausoleum of the First Qin Emperor provide the legal basis and guidelines for the protection and management of the property. It sets out mandates of different functional departments, protected targets, use of funds, ownership of land, and legal liabilities

**5.2.8 - Comments, conclusions and/or recommendations about the information related to the measures taken to protect the World Heritage property**

Regulations of Shaanxi Province for the Conservation of the Mausoleum of the First Qin Emperor entered into force in 2005. Significant social, economic, cultural and policy changes relating to the property have taken place over the past 15 years. Therefore, a new edition of the Regulations should be drafted as soon as possible to ensure its protection and management in the new context.

### 5.3. Management System/Management Plan

**5.3.1 - Please check the box which most closely match the character of the governance and management system of the property**

Public management system joint national/ local

If 'Other', please specify

**5.3.2 - Management System: Please indicate which of the various management tools listed below are used to help protect the property.**

A statutory Management Plan or zoning plan for the property.

An annual work plan or business plan

A visitor/visitation management plan

**5.3.3 - Please give a brief description of the management system currently in place at your property**

1.Shaanxi Provincial Administration of Cultural Heritage oversees the property's conservation and supervises and manages protection of cultural property in the protected area and the construction restriction area. 2.Emperor Qinshihuang's Mausoleum Site Museum is responsible for surveys, archaeological excavation, presentation and exhibition, scientific research, and safety and security of cultural property in the property.

### 5.3.4 - Management Documents

**5.3.5 - Has any use been made of the 2011 Recommendation on the Historic Urban Landscape in developing policies and best practices for the protection of this property?**

The 2011 Recommendation on the Historic Urban Landscape is not relevant to this property

**5.3.6 - If the Historic Urban Landscape Recommendation has been used at this property, please describe briefly what has been done.**  
Not Comment

**5.3.7 - Has any use been made of the Policy Document on the Impacts of Climate Change on World Heritage Properties at the property?**

No use has been made of the World Heritage Policy for Climate Change

**5.3.8 - Si le document d'orientation sur les impacts du changement climatique a été utilisé, décrivez brièvement les mesures prises, ainsi que les études effectuées sur les effets du changement climatique sur le bien :**

Not Comment

**5.3.9 - La Stratégie pour réduire les risques liés aux catastrophes sur les biens du patrimoine mondial a-t-elle été utilisée pour ce bien ?**

La Stratégie pour réduire les risques liés aux catastrophes sur les biens du patrimoine mondial n'a pas été utilisée.

**5.3.10 - Si la Stratégie pour réduire les risques liés aux catastrophes sur les biens du patrimoine mondial a été utilisée, décrivez brièvement les mesures prises**

Not Comment

**5.3.11 - Les différents niveaux de l'administration (à savoir national/fédéral, régional/provincial/état, local/municipal, etc.) intervenant dans la gestion du bien du patrimoine mondial sont-ils bien coordonnés ?**

Il y a une coordination appropriée entre toutes les entités/tous les niveaux impliqués dans la gestion du bien.

**5.3.12 - Le système/plan de gestion est-il adéquat pour maintenir la valeur universelle exceptionnelle du bien ?**

Le système/plan de gestion est tout à fait adapté pour maintenir la valeur universelle exceptionnelle du bien.

**5.3.13 - Le système/plan de gestion est-il mis en œuvre ?**

Le système/plan de gestion est totalement mis en œuvre et son suivi est assuré.

**5.3.14 - Existe-t-il un plan de travail/d'action annuel et est-il mis en œuvre ?**

Il existe un plan de travail/plan d'action annuel et toutes les activités sont mises en œuvre et leur suivi est assuré.

**5.3.15 - Le système de gestion intègre-t-il des mécanismes et procédures formels pour assurer la participation et la contribution des groupes suivants, vivant dans les limites ou à proximité du bien du patrimoine mondial et/ou de la zone tampon, aux décisions de gestion maintenant la valeur universelle exceptionnelle du bien ?**

		Sans objet	Pas de mécanismes de participation	Une certaine participation	Participation directe	Participation évolutive à tous les processus de décision pertinents
5.3.15.1	Communautés locales				✗	
5.3.15.2	Collectivités locales			✗		
5.3.15.3	Propriétaires dans le périmètre du bien et de la zone tampon	✗				
5.3.15.4	Peuples autochtones	✗				
5.3.15.5	Femmes				✗	
5.3.15.6	Autres groupes spécifiques	✗				
	Si vous avez choisi « Autres groupes spécifiques », veuillez préciser					

**5.3.16 - Évaluez la coopération/relation entre les gestionnaires/coordinateurs/personnel du bien du patrimoine mondial et les groupes suivants**

