Guatemala

1. Introduction

1.1 - State Party

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1.2 - Date of ratification/accession/acceptance of the Convention concerning the Protection of the World Cultural and Natural Heritage 16/01/1979

1.3 - Groups and institutions involved in the preparation of Section I of the Periodic Report

Governmental institutions responsible for cultural and natural heritage

World Heritage site managers/coordinators

External experts

- 2. Synergies with other Conventions
- 2.1. Multilateral Environmental Agreements
- 2.1.1 According to the information available at the World Heritage Centre, in addition to the World Heritage Convention, your State is party to/not party to/in the process of adhering to the following agreements. Please check and amend as necessary.

		Not Party to	Party to	Adhesion in Progress
2.1.1.1	Convention Concerning the Protection of the World Cultural and Natural Heritage		×	
2.1.1.2	Convention on Biological Diversity (CBD)		×	
2.1.1.3	Convention on International Trade in Endangered Species in Wild Flora and Fauna (CITES)		×	
2.1.1.4	Convention on the Conservation of Migratory Species of Wild Animals (CMS)		×	
2.1.1.5	Convention on Wetlands of International Importance (Ramsar Convention)	×		
2.1.1.6	International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA)	×		
2.1.1.7	International Plant Protection Convention (IPPC)		×	

2.1.2 - According to the information available at the World Heritage Centre, the following World Heritage property(ies) in your State Party is/are also designated (in whole or in part) as (a) Ramsar site(s) under the List of Wetlands of International Importance (The Ramsar List). Please check and amend as necessary.

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- 2.1.3 Does your State Party intend to designate any World Heritage property(ies) (in whole or in part) for inclusion in the List of Wetlands of International Importance in the next three years?
- 2.1.4 Please indicate which World Heritage property(ies) your State Party intends to designate (in whole or in part) for inclusion in the List of Wetlands of International Importance in the next three years.

Not Applicable

2.1.5 - Please list any other relevant global or regional multilateral environmental agreements (MEAs) on natural heritage which have been joined by your State Party.

Not Applicable /

- 2.2. UNESCO Culture Conventions
- 2.2.1 The table below indicates which of the UNESCO cultural Conventions your State is party to, not party to or in the process of adhering to. Please check and amend as necessary.

		Not Party to	Party to	Adhesion in Progress
2.2.1.1	Convention Concerning the Protection of the World Cultural and Natural Heritage		×	
2.2.1.2	1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict		×	
2.2.1.3	Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict		×	

2.2.1.4	1970 Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property	×	
2.2.1.5	2001 Convention on the Protection of the Underwater Cultural Heritage	×	
2.2.1.6	2003 Convention for the Safeguarding of the Intangible Cultural Heritage	×	
2.2.1.7	2005 Convention on the Protection and Promotion of the Diversity of Cultural Expressions	×	

2.2.2 - Please list any other relevant global or regional Conventions or agreements on cultural heritage to which your State Party is party to or in the process of adhering to.

Not Applicable /

2.2.3 - According to the information available at the World Heritage Centre the following World Heritage property(ies) located in your State Party have been included on the List of Cultural Property under Enhanced Protection under the Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict.

According to information available, no property in your State Party is currently listed.

2.2.4 - Does your State Party intend to request the granting of Enhanced Protection for any of its World Heritage properties under the Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict in the next three years?

No

- 2.2.5 Please list any World Heritage properties for which your State Party intends to request the granting of Enhanced Protection.

 Not Applicable
- 2.3. UNESCO Programmes
- 2.3.1 The table below indicates which of the selected UNESCO programmes your State Party participates in. Please check and amend as necessary.

		No	Yes
2.3.1.1	Man and the Biosphere Programme		×
2.3.1.2	UNESCO Global Geoparks	×	

2.3.2 - According to the information available at the World Heritage Centre, the following World Heritage property(ies) in your State Party is/are also designated (in whole or in part) as (a) Biosphere Reserve(s) under the intergovernmental Man and the Biosphere Programme.

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- 2.3.3 Does your State Party intend to nominate any of its World Heritage properties (in whole or in part) as (a) Biosphere Reserve(s) under the intergovernmental Man and the Biosphere Programme?
- 2.3.4 Please indicate which World Heritage property(ies), (or part(s) thereof), your State Party intends to nominate as (a) Biosphere Reserve(s) under the intergovernmental Man and the Biosphere Programme:

Not Applicable /

2.3.5 - According to the information available at the World Heritage Centre, the following World Heritage property(ies) in your State Party are also designated (in whole or in part) as UNESCO Global Geopark(s)

According to information available, no property in your State Party is currently listed.

2.3.6 - Has your State Party applied for any of its World Heritage properties (or part(s) thereof) to be designated UNESCO Global Geopark(s)?

No

2.3.7 - Please indicate which World Heritage property(ies) (or part(s) thereof) is/are (a) UNESCO Global Geoparks applicant(s).

Not Applicable

- 2.4. Cooperation and synergies between the Conventions and programmes your State is party to/is associated with/intends to join
- 2.4.1 Is there communication between the World Heritage Focal Point and the Focal Points of the Convention(s)/programme(s) listed below?

Not Applicable No Yes

2.4.1.1	Convention Concerning the Protection of the World Cultural and Natural Heritage			×
2.4.1.2	Convention on Biological Diversity (CBD)		×	
2.4.1.3	Convention on International Trade in Endangered Species in Wild Flora and Fauna (CITES)		×	
2.4.1.4	Convention on the Conservation of Migratory Species of Wild Animals (CMS)	×		
2.4.1.5	Convention on Wetlands of International Importance (Ramsar Convention)		×	
2.4.1.6	International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA)		×	
2.4.1.7	International Plant Protection Convention (IPPC)		×	
2.4.1.8	1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict			×
2.4.1.9	Second Protocol to the 1954 Hague Convention for the Protection of Cultural Property in the Event of Armed Conflict			×
2.4.1.10	1970 Convention on the Means of Prohibiting and Preventing the Illicit Import, Export and Transfer of Ownership of Cultural Property			×
2.4.1.11	2001 Convention on the Protection of the Underwater Cultural Heritage			×
2.4.1.12	2003 Convention for the Safeguarding of the Intangible Cultural Heritage			×
2.4.1.13	2005 Convention on the Protection and Promotion of the Diversity of Cultural Expressions			×
2.4.1.14	Man and the Biosphere Programme			×
2.4.1.15	Global Geoparks	×		

2.4.2 - Please comment, if applicable, on the communication between the World Heritage Focal Point and the Focal Points of the other Convention(s)/programme(s):

Not Applicable

2.4.3 - Are World Heritage Focal Points involved in the revision and implementation of national natural heritage strategies, policies and action plans, beyond specific issues related to World Heritage?

Yes

2.4.4 - Are World Heritage Focal Points involved in the revision and implementation of national cultural heritage strategies, policies and action plans, beyond specific issues related to World Heritage?

Yes

2.5. UNESCO Recommendations

2.5.1 - Is your State Party using the provisions of the 1972 Recommendation and the 2011 Recommendation to set policies or strategies for the protection of cultural and natural heritage?

		Yes	No
2.5.1.1	1972 Recommendation concerning the Protection, at the National level, of the Cultural and Natural Heritage	×	
2.5.1.2	2011 Recommendation on the Historic Urban Landscape		×

2.5.2 - Please describe how effectively each of the Recommendations is used:

Very useful

- 3. Tentative List
- 3.1 In the process of preparing your Tentative List, did you use any of the following tools to make a preliminary assessment of the potential Outstanding Universal Value?

ICOMOS thematic studies
IUCN thematic studies
Filling the gaps – an Action Plan for the future by ICOMOS
UNESCO's Global Strategy for a representative, balanced and credible World Heritage List
World Heritage Resource Manual 'Preparing World Heritage Nominations'

3.2 - Have you used the Upstream Process in the revision of your Tentative List?

No

If you selected 'Yes', please comment on which forms of upstream guidance you have used and on their effectiveness of this guidance.

3.3 - Do you intend to use the Upstream Process during the next revision of your Tentative List?

Yes

3.4 - Do any of the sites registered on your Tentative List have the potential to generate dialogue and cooperation among States Parties and different communities?

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3.5 - Please name the site(s) considered to have this potential

3.6 - Please rate the level of involvement of the following (if applicable) in the preparation of the Tentative List

National government institution(s)	Good
Regional/provincial/state government(s)	Good
Local government(s)	Good
Other government departments	Not applicable
UNESCO National Commission	Good
Local authorities within or adjacent to the property	Not applicable
Local communities/residents	Good
Indigenous peoples	Good
Other specific groups (please specify below)	Not applicable
Landowners	Not applicable
Local industries/tourism and other stakeholders	Good
Non-Governmental Organization(s)	Good
Consultants/experts	Good
Site manager(s)/coordinator(s)	Good
If 'Other specific groups' applies, please specify	

3.7 - Has a gender balanced contribution and participation been considered and implemented in the process of preparing the Tentative List?

Gender balance is explicitly considered and effectively implemented in the process.

3.8 - Do any sites on your Tentative List already benefit from other international designations either under other UNESCO Conventions/Programmes or under the Ramsar Convention on Wetlands?

Yes

If you selected 'Yes', please list the site(s), identify the other designation(s)/programme(s) from which it/they benefit(s), and state the additional benefits you expect to gain from inscription on the World Heritage List.

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3.9 - Please provide any additional comments, conclusions and/or recommendations related to the Tentative List (Questions 3.1 to 3.8)

4. Nominations

4.1 - Please rate the level of involvement of the following entities in the preparation of the most recent nomination dossiers

National government institution(s)	Good
Regional/provincial/state government(s)	Not applicable
Local government(s)	Good
Other government departments	Good
UNESCO National Commission	Good
Local authorities within or adjacent to the property	Not applicable
Local communities/residents	Good
Indigenous peoples	Good
Other specific groups (please specify below)	Not applicable
Landowners	Good
Local industries/tourist sector and commercial stakeholders	Good
Non-Governmental Organization(s)	Not applicable
Consultants/experts	Good
Site manager(s)/coordinator(s)	Not applicable

4.2 - Has a gender balanced contribution and participation been considered and implemented in the preparation of the most recent nomination dossiers?

Yes

4.3 - Please rate the perceived benefits in your country of inscribing properties on the World Heritage List

Promoted environmental sustainability, valuing places which are essential for human well-being	High
Enhanced inclusive social development, with full inclusion and equity for all stakeholders	High
Strengthened protection and conservation of heritage (legislative, regulatory, institutional and/or traditional)	High
Enhanced conservation practices	High
Enhanced wider community appreciation and participation in heritage processes	Not applicable
Improved presentation of sites	High
Enhanced honour/prestige	High
Increased funding	Not applicable
Additional tool for lobbying/political influence	Not applicable
Fostered peace and security, including promotion of partnerships and conservation	High
Increased number of tourists and visitors	High
Promoted inclusive economic development, including decent income and employment for communities	High
Other(s)	Not applicable
If 'Other' applies, please specify	

4.4 - Please rate the extent to which the inscription of properties on the World Heritage List will contribute to achieving the objectives of the 2015 World Heritage and Sustainable Development Policy and the 2030 Agenda for Sustainable Development.