		Sans objet	Pas de coopération/relation	Faible	Moyenne	Bonne
5.3.16.1	Communautés locales					✗
5.3.16.2	Autorités locales/municipales					✗
5.3.16.3	Peuples autochtones		✗			
5.3.16.4	Propriétaires fonciers		✗			
5.3.16.5	Femmes				✗	
5.3.16.6	Jeunes/enfants					✗
5.3.16.7	Chercheurs					✗
5.3.16.8	Visiteurs locaux/touristes					✗
5.3.16.9	Touristes nationaux/internationaux					✗
5.3.16.10	Secteur touristique					✗
5.3.16.11	Entreprises et industries locales					✗
5.3.16.12	ONG		✗			
5.3.16.13	Autres groupes spécifiques					✗
	Si vous avez choisi « Autres groupes spécifiques », veuillez préciser		Volunteers			

**5.3.17 - Évaluez de quelle manière le système de gestion de votre bien contribue à atteindre les objectifs de la Politique pour l'intégration d'une perspective de développement durable dans les processus de la Convention du patrimoine mondial**

		Sans objet	Pas de contribution	Contribution limitée	Contribution importante	Parfaite réalisation des objectifs
5.3.17.1	Le système de gestion du bien contribue à l'égalité des genres.	✗				
5.3.17.2	Le système de gestion du bien offre des services / avantages à la communauté locale au niveau de l'écosystème (par ex. air, eau, aliments, plantes médicinales).	✗				

5.3.17.3	Le système de gestion du bien contribue à l'inclusion sociale et l'équité, en améliorant les opportunités pour tous, indépendamment de l'âge, du sexe, du handicap, de l'origine ethnique ou autre, de la religion, du milieu économique ou de toute autre considération.	×					
5.3.17.4	Le système de gestion du bien intègre une approche fondée sur les droits de l'homme.	×					
5.3.17.5	Le système de gestion du bien contribue à favoriser le développement économique inclusif local et à améliorer les moyens de subsistance.	×					
5.3.17.6	Le système de gestion du bien contribue à la prévention des conflits, en respectant la diversité culturelle dans le périmètre et à proximité des biens du patrimoine.						×

### 5.3.18 - Commentaires supplémentaires concernant l'évaluation du système de gestion ci-dessus

Local residents are mostly engaged in production and sales of souvenirs, passenger and cargo transportation, catering service, and tour guide service. Incomplete statistics show that more than 6,000 villages from the five administrative villages near the museum, including Xiahe, Wangjian, Shanren, Qinling and Maojia, are engaged in business operations related to tourist service.

### 5.3.19 - Commentaires, conclusions et/ou recommandations relatives au système/plan de gestion

Statistics of the museum

## 6. Financial and Human Resources

### 6.1. Funding

**6.1.1 - Si vos sources de financement ne correspondent pas exactement à celles indiquées, inscrivez les sommes correspondant au type de financement qui représente votre situation avec le plus de justesse et utilisez la boîte de commentaires pour plus de détails.**

		Frais de projets	Frais courants
6.1.1.1	Financement multilatéral (FEM, Banque mondiale, etc.)	0 %	0 %
6.1.1.2	Financement international bilatéral	0 %	0 %
6.1.1.3	Fonds du patrimoine mondial (Assistance internationale)	0 %	0 %
6.1.1.4	Contribution des autres conventions et programmes	0 %	0 %
6.1.1.5	Dons internationaux (ONG, fondations, etc.)	0 %	0 %
6.1.1.6	Gouvernemental (national/fédéral)	0 %	0 %
6.1.1.7	Gouvernemental (régional, provincial, État central)	0 %	0 %
6.1.1.8	Gouvernemental (local/municipal)	0 %	0 %
6.1.1.9	Dons nationaux (ONG, fondations, etc.)	0 %	0 %
6.1.1.10	Frais payés par les visiteurs (par ex. entrée, toilettes, parking, camping, etc.)	100 %	100 %
6.1.1.11	Activités commerciales (par ex. merchandising et restauration, permis pour filmer, concessions, etc.)	0 %	0 %
6.1.1.12	Autre	0 %	0 %
Total 100 %			Total 100 %

**6.1.2 - Commentez ci-après les autres sources de financement qui ne sont pas indiquées ci-avant**

Not Comment

**6.1.3 - Le budget actuel est-il suffisant pour gérer de manière efficace le bien du patrimoine mondial ?**

Le budget dont on dispose est approprié pour gérer efficacement le bien du patrimoine mondial.

**6.1.4 - Les sources actuelles de financement sont-elles assurées et ont-elles des chances de le rester ?**

Les sources actuelles de financement sont assurées à moyen et long termes.