Protecting biological and cultural diversity and ecosystem services and benefits	High
Strengthening resilience to natural hazards and climate change	High
Contributing to inclusion and equity	High
Enhancing quality of life and well-being	Not applicable
Respecting, protecting and promoting human rights	Not applicable
Respecting, consulting and involving indigenous peoples and local communities	High
Achieving gender equality	High
Ensuring growth, employment, income and livelihoods	High
Promoting economic investment and quality tourism	High
Strengthening capacity-building, innovation and local entrepreneurship	High
Ensuring conflict prevention	Not applicable
Protecting heritage during conflict	Not applicable
Promoting conflict resolution	Not applicable
Contributing to post-conflict recovery	Not applicable
Other(s)	Not applicable
If 'Other' applies, please specify	

- 4.5 Please provide any additional comments and/or recommendations related to the nomination of properties (questions 4.1 to 4.4).
- 5. General Policy Development
- 5.1. Principal pieces of national cultural and/or natural heritage legislation
- 5.1.1 Principal pieces of national legislation for the protection, conservation and presentation of the State Party's cultural and/or natural heritage

Comment

Decree number 26-97. Law for the Protection of The Cultural Heritage of the Nation (Cultural Heritage) Decree number 4-89. Law for the Protected Areas (Natural

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- 5.2. Comment on principal pieces of legislation
- **5.2.1 Please comment, especially if prefilled legislation is no longer in force** Not Applicable
- 5.3. Other principal pieces of legislation
- 5.3.1 If there are principal pieces of legislation for the protection, conservation and presentation of cultural and/or natural heritage not listed in the previous question, please add them here.
- 5.4. Contribution of legislation and/or regulations at other levels to the identification, conservation and protection of the cultural and/or natural heritage
- 5.4.1 Please describe briefly how legislation and/or regulations at other levels contribute to the identification, conservation and protection of the State Party's cultural and/or natural heritage.
- 5.5. Adequacy of the legal framework for the identification of the State Party's cultural and/or natural heritage
- 5.5.1 Is the legal framework (i.e. legislation and/or regulations) adequate for the identification of the State Party's cultural and/or natural heritage?

	Culture	Nature
There is no legal framework for the identification of cultural and/or natural heritage.		
The legal framework is inadequate for the identification of cultural and/or natural heritage.		
The legal framework is partially adequate for the identification of cultural and/or natural heritage.		
The legal framework is adequate for the identification of cultural and/or natural heritage.	×	×

- 5.6. Adequacy of the legal framework for the conservation and protection of the State Party's cultural and/or natural heritage
- 5.6.1 Is the legal framework (i.e. legislation and/or regulations) adequate for the conservation and protection of the State Party's cultural and/or natural heritage?

	Culture	Nature
There is no legal framework for the conservation and protection of cultural and/or natural heritage.		
The legal framework is inadequate for the conservation and protection of cultural and/or natural heritage.		
The legal framework is partially adequate for the conservation and protection of cultural and/or natural heritage.	×	×
The legal framework is adequate for the conservation and protection of cultural and/or natural heritage.		

- 5.7. Enforcement of the legal framework
- 5.7.1 Can the legal framework (i.e. legislation and/or regulations) for the conservation and protection of the State Party's cultural and/or natural heritage be enforced?

		There is no legal framework.	There is no effective capacity/resources to enforce the legal framework.	Existing capacity/resources to enforce the legal framework could be strengthened.	Existing capacity/resources to enforce the legal framework are adequate.
5.7.1.1	Culture			×	
5.7.1.2	Nature			×	

- 5.8. Comments on the enforcement of the legal framework
- 5.8.1 Please comment on particular problems of enforcement
- 5.9. Policies giving cultural and natural heritage a function in the life of communities
- 5.9.1 How effectively do the State Party's policies give cultural and natural heritage a function in the life of communities?

	Culture	Nature
There are no specific policies to give heritage a function in the life of communities.		
There are no specific policies to give heritage a function in the life of communities but this is being achieved on an ad hoc basis.		
There are specific policies to give heritage a function in the life of communities but there are some deficiencies in their implementation.	×	×
There are specific policies to give heritage a function in the life of communities that are effectively implemented.		

- 5.10. Examples of specific policies giving heritage a function in the life of communities
- 5.10.1 Please provide examples of specific policies that give heritage a function in the life of communities Ministerial Resolution 981-2011. Spiritual Guides and Sacred Areas
- 5.11. Integration of the conservation and protection of cultural and natural heritage as a strategic element in national sustainable development policies and strategies
- 5.11.1 How effectively does your State Party integrate the conservation and protection of cultural and natural heritage as a strategic element in national sustainable development policies and strategies?

Protecting biological and cultural diversity and providing ecosystem services and benefits.	Some
Strengthening resilience to natural hazards and climate change.	Some
Contributing to inclusion and equality.	Not applicable
Enhancing the quality of life and well-being.	Not applicable
Respecting, protecting and promoting human rights.	Not applicable
Respecting, consulting and involving indigenous peoples and local communities.	Some
Achieving gender equality.	Some
Ensuring growth, employment, income and livelihoods.	Some
Promoting economic investment and quality tourism.	Not applicable
Strengthening capacity-building, innovation and local entrepreneurship.	Not applicable
Ensuring conflict prevention.	Not applicable
Protecting heritage during conflict.	Not applicable
Promoting conflict resolution.	Not applicable
Contributing to post-conflict recovery.	Not applicable
Other (please specify).	Not applicable
If 'Other' applies, please specify	

- 5.12. UNESCO Recommendation on the Historic Urban Landscape (HUL), adopted in 2011
- 5.12.1 In relation to the UNESCO Recommendation on the Historic Urban Landscape (HUL), adopted in 2011, please indicate which of the following steps your State Party has taken (you can check multiple boxes):

 $Formulate \ and \ adopt \ supporting \ public \ policies \ for \ the \ identification \ and \ protection \ of \ historic \ layering \ in \ urban \ environments$

Formulate and adopt supporting public policies for monitoring the implementation of the Recommendation and its impact on the conservation and management of historic cities

- 5.13. Integration of the conservation and protection of cultural and natural heritage into comprehensive/larger scale planning programmes
- 5.13.1 How effectively does the State Party integrate the conservation and protection of cultural and natural heritage into comprehensive/larger scale planning programmes?