**6.1.5 - Commentaires, conclusions et/ou recommandations relatives au financement et aux infrastructures**

Statistics of local fiscal budget and expenditure for Emperor Qinshihuang's Mausoleum Site Museum from 2012 to 2020

**6.1.6 - Estimez la participation des hommes et des femmes à la gestion, la conservation et l'interprétation des biens du patrimoine mondial et dans quelle mesure ils sont issus des communautés locales.**

		Issus des communautés locales %	Issus d'ailleurs %
6.1.6.1	Hommes	71 %	49 %
6.1.6.2	Femmes	29 %	51 %
Total 100 %			Total 100 %

#### **6.1.7 - Les ressources humaines disponibles sont-elles suffisantes pour gérer le bien du patrimoine mondial ?**

Les ressources humaines sont adaptées aux besoins de la gestion.

#### **6.1.8 - Concernant les besoins en matière de gestion du bien du patrimoine mondial, veuillez évaluer la disponibilité des professionnels dans les disciplines suivantes**

Conservation	Bonne disponibilité
Durabilité environnementale	Bonne disponibilité
Participation et inclusion des communautés	Bonne disponibilité
Préparation aux risques	Bonne disponibilité
Renforcement des compétences et éducation	Disponibilité moyenne
Administration	Bonne disponibilité
Recherche et suivi	Bonne disponibilité
Sensibilisation et communication des informations publiques	Disponibilité moyenne
Marketing et promotion	Bonne disponibilité
Interprétation	Bonne disponibilité
Gestion des visiteurs / tourisme	Bonne disponibilité
Gardiennage, police	Bonne disponibilité

#### **6.1.9 - Pour la gestion du bien du patrimoine mondial, veuillez noter la disponibilité de formations dans les disciplines énumérées**

Conservation	Bonne disponibilité
Durabilité environnementale	Bonne disponibilité
Participation et inclusion des communautés	Bonne disponibilité
Préparation aux risques	Disponibilité moyenne
Renforcement des capacités et éducation	Bonne disponibilité
Administration	Disponibilité moyenne
Recherche et suivi	Bonne disponibilité
Sensibilisation et communication des informations publiques	Disponibilité moyenne
Marketing et promotion	Disponibilité moyenne
Interprétation	Bonne disponibilité
Gestion des visiteurs/tourisme	Disponibilité moyenne
Forces de l'ordre (gardiennage, police)	Disponibilité moyenne

#### **6.1.10 - La Stratégie de renforcement des capacités du patrimoine mondial a-t-elle été utilisée pour le bien ?**

La Stratégie de renforcement des capacités du patrimoine mondial n'a pas été utilisée.

#### **6.1.11 - Si la Stratégie de renforcement des capacités du patrimoine mondial a été utilisée, décrivez brièvement les mesures prises.**

Not Comment

#### **6.1.12 - Existe-t-il des plans ou programmes de renforcement des compétences sur site pour développer l'expertise locale et permettre le transfert des compétences pour la conservation et la gestion du bien du patrimoine mondial ?**

Un plan ou programme local de renforcement des compétences est en place et totalement mis en œuvre ; toutes les compétences techniques sont transmises à ceux qui gèrent le bien localement.

#### **6.1.13 - Commentaires, conclusions et/ou recommandations concernant les ressources humaines, l'expertise et la formation**

Yearly salary and staffing reports, yearbooks from 2012 to 2018, and related training application forms

### **7. Scientific Studies and Research Projects**

#### **7.1 - A-t-on une connaissance appropriée (scientifique ou traditionnelle) des valeurs et attributs du bien du patrimoine mondial permettant de soutenir la planification, la gestion et le processus décisionnel afin d'assurer le maintien de la valeur universelle exceptionnelle ?**

La connaissance des valeurs et attributs du bien du patrimoine mondial est appropriée.

#### **7.2 - Un programme de recherche est-il prévu dans le périmètre du bien pour répondre aux besoins de la gestion et/ou pour améliorer la compréhension de la valeur universelle exceptionnelle ?**

Il existe un **programme général de recherche intégrée** portant sur les besoins de la gestion et/ou l'amélioration de la compréhension de la valeur universelle exceptionnelle.

### 7.3 - Les résultats des programmes de recherche sont-ils librement disponibles et diffusés ?

Les résultats de la recherche **sont largement diffusés avec une sensibilisation active** des communautés locales et des publics nationaux et internationaux.

### 7.4 - Commentaires, conclusions et/ou recommandations concernant les études scientifiques et les projets de recherche

Research projects undertaken or joined by the Museum and their research results

## 8. Education, Information and Awareness Building

### 8.1 - Veuillez évaluer le degré de connaissance et de compréhension de l'existence et des motifs d'inscription du bien du patrimoine mondial parmi les groupes suivants

Communautés locales	Bonne sensibilisation
Autorités locales, municipales	Bonne sensibilisation
Peuples autochtones	Sans objet
Propriétaires fonciers	Bonne sensibilisation
Femmes	Bonne sensibilisation
Jeunes/enfants	Sensibilisation moyenne
Chercheurs	Bonne sensibilisation
Visiteurs locaux	Sensibilisation moyenne
Touristes nationaux/internationaux	Sensibilisation moyenne
Secteur du tourisme	Bonne sensibilisation
Entreprises et industries locales	Sensibilisation moyenne
ONG	Sans objet
Autres groupes spécifiques	Sans objet
Si vous avez choisi « Autres groupes spécifiques », précisez :	

### 8.2 - Le bien dispose-t-il d'un/de programme(s) d'éducation sur le patrimoine pour les enfants et/ou les jeunes contribuant à mieux faire comprendre le patrimoine, promouvoir la diversité et encourager le dialogue interculturel ?

Il existe un **programme planifié et efficace d'éducation et de sensibilisation** des enfants et des jeunes qui contribue à la protection du bien du patrimoine mondial.

### 8.3 - Quel est le public ciblé par les programmes d'éducation et de sensibilisation sur le bien ?

Communautés locales
Autorités locales, municipales
Propriétaires fonciers
Femmes
Jeunes/enfants
Chercheurs
Visiteurs locaux
Touristes nationaux/internationaux
Secteur du tourisme
Entreprises et industries locales

### 8.4 - Veuillez noter le caractère plus ou moins adapté des installations suivantes destinées aux visiteurs, en ce qui concerne l'éducation, l'information, l'interprétation et la sensibilisation au bien du patrimoine mondial

Centre d'accueil des visiteurs	Bien adaptée
Musée de site	Bien adaptée
Kiosques d'information	Moyennement adaptée
Visites guidées	Moyennement adaptée
Sentiers/itinéraires	Bien adaptée
Documents d'information papier	Moyennement adaptée

Informations en ligne (site web, réseaux sociaux, etc.)	Bien adaptée
Transports	Moyennement adaptée
Autre	Non nécessaire
Si vous avez choisi « Autre », précisez	

#### 8.5 - Commentaires, conclusions et/ou recommandations concernant l'éducation, l'information et la sensibilisation

Statistics of Emperor Qinshihuang's Mausoleum Site Museum

### 9. Visitor Management

#### 9.1 - Estimez le nombre de visiteurs annuel (visiteurs nationaux et internationaux compris) depuis le dernier Rapport périodique

8017018 / 8580416 / 6853128 / 5986469 / 5620358 /

#### 9.2 - Sources d'information utilisées pour rassembler les statistiques de fréquentation

Billets d'entrée et inscriptions

#### 9.3 - Quelle est la durée moyenne d'une visite sur le site du patrimoine mondial ?

Entre une et trois heures

#### 9.4 - Veuillez indiquer les sources d'information

Durations of explanation provide for different types of tourists by museum guides

#### 9.5 - Quelles sont les dépenses moyennes approximatives des visiteurs par jour (Estimez la dépense en dollars des États-Unis) ?

18.3 /

#### 9.6 - Veuillez indiquer les sources d'information

Ticket prices of the museum

#### 9.7 - Le système/plan de gestion du bien du patrimoine mondial intègre-t-il une stratégie, avec un plan d'actions, pour gérer le flux de visiteurs, l'activité touristique et ses impacts économiques, socioculturels et environnementaux ?

Il y a une stratégie planifiée et efficace de gestion du flux de visiteurs, de l'activité touristique et de ses impacts sur le bien du patrimoine mondial.

#### 9.8 - Commentaires concernant la réponse fournie à la question 9.7 ci-dessus

In line with the property's carrying capacity, the museum exercises the visitation monitoring and control mechanism and the emergency mechanism for tourist diversion and evacuation during peak time and saturation time. These mechanisms include the ticket booking, real-time visitation monitoring, visitation control, peak-time and saturation-time tourist diversion and evacuation mechanisms.

#### 9.9 - L'utilisation du bien par les visiteurs est-elle gérée de manière efficace pour préserver la valeur universelle exceptionnelle du bien ?

L'usage par les visiteurs du bien du patrimoine mondial est géré efficacement et n'affecte pas sa valeur universelle exceptionnelle.