There are policies but there are some deficiencies in their implementation.

- 5.14. Use of policies and strategies agreed by the World Heritage Committee or the World Heritage General Assembly to set national policies or strategies for the protection of the cultural and natural heritage
- 5.14.1 Is your State Party using policies and strategies agreed by the World Heritage Committee or the World Heritage General Assembly to set national policies or strategies for the protection of the cultural and natural heritage?

	UNESCO World Heritage Policy or Strategy	No	Yes
5.14.1.1	Policy Document on the Impacts of Climate Change on World Heritage Properties		×
5.14.1.2	Strategy for Reducing Risks from Disasters at World Heritage Properties		×
5.14.1.3	World Heritage Capacity Building Strategy		×
5.14.1.4	World Heritage Sustainable Development Policy		×

5.14.2 - Is the implementation of multilateral agreements, programmes and World Heritage policies and strategies coordinated and integrated into the development of national policies for the conservation, protection and presentation of cultural and natural heritage?

There is **limited coordination and integration** of the implementation of these multilateral agreements, Programmes and World Heritage policies and strategies into national policies.

5.15. Specific national policies developed using World Heritage policies and strategies

5.15.1 - Please give details of specific national policies developed using World Heritage policies and strategies

Standards for Disaster Reduction: The standards are a set of specifications that regulate human activity to achieve the common good. The standards for Disaster Reduction have as their main objective to be a mechanism for the preservation of life, safety and integrity of people, establishing the minimum requirements that must be comply with the buildings and facilities to which the different users have access.

- 5.16. Comments on General Policy Development (Questions 5.1 to 5.15)
- 5.16.1 Comments, conclusions and/or recommendations related to General Policy Development (Questions 5.1 to 5.15)
- 6. Inventories/Lists/Registers of Cultural and Natural Heritage

6.1 - If the State Party has already established inventories/lists/registers of cultural and natural heritage, at which level(s) are they compiled, and what is their current status?

	Cultural heritage	Natural heritage
National/federal	Process commenced	Process commenced
Regional/provincial/state	Process commenced	Process commenced
Local	Process commenced	Process commenced
Other	Process commenced	Process commenced

6.2 - Are inventories/lists/registers adequate to capture the diversity of cultural and natural heritage of your State Party?

	Culture	Nature
No inventories/lists/registers of heritage have been established.		
Inventories/lists/registers are inadequate to capture the diversity of heritage.		
Inventories/lists/registers capture some of the diversity of heritage.	×	×
Inventories/lists/registers capture the full diversity of heritage.		

6.3 - Are inventories/lists/registers used to protect the identified cultural and natural heritage?

	Culture	Nature
No inventories/lists/registers of heritage have been established.		
Inventories/lists/registers are not actively used for the protection of heritage.		
Inventories/lists/registers are sometimes used for the protection of heritage.		
Inventories/lists/registers are frequently used for the protection of heritage.	×	×

6.4 - In addition to heritage practitioners and academic institutions, does the State Party involve communities and indigenous peoples in the identification of natural and cultural heritage for inclusion in inventories/lists/registers?

	Culture	Nature
The State Party does not involve communities and indigenous peoples in the identification of natural and/or cultural heritage for inclusion in their inventories/lists/registers.		
The State Party plans to involve communities and indigenous peoples in the identification sites of natural and/or cultural heritage for inclusion in their inventories/lists/registers in the future.		
The State Party sometimes involves communities and indigenous peoples in the identification sites of natural and/or cultural heritage for inclusion in their inventories/lists/registers.	×	×
The State Party regularly involves communities and indigenous peoples in the identification sites of natural and/or cultural heritage for inclusion in their inventories/lists/registers.		

6.5 - Are inventories/lists/registers used for the identification of sites for the Tentative List?

	Culture	Nature	Mixed
No inventories/lists/registers of heritage have been established.			
Inventories/lists/registers are not actively used for the identification of sites for inclusion on the Tentative List.			
Inventories/lists/registers are sometimes used for the identification of sites for inclusion on the Tentative List.			

×

6.6 - Comments, conclusions and/or recommendations related to inventories/lists/registers of cultural and natural heritage (questions 6.1 to 6.5)

- 7. Status of Services for the Identification, Protection, Conservation and Presentation of Natural and Cultural Heritage
- 7.1 How effectively do the principal agencies/institutions responsible for cultural and/or natural heritage cooperate in the identification, protection, conservation and presentation of this heritage?

There is **some cooperation** between the principal agencies/institutions for the identification, protection, conservation and presentation of cultural and/or natural heritage **but this could be improved.**

7.2 - How effectively do other government agencies (e.g. those responsible for tourism, defence, public works, fishery, etc.) cooperate in the identification, protection, conservation and presentation of cultural and/or natural heritage?

In general, **cooperation exists** between other government agencies and the principal agencies/institutions for the identification, protection, conservation and presentation of cultural and/or natural heritage**but there are still deficiencies**.