#### 9.10 - L'efficacité de la gestion du tourisme est-elle régulièrement contrôlée ?

Oui, avec un autre système

#### Si vous utilisez un autre système, précisez :

The control of tourist capacity

#### 9.11 - Comment le secteur du tourisme coopère-t-il avec la gestion du site pour enrichir les expériences du visiteur et préserver la valeur universelle exceptionnelle du bien du patrimoine mondial ?

Il y a une bonne coopération entre les responsables du bien du patrimoine mondial et l'industrie touristique pour mettre en avant la valeur universelle exceptionnelle et enrichir l'appréciation des visiteurs.

#### 9.12 - Comment les informations sur la valeur universelle exceptionnelle du bien sont-elles présentées et interprétées ?

La présentation et l'interprétation de la valeur universelle exceptionnelle du bien sont appropriées.

#### 9.13 - Dans combien d'emplacements l'emblème du patrimoine mondial est-il exposé au sein du bien ?

Dans beaucoup d'emplacements et facilement visible par les visiteurs.

#### 9.14 - Comment les revenus du tourisme/apportés par les visiteurs (droits d'entrée, permis) contribuent-ils à la gestion du bien du patrimoine mondial ?

On collecte des droits d'entrée et cela contribue largement à la gestion du bien du patrimoine mondial.

#### 9.15 - Existe-t-il des initiatives locales de tourisme durable ?

Oui

#### Si vous avez répondu « Oui », précisez

#### 9.16 - Les bénéfices du tourisme sont-ils partagés avec les communautés locales ?

Oui

## Si vous avez répondu « Oui », précisez :

Tourism created jobs for local residents who are now engaged in production and sales of souvenirs and catering, passenger transportation, accommodation and tour guide services. Meanwhile, the property has also helped improve the historical and cultural image of Lintong and Xi'an. Ticket incomes are used for the property's protection and management.

### 9.17 - Commentaires, conclusions et/ou recommandations concernant la gestion des visites du bien du patrimoine mondial

Due to its popularity at home and abroad, the property has put in place mechanisms for visitation monitoring and control and emergency response mechanisms for tourist diversion and evacuation. Local communities are benefited from tourism. More than 6,000 residents from surrounding communities are engaged in business operations relating to the property. The booking system has been put in place. Publicity will be increased further to improve tourist experience.

## 10. Monitoring

### 10.1 - Existe-t-il un programme de suivi dans le périmètre du bien qui soit axé sur les besoins de la gestion et/ou sur une meilleure compréhension de sa valeur universelle exceptionnelle ?

Il y a un **suivi important**, mais il n'est pas axé sur les besoins de la gestion et/ou l'amélioration de la compréhension de la valeur universelle exceptionnelle.

### 10.2 - Les informations nécessaires sont-elles disponibles pour définir des indicateurs clés de mesure de l'état de conservation et sont-elles utilisées pour contrôler de quelle manière la valeur universelle exceptionnelle du bien est maintenue ?

L'information sur les valeurs du bien du patrimoine mondial est **appropriée** et des indicateurs clés ont été définis, mais le suivi de l'état des indicateurs pourrait être amélioré.

### 10.3 - Des indicateurs clés sont-ils définis et en place pour les aspects principaux suivants du bien ?

	Importance des indicateurs de suivi	Sans objet	Pas d'indicateurs	Indicateurs définis mais pas encore en usage	Indicateurs définis et utilisés depuis le dernier cycle de soumission de Rapports périodiques
10.3.1	État de conservation			×	
10.3.2	Efficacité du système de gestion			×	
10.3.3	Système de gouvernance			×	
10.3.4	Synergie appropriée avec les autres désignations de conservation			×	
10.3.5	Contribution au développement durable			×	
10.3.6	Renforcement des capacités			×	

### 10.4 - Commentaires concernant les indicateurs clés adoptés pour le bien

Not Comment

### 10.5 - Veuillez noter le niveau de participation des groupes suivants au suivi :

Gestionnaires et personnel du patrimoine mondial	Bonne participation
Autorités locales, municipales	Bonne participation
Communautés locales	Participation moyenne
Peuples autochtones	Sans objet
Propriétaires fonciers	Bonne participation
Femmes	Participation moyenne
Chercheurs	Bonne participation
Secteur du tourisme	Bonne participation
Entreprises et industries locales	Participation moyenne
ONG	Sans objet
Autres groupes spécifiques	Sans objet
Si vous avez choisi « Autres groupes spécifiques », veuillez préciser :	

### 10.6 - L'État partie a-t-il mis en œuvre les recommandations appropriées du Comité du patrimoine mondial (Aller au début du chapitre 3 pour le [lien vers les rapports sur l'état de conservation](#) de la base de données du Centre du patrimoine mondial sur l'état de conservation) ?

La mise en œuvre est achevée.

### 10.7 - Commentaires concernant la mise en œuvre des recommandations du Comité du patrimoine mondial

1. The museum has developed full and detailed plans for archaeological excavation and presentation. 2. The Regulations of Shaanxi Province for the Conservation of the Mausoleum of the First Qin Emperor was enacted in 2005 and its Conservation Plan in 2010. 3. The Museum of Emperor Qinshihuang's Terracotta Warriors was founded in 1979 and Emperor Qinshihuang's Mausoleum Site Museum in 1979. 4. UNESCO announced boundaries of the property and the buffer zone in 2013.

## 10.8 - Commentaires, conclusions et/ou recommandations concernant le suivi

UNESCO's official website and the museum's statistics

## 11. Identification of Priority Management Needs

### 11.1 - Évaluation des besoins de gestion

<b>5.3</b>	<b>Management System/Management Plan</b>	
5.3.7	Le document d'orientation sur les impacts du changement climatique <b>n'a pas été utilisé</b> pour le bien	<input checked="" type="checkbox"/>
5.3.9	La Stratégie pour réduire les risques liés aux catastrophes sur les biens du patrimoine mondial <b>n'a pas été utilisée</b> pour ce bien	<input checked="" type="checkbox"/>
<b>6.1</b>	<b>Funding</b>	
6.1.10	La Stratégie de renforcement des capacités du patrimoine mondial <b>n'a pas été utilisée</b> pour ce bien du patrimoine mondial	<input checked="" type="checkbox"/>
<b>10</b>	<b>Monitoring</b>	
10.1	Il y a un <b>suivi important</b> dans le périmètre du bien du patrimoine mondial, mais il n'est pas axé sur les besoins de la gestion et/ou l'amélioration de la compréhension de la valeur universelle exceptionnelle	<input checked="" type="checkbox"/>
10.2	L'information sur les valeurs du bien du patrimoine mondial est <b>appropriée et des indicateurs clés ont été définis, mais le suivi de l'état des indicateurs pourrait être amélioré</b>	<input checked="" type="checkbox"/>

Please select 0 more issues.

Please save this question to reflect changes

## 12. Summary and Conclusions

### 12.1. Summary - Factors affecting the Property

#### 12.1.1 - Résumé - Facteurs affectant le bien

<b>4.1</b>	<b>Buildings and Development</b>						
4.1.2	Développement commercial	The buffer zone's environment	Supervision and monitoring of construction sites	Pre-construction examination and approval, control of construction process	Before construction until completion	Emperor Qinshihuang's Mausoleum Site Museum, Lintong District Government	Not Comment
4.1.3	Zones industrielles	The buffer zone's environment	Supervision and monitoring of construction	Pre-construction examination and approval, supervision during construction, inspection of operation safety	Whole process	Emperor Qinshihuang's Mausoleum Site Museum, Lintong District Government	Not Comment
<b>4.3</b>	<b>Services Infrastructures</b>						
4.3.3	Infrastructures liées aux énergies non renouvelables	The buffer zone's environment	Supervision and monitoring of construction	Pre-construction examination and approval, supervision during construction, inspection of operation safety	Whole process	Emperor Qinshihuang's Mausoleum Site Museum, Lintong District Government	Not Comment
<b>4.4</b>	<b>Pollution</b>						
4.4.4	Pollution atmosphérique	Pits 1, 2 and 3, Lishan Garden, accompanying pits K9901, K0006	Environmental monitoring, regular cleaning	Monitoring of IoT environment and offline environment	By different seasons and on a regular basis	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment
<b>4.7</b>	<b>Local conditions affecting physical fabric</b>						
4.7.2	Humidité relative	Pits 1, 2 and 3, Lishan Garden, accompanying pits K9901, K0006	Environmental monitoring	Monitoring of IoT environment and offline environment	By different seasons and on a regular basis	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment
4.7.3	Température	Pits 1, 2 and 3, Lishan Garden, accompanying pits K9901, K0006	Environmental monitoring	Monitoring of IoT environment and offline environment	By different seasons and on a regular basis	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment
4.7.4	Radiation/lumière	Pits 1, 2 and 3,	Environmental monitoring	Monitoring of IoT environment and offline environment	By different seasons and on a regular basis	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment

4.7.5	Poussière	Pits 1, 2 and 3, Lishan Garden, accompanying pits K9901, K0006	Environmental monitoring	Monitoring of IoT environment and offline environment	By different seasons and on a regular basis	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment
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Résumé - Facteurs affectant le bien **completed**