7.3 - How effectively do different levels of government cooperate in the identification, protection, conservation and presentation of cultural and/or natural heritage?

	Culture	Nature
There is no cooperation between different levels of government for the identification, protection, conservation and presentation of cultural and/or natural heritage.		
There is limited cooperation between different levels of government for the identification, protection, conservation and presentation of cultural and/or natural heritage.		
In general, cooperation exists between different levels of government for identification, protection, conservation and presentation of cultural and/or natural heritage but there are still deficiencies .	×	×
Different levels of government cooperate effectively for the identification, protection, conservation and presentation of cultural and/or natural heritage.		

7.4 - How effectively do different levels of government cooperate with all segments of civil society in the identification, conservation, protection and presentation of cultural and natural heritage?

	Culture	Nature
There is no cooperation between different levels of government and all segments of civil society in the identification, protection, conservation and presentation of cultural and/or natural heritage.		
There is limited cooperation between different levels of government and all segments of civil society in the identification, protection, conservation and presentation of cultural and/or natural heritage.		
There is some cooperation between different levels of government and all segments of civil society in the identification, protection, conservation and presentation of cultural and/or natural heritagebut there are still deficiencies.	×	×
Different levels of government cooperate effectively with all segments of civil society, in the identification, protection, conservation and presentation of cultural and/or natural heritage.		

8. Financial Status and Human Resources

8.1 - Please assess the relative importance of the following sources of funding for the conservation and protection of cultural and natural heritage in your country.

National government funds	Major source of funding for running costs/maintenance
Other levels of government (provincial, state, local)	Minor source of project funding
International assistance from the World Heritage Fund	Major source of funding for running costs/maintenance
Funds from other international conventions/programmes	Minor source of project funding
International multilateral funding (e.g. World Bank, IDB, European Union, etc.)	Major source of project funding
International bilateral funding (e.g. AFD, GIZ, DGCS, GEF, etc.)	Minor source of project funding
Non-Governmental Organizations (international and/or national)	Minor source of project funding
Private sector funds	Minor source of project funding
Other	No funding/Not applicable
If 'Other' applies, please specify	

8.2 - Does the State Party have policies to allocate site revenues for the conservation and protection of cultural and natural heritage?

		_
	No	Yes

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8.2.1	Culture	×
8.2.2	Nature	×

8.3 - Do you consider that the current budget is sufficient to conserve, protect and present cultural and natural heritage effectively?

	Culture	Nature
The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect cultural and natural heritage.	×	×
The available budget is acceptable but could be further improved to fully meet the conservation, protection and presentation needs.		
The available budget is adequate to meet the current conservation, protection and presentation needs.		

8.4 - Please indicate the percentage of total annual public expenditure that is spent on the identification, conservation, protection and presentation of cultural and natural heritage

	Category	percentage %
8.4.1	Cultural	3
8.4.2	Natural	3

8.5 - Please estimate the percentage of the total annual public expenditure (as stated in 8.4) that is spent on cultural and natural heritage at each governmental level

Percentage		Cultural	Natural
8.5.1	National/Federal	75 %	75 %
8.5.2	Regional/Provincial	20 %	20 %
8.5.3	Local	5 %	5 %
		Total 100 %	Total 100 %

8.6 - Are available human resources adequate to conserve, protect and present cultural and natural heritage effectively?

Human resources	Culture	Nature
Human resources are inadequate for conservation, protection and presentation needs of cultural and/or natural heritage.		
A range of human resources exist, to conserve, protect and present cultural and/or natural heritage, but these are below optimum .		
Human resources are adequate to meet the current needs of cultural and/or natural heritage conservation, protection and presentation.	×	×

8.7 - Comments, conclusions and/or recommendations related to financial and human resources (Questions 8.1 to 8.6)

9. Capacity Development

9.1 - Please prioritise the capacity building needs in the following fields identified in your country for the conservation, protection and presentation of cultural and natural heritage.

	Culture	Nature
National/federal	High priority	High priority
Statutory processes: Tentative Lists	High priority	High priority
Statutory processes: Nominations	High priority	High priority
Statutory processes: Reporting and monitoring	High priority	High priority
Statutory processes: International assistance	High priority	High priority
Conservation and management of heritage sites	Medium priority	Medium priority
Technical and scientific issues	Medium priority	Medium priority
Traditional conservation processes	Medium priority	Medium priority
Sustainable resource utilisation and management	Medium priority	Medium priority
Interpretation/communication of World Heritage properties	Medium priority	Medium priority
Risk preparedness and disaster risk management	High priority	High priority
Impact assessment tools (environmental, heritage and social)	Medium priority	Medium priority
Sustainable tourism use and management	High priority	High priority
Management effectiveness assessment	High priority	High priority

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Management approaches and methodologies (including HUL)	High priority	High priority
Awareness raising and outreach	Medium priority	Medium priority
Governance: legislative, institutional and financial frameworks and mechanisms	High priority	High priority
Sustainable development	High priority	High priority
Protection and integration of biological and cultural diversity in management systems	Medium priority	Medium priority
Strengthening resilience to natural hazards and climate change: adaptation and mitigation	High priority	High priority
Adoption of rights-based approaches to heritage management	High priority	High priority
Development of inclusive, equitable and effective management systems: enhancing quality of life and well-being through heritage	High priority	High priority
Inclusive social development in World Heritage management systems	Medium priority	Medium priority
Gender balance in management systems	Medium priority	Medium priority
Inclusive economic development in World Heritage management systems	Medium priority	Medium priority
World Heritage as an enabler and a driver of peace and security	Medium priority	Medium priority

9.2 - Please rate the priority for training target audiences for each of the specific topics/themes/capacity building needs identified below for conservation, protection and presentation of cultural and natural heritage.

	Administrators and government bodies at all levels	Communities, indigenous peoples, landowners, local businesses, other social groups etc.	Universities, NGOs etc.	Heritage practitioners
Implementation of the Convention	High priority	Medium priority	Medium priority	Medium priority
Statutory processes: Tentative Lists process	High priority	High priority	High priority	High priority
Statutory processes: Nomination process	High priority	High priority	High priority	High priority
Statutory processes: Reporting and monitoring process	Medium priority	Medium priority	Medium priority	Medium priority
Statutory processes: International assistance, etc.	Medium priority	Medium priority	Medium priority	Medium priority
Conservation and management of heritage sites	Medium priority	Medium priority	Medium priority	Medium priority
Technical and Scientific issues	Medium priority	Medium priority	Medium priority	Medium priority
Traditional conservation processes	Medium priority	Medium priority	Medium priority	Medium priority
Sustainable resource utilisation and management	Medium priority	Medium priority	Medium priority	Medium priority
Interpretation/communication of World Heritage properties	Medium priority	Medium priority	Medium priority	Medium priority
Risk preparedness and disaster risk management	High priority	High priority	High priority	High priority
Impact assessment tools (environmental, heritage and social)	High priority	High priority	High priority	High priority
Sustainable tourism use and management	Medium priority	Medium priority	Medium priority	Medium priority
Management effectiveness assessment	High priority	High priority	High priority	High priority
Management approaches and methodologies (including HUL)	Medium priority	Medium priority	Medium priority	Medium priority
Awareness raising and outreach	Medium priority	Medium priority	Medium priority	High priority
Governance: legislative, institutional and financial frameworks and mechanisms	High priority	High priority	High priority	High priority
Sustainable development	Medium priority	Medium priority	Medium priority	Medium priority
Building environmental and social resilience	Medium priority	Medium priority	Medium priority	Medium priority
Integration of eco-social diversity in management systems	Medium priority	Medium priority	Medium priority	Medium priority
Adoption of rights-based approaches to heritage management	High priority	High priority	High priority	High priority

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Development of inclusive, equitable and effective management systems	Medium priority	Medium priority	Medium priority	Medium priority
Adaptation to and mitigation of climate change	High priority	High priority	High priority	High priority

9.3 - Please indicate how the State Party is using the World Heritage Capacity Building Strategy (2011).

		No	Yes
9.3.1	The State Party is using the Capacity Building Strategy for the implementation of capacity building at the national level.		×
9.3.2	The State Party is using the Capacity Building Strategy for the implementation of capacity building at the regional/sub-regional level.		×
9.3.3	The State Party is using the Capacity Building Strategy to identify capacity building priorities.		×
9.3.4	The State Party is using the Capacity Building Strategy by fundraising to support capacity building programmes.	×	
9.3.5	The State Party is using the Capacity Building Strategy by raising awareness about the need to conserve and manage cultural and natural heritage.		×

9.4 - Does the State Party have a national training/educational strategy to strengthen capacity development in the field of heritage conservation, protection, presentation and management?

There is a national strategy for capacity development in the field of heritage conservation, protection, presentation and management but there are some deficiencies in implementation.

- 9.5 Comments: Please provide any additional comments, conclusions and/or recommendations related to Capacity Development (Questions 9.1 to 9.4).
- 10. Policy and Resourcing of World Heritage Properties
- 10.1 If there are principal pieces of legislation specific to the protection, conservation, presentation and management of World Heritage not listed in 5.1 (which focuses on policy general to all heritage), please list them here.
- 10.2 Please describe briefly how legislation and/or regulations at other levels contribute to the identification, conservation and protection of the State's Party cultural and natural heritage. Please provide web links for the legislation listed above.

 Not Applicable
- 10.3 Are the services provided by agencies/institutions adequate for the protection, conservation, presentation and management of World Heritage properties in your country?

There is some capacity to protect, conserve, present and manage World Heritage properties but some deficiencies remain.

10.4 - How does the State Party encourage and support World Heritage properties to manage and develop visitation/tourism sustainably?

By providing capacity building for site managers

By facilitating network cooperation and stakeholder engagement through the development of governance structures or other mechanisms for cooperation

- 10.5 Please indicate here any additional information or clarify how the State Party supports sustainable tourism planning and management at a property level.
- 10.6 Does the State Party require the use of impact assessments for programmes (e.g. strategic environmental assessments) or development projects (e.g. environmental impact assessments, heritage impact assessments) that may have an impact on the World Heritage property, its buffer zone and the wider setting?

There is a regulatory framework that requires the use of impact assessments for programmes or development projects but it is not enforced.

- 10.7 Please list the different assessment methods used. Please provide weblinks to the guidance for the assessment methods. Environmental Impact Assessments https://www.marn.gob.gt/paginas/Instrumentos_de_Evaluacin_Ambiental
- 10.8 Does the State Party have a national capacity building strategy for World Heritage conservation, protection, presentation and management?

There is no national capacity building strategy in relation to World Heritage conservation, protection, presentation and management but capacity building is being done on an ad hoc basis

- 10.9 Does the State Party have the institutional capacity to conduct research specifically for World Heritage issues?

 There is no institutional capacity to conduct research specifically for World Heritage issues but research is conducted in collaboration with partners.
- 10.10 Has the State Party helped to establish national, public and private foundations or associations for raising funds and receiving donations for the protection of World Heritage?
- 10.11 Please add any additional comments, conclusions and/or recommendations related to World Heritage policies and resources
- 11. International Cooperation
- 11.1 Has the State Party promoted international cooperation and the establishment of cooperation mechanisms for heritage since the

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last Periodic Report? If so, please indicate the type of cooperation that best describes your activities.

Bilateral and multilateral agreements

Hosting and/or attending international training courses/seminars

- 11.2 Do you have World Heritage properties that have been twinned with others at a national or international level?
- 11.3 Please add any additional comments, conclusions and/or recommendations related to International Cooperation (Questions 11.1 to 11.2). If you have twinned World Heritage properties, please list them along with their twins.
- 12. Education, Information and Awareness Building
- 12.1 Does the State Party have a strategy to raise awareness among communities and different stakeholders about conservation, protection and presentation of World Heritage?

There are strategies to raise awareness about the conservation, protection and presentation of World Heritage but there are some deficiencies in its implementation.

12.2 - Please rate the level of general awareness of the following groups about World Heritage in your country

Communities living in/around heritage sites	Good
Indigenous peoples	Poor
Youth	Good
General public	Fair
Decision makers and public officials	Fair
Private sector	Poor
Tourism industry	Fair
Other specific groups	Not applicable
If you selected 'Other specific groups', please describe	

12.3 - Does the State Party have heritage education programmes for children and/or youth, that contribute to improving understanding of heritage, promoting diversity and fostering intercultural dialogue?

There are heritage education programmes to improve understanding of cultural and natural heritage, promote diversity, and foster intercultural dialogue but there are deficiencies in implementation.

12.4 - Please rate the level of frequency of the following activities to improve understanding of cultural and natural heritage, promote diversity and foster intercultural dialogue among children and/or youth

Teacher training courses on the use of the World Heritage in Young Hands Kit	None
Courses/activities for students within school programmes	Low
Youth forums	Low
Skills-training courses for students	Low
Organized school visits to World Heritage properties/cultural and natural sites	Medium
Activities linked to heritage within the framework of UNESCO Clubs/Associations	Low
Other	Not applicable
If you selected 'Other', please specify	

12.5 - Does the State Party participate in UNESCO's World Heritage in Young Hands programme?

The State Party does not participate in UNESCO's World Heritage in Young Hands programme.

- 12.6 Please add any additional comments, description of best practices in World Heritage Education, conclusions and/or recommendations related to education, information and awareness building (Questions 12.3 to 12.5)
- 13. Conclusions and Recommended Actions
- 13.1. State Party's implementation of the World Heritage Convention

Synergies with other relevant Conventions, Recommendations and Programmes

- $\bullet \ \ \text{The State Party is} \ \ \textbf{not} \ \text{using the provisions of the 2011 Recommendation on the Historic Urban Landscape}$
- There is limited coordination or integration of the implementation of multilateral agreements, programmes and World Heritage policies and strategies

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Identification of heritage

National inventories

Tentative List

Effectiveness of legal framework

Function of cultural and natural heritage in the life of the community

Contribution of heritage to sustainable development policies

- There is no integration of the conservation and protection of cultural and natural heritage as a strategic element in national sustainable development policies and strategies in
- There is **limited integration** of the conservation and protection of cultural and natural heritage as a strategic element in national sustainable development policies and strategies in:
 - Protecting biological and cultural diversity and providing ecosystem services and benefits
 - Strengthening resilience to natural hazards and climate change
 - · Respecting, consulting and involving indigenous peoples and local communities
 - · Achieving gender equality
 - Ensuring growth, employment, income and livelihoods

Larger-scale planning

Status of services for protection, conservation and presentation

Financial status

- The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Cultural heritage
- The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Natural heritage

Human resources

Capacity development

- The State Party is using the Capacity Building Strategy for the implementation of capacity building at the national level
- The State Party is using the Capacity Building Strategy for the implementation of capacity building at the regional/sub-regional level
- The State Party is using the Capacity Building Strategy to identify capacity building priorities
- The State Party is not using the Capacity Building Strategy by fundraising to support capacity building programmes
- The State Party is using the Capacity Building Strategy by raising awareness about the need to conserve and manage cultural and natural heritage
- There is a national strategy for capacity development in the field of heritage conservation, protection, presentation and management but there are some deficiencies in implementation

Policy and resourcing of World Heritage properties

- The services provided by agencies/institutions have some capacities to protect, conserve, present and manage World Heritage properties but some deficiencies remain
- There is a regulatory framework that requires the use of impact assessments for programmes or development projects that may have an impact on the World Heritage property, its buffer zone and the wider setting but it is not enforced
- There is no national capacity building strategy in relation to World Heritage conservation, protection, presentation and management but capacity building is being done on an ad hoc basis

Research on World Heritage properties

• The State Party has no institutional capacity to conduct research specifically for World Heritage issues but research is conducted in collaboration with partners

International cooperation

Forms of international cooperation and cooperation mechanisms for heritage promoted by the State Party since the last Periodic Report:

- Bilateral and multilateral agreements
- Hosting and/or attending international training courses/seminars

Education, information and awareness building

13.2. Actions for the implementation of the World Heritage Convention (identified from table 13.1).

13.2.1 - Please select the top issues (up to ten)

2.5	UNESCO Recommendations	
2.5.1	• The State Party is not using the provisions of the 2011 Recommendation on the Historic Urban Landscape	×

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5.14	Use of policies and strategies agreed by the World Heritage Committee or the World Heritage General Assembly to set national policies or strategies for the protection of the cultural and natural heritage	
5.14.2	There is limited coordination or integration of the implementation of multilateral agreements, programmes and World Heritage policies and strategies	×
8	Financial Status and Human Resources	
8.3	 The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Cultural heritage The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Natural heritage 	×
9	Capacity Development	
9.3	 The State Party is using the Capacity Building Strategy for the implementation of capacity building at the national level The State Party is using the Capacity Building Strategy for the implementation of capacity building at the regional/sub-regional level The State Party is using the Capacity Building Strategy to identify capacity building priorities The State Party is not using the Capacity Building Strategy by fundraising to support capacity building programmes The State Party is using the Capacity Building Strategy by raising awareness about the need to conserve and manage cultural and natural heritage 	
9.4	There is a national strategy for capacity development in the field of heritage conservation, protection, presentation and management but there are some deficiencies in implementation	×
10	Policy and Resourcing of World Heritage Properties	
10.3	The services provided by agencies/institutions have some capacities to protect, conserve, present and manage World Heritage properties but some deficiencies remain	×
10.6	There is a regulatory framework that requires the use of impact assessments for programmes or development projects that may have an impact on the World Heritage property, its buffer zone and the wider setting but it is not enforced	×
10.8	There is no national capacity building strategy in relation to World Heritage conservation, protection, presentation and management but capacity building is being done on an ad hoc basis	×
10.9	The State Party has no institutional capacity to conduct research specifically for World Heritage issues but research is conducted in collaboration with partners	×
11	International Cooperation	
11.1	Forms of international cooperation and cooperation mechanisms for heritage promoted by the State Party since the last Periodic Report: • Bilateral and multilateral agreements • Hosting and/or attending international training courses/seminars	×
Pleas	e select 1 more issues.	
□ Ple	ase save this question to reflect changes	

13.3. Priority Actions Assessment

13.3.1 - Please indicate priority actions to address items rated as poor

2.5	UNESCO Recommendations					
		Action	Short description	Authority(ies) responsible	Timeframe	May this action require International Assistance from the World Heritage Fund?
2.5.1	The State Party is not using the provisions of the 2011 Recommendation on the Historic Urban Landscape	Implement the recommendations, with special emphasis in updating the tentative list of Guatemala and the World Heritage Sites	Guatemala needs to update the Tentative List. This is a perfect scenario to include new properties, taking into account the pressures to which cities are subjected and creating a new balance between preserving and development.	Ministry of Culture and Sports in associaton with other key actor such as municipalities, private sector among others	Three years	Yes
5.14		rategies agreed by the World Her	itage Committee or the W	Vorld Heritage General Asse	embly to set national p	olicies or strategies for

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5.14.2	There is limited coordination or integration of the implementation of multilateral agreements, programmes and World Heritage policies and strategies	Search for alliances to achieve better coordination	Capacity building to implement policies	Ministry of Culture and Sports, National Council of Protected Areas (CONAP), Ministry of International Affairs (MINEX)	Three years	No
8	Financial Status and H	luman Resources				
8.3	The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Cultural heritage The available budget is inadequate for basic conservation, protection and presentation and is a serious constraint on the capacity to conserve and protect Natural heritage	Promotion of the new law for The Rescue of The National Heritage of Guatemala		Minisitry of Culture and Sports	Two years	No
9	Capacity Development					
9.4		nforcement of specific strategies and grams	nvolve more key actors and institutions that contribute to the development of strategies	Ministry of Culture and Sports	Four years	Yes
10	Policy and Resourcing	g of World Heritage Properties				
10.3	The services provided by agencies/institutions have some capacities to protect, conserve, present and manage World Heritage properties but some deficiencies remain	Reinforcement of capacities	Better capacity building for institutions	Ministry of Culture and Sports	Three years	No

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10.6	There is a regulatory framework that requires the use of impact assessments for programmes or development projects that may have an impact on the World Heritage property, its buffer zone and the wider setting but it is not enforced	Complian	ompliance of the regulatory amework		building and action plan er compliance	Ministry of Culture and Sports, National Council of Protected Areas, Ministry of environment and natural resources, National Council for the Protection of Antigua Guatemala		Five years	No
10.8	There is no national capacity building strategy in relation to World Heritage conservation, protection, presentation and management but capacity building is being done on an ad hoc basis	Creation of the National Capacity building Strategy			oment of a work strategy hort and medium term	Ministry of Culture and Sports		Three years	Yes
10.9	The State Party has no institutional capacity to conduct research specifically for World Heritage issues but research is conducted in collaboration with partners	Capacity building		Improve	ment of institutional es	Ministry o	of Culture and Sports	Three years	Yes
11	International Cooper	ation							
11.1	Forms of international cooperation and cooperation and cooper mechanisms for herita promoted by the State since the last Periodic Bilateral and multilateral agreements Hosting and/or attending international training courses/semin	eration ge Party Report:	Strengthening alliances		strengthening of the coor	peration	Ministry of Culture and Sports	Two years	Yes

Please indicate priority actions to address items rated as poor completed

- 13.4. Additional actions for the implementation of the World Heritage Convention
- 13.4.1 Please indicate any additional actions for the implementation of the World Heritage Convention
- 14. Good Practice in the Implementation of the World Heritage Convention
- **14.1 Example of good practice in World Heritage protection, identification, conservation or management at the State Party level.**Conservation Concessions in the Maya Biosphere Reserve (communities around the Tikal National Park)
- 14.2 Define which topics are covered by this example of good practice at the State Party level.

Sustainable Development

Management

- 15. Assessment of the Periodic Reporting Exercise
- 15.1. Primary institution responsible for communicating with UNESCO on the World Heritage Convention
- 15.1.1 Which primary institution is responsible for communicating with UNESCO with regards to the World Heritage Convention? Ministry of Culture and Sports
- 15.2. Relevance and Objectives of Periodic Reporting
- 15.2.1 Relevance and Objectives of Periodic Reporting

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State Party	Good
Site Managers	Good
UNESCO World Heritage Centre