## 12.2. Summary - Management Needs

### 12.2.1 - Résumé - Besoins en matière de gestion

Management System/Management Plan						
		Actions	Calendrier	Organisation chef de file (et autres organismes engagés)	Informations / commentaires supplémentaires	
5.3.7	<b>No use</b> has been made of the Policy Document on the Impacts of Climate Change on World Heritage Properties at the property	Not relevant	Not relevant	Not relevant	Not Comment	
5.3.9	<b>No use</b> has been made of the Strategy for Reducing Risks from Disasters at World Heritage Properties at the property	Strategy for Reducing Risks from Disasters at World Heritage Properties used for the preparation of the conservation plan	Revised Conservation Plan for the Mausoleum of the First Qin Emperor to be announced	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment	
6.1	Funding					
6.1.10	<b>No use</b> has been made of the World Heritage Strategy for Capacity Development at the World Heritage property	Strategy for Reducing Risks from Disasters at World Heritage Properties used for the preparation of the conservation plan	Revised Conservation Plan for the Mausoleum of the First Qin Emperor to be announced	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment	
10	Monitoring					
10.1	<b>There is considerable monitoring at the World Heritage property but it is not directed towards management needs and/or improving understanding of Outstanding Universal Value</b>	The museum is conducting monitoring of the property and its environment and will build a monitoring platform for the property.	From 2020	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment	
10.2	<b>Information on the values of the World Heritage property is adequate and key indicators have been defined but monitoring of the status of indicators could be improved</b>	In 2021, the museum will launch the construction of the General Monitoring and Early Warning Platform for the World Heritage Property "Mausoleum of the First Qin Emperor" (phase 1)	From 2021	Emperor Qinshihuang's Mausoleum Site Museum	Not Comment	

### 12.3. Conclusions on the State of Conservation of the Property

**12.3.1 - Following the analysis undertaken for this report, what is the current state of Authenticity of the World Heritage property?**  
The Authenticity of the World Heritage property has been preserved

**12.3.2 - Following the analysis undertaken for this report, what is the current state of Integrity of the World Heritage property?**  
The Integrity of the World Heritage property is intact

**12.3.3 - Following the analysis undertaken for this report, what is the current state of the World Heritage property's Outstanding Universal Value?**

The World Heritage property's Outstanding Universal Value has been maintained.

**12.3.4 - What is the current state of the property's other values?**

Other important cultural and/or natural values and the state of conservation of the World Heritage property are intact

**12.3.5 - Comments, conclusions and/or recommendations related to the state of conservation of the property.**

Not Comment

### 13. Impact of World Heritage Status

**13.1 - Please rate the impacts of World Heritage status of the property in relation to the following areas**

Conservation	Positive
Research and monitoring	Positive
Management effectiveness	Positive
Quality of life for local communities and indigenous peoples	Positive
Recognition	Positive
Education	Positive
Infrastructure development	Positive
Funding for the property	Positive
International cooperation	Positive
Political support for conservation	Positive
Legal/Policy framework	Positive
Advocacy	Positive
Institutional coordination	Positive
Security	Positive
Gender equality	Positive
Provision of ecosystem services/ benefits to local communities	Positive
Social inclusion and equity, and improvement of opportunities for all, irrespective of age, sex, disability, ethnicity, origin, religion, or economic or other status	Positive
Fostering inclusive local economic development and enhancing livelihood	Positive
Contributing to conflict prevention, including respect for cultural diversity within and around heritage properties	Not applicable
Other	Not applicable
If 'Other', please specify	

**13.2 - Comments, conclusions and/or recommendations related to World Heritage status and its impacts**

Not Comment

### 14. Good Practice in the Implementation of the World Heritage Convention

**14.1 - Example of good practice in World Heritage protection, identification, conservation or management at the property level**

1. Temporary solid extraction of fragile objects at archaeological excavation sites and their preservation technology won the Second Prize of the National Science & Technology Award in 2019. This research project aims to extract fragile objects unearthed from archaeological sites in an integral, safe and effective way for the purpose of permanent preservation. Based on special requirements for temporary extraction of solid materials and research outcomes in Chinese and foreign literature, the design and selection principle has been proposed for the development of temporary consolidation and controllable and removal technology for extraction materials. Technical specifications for temporary consolidation technology have been worked out and research results have been applied to extraction

and preservation of fragile objects and remains of different materials in 68 sites. This project provides strong technical support for extracting fragile remains at archaeological excavation sites, having great significance for the country's development in extracting technology and equipment for fragile remains at archaeological sites. 2. In 2015, the exhibition "Authentic Colors of Terracotta Warriors of the First Qin Emperor" won the first prize at the 12th National Promotion of the Ten Permanent Museum Exhibitions. 3. "Qin's Unification" won a prize at the 17th National Promotion of the Ten Permanent Museum Exhibitions in 2020.

#### **14.2 - Define which topics are covered by this example of best practice at the property level**

Sustainable Development

State of Conservation

#### **15. Assessment of the Periodic Reporting Exercise**

##### **15.1. Relevance of Periodic Reporting**

###### **15.1.1 - Has the Periodic Reporting process improved the understanding of the following?**

The World Heritage Convention

The concept of Outstanding Universal Value

The property's Outstanding Universal Value

The concept of Integrity and/or Authenticity

The property's Integrity and/or Authenticity

Management effectiveness to maintain the Outstanding Universal Value

Monitoring and reporting

###### **15.1.2 - Please rate the follow-up to conclusions and recommendations from previous Periodic Reporting exercise by the following entities**

State Party	Good
Site Managers	Good
UNESCO World Heritage Centre	Good
Advisory Bodies (ICOMOS, IUCN, ICCROM)	Good

#### **15.2. Use of Data**

##### **15.2.1 - How do the authorities in charge of the property plan to use the data recorded from this cycle of Periodic Reporting?**

Revision of priorities/strategies/policies for the protection, management and conservation of heritage

Update of management plans

Awareness raising

Advocacy

##### **15.2.2 - Comments on use of data from the Cycle of Periodic Reporting**

Not Comment

#### **15.3. Timing and resources**

##### **15.3.1 - Entities involved in the filling out of this online questionnaire (tick as many boxes as applicable)**

Governmental institutions responsible for cultural and natural heritage

Site Manager/Coordinator World Heritage property staff

External experts

##### **15.3.2 - Has a gender balanced contribution and participation been considered in the filling out of this questionnaire?**

Gender balance has been given limited consideration and implementation is in process.

##### **15.3.3 - Were you given adequate time (i.e. roughly ten months) to gather necessary information and to fill in this questionnaire?**

Yes

##### **15.3.4 - Please estimate the time (working hours) needed to complete this questionnaire**

120 / 56 / 50 /

##### **15.3.5 - Did you mobilise any additional resources to fill out this questionnaire?**

	Additional resources	No	Yes
15.3.5.1	Human resources		<input checked="" type="checkbox"/>
15.3.5.2	Financial resources for organizing consultation meetings/ training	<input checked="" type="checkbox"/>	

## 15.4. Format and content of the Periodic Report

### 15.4.1 - How accessible was the information required to complete this questionnaire?

Most required information was accessible.

### 15.4.2 - Was the questionnaire easy to use and clear to understand?

		Very Difficult	Difficult	Easy	Very easy
15.4.2.1	Ease of use of questionnaire			X	
15.4.2.2	Clarity of questions			X	

### 15.4.3 - Please provide suggestions for improvement of the Periodic Reporting questionnaire

Not Comment

## 15.5. Training and Guidance

### 15.5.1 - Please rate the level of support in terms of training and guidance from the following entities in completing this questionnaire

UNESCO World Heritage Centre	Good
UNESCO (other sectors/field offices)	Not applicable
UNESCO National Commission	Not applicable
ICOMOS International	Good
IUCN International	Not applicable
ICCROM international/regional	Not applicable
ICOMOS national/regional	Good
IUCN national/regional	Good

### 15.5.2 - Please rate the level of support for completing the Periodic Reporting questionnaire from the following entities

UNESCO World Heritage Centre	Not applicable
State Party Representative (national Focal Point)	Good
UNESCO other sectors (e.g. field office)	Not applicable
National Commission for UNESCO	Not applicable
ICOMOS International	Not applicable
ICCROM International/regional	Not applicable
ICOMOS national/regional	Not applicable
IUCN national/regional	Not applicable
IUCN International	Not applicable

### 15.5.3 - Were the online training resources prepared by the World Heritage Centre regarding Periodic Reporting adequate for you to complete this questionnaire?

Yes

### 15.5.4 - If you found that the online training resources were not adequate, what changes would you like to see implemented?

Not Comment

## 15.6. Actions that will require formal consideration by the World Heritage Committee

### 15.6.1 - Summary of actions that will require formal consideration by the World Heritage Committee

No item were proposed for update

## 15.7. Comments, conclusions and/or recommendations related to the Assessment of the Periodic Reporting Exercise

### 15.7.1 - Comments, conclusions and/or recommendations related to the Assessment of the Periodic Reporting Exercise

Not Comment

### 15.7.2 - Thank you for having filled in all the questions. Please contact your National Focal Point for validation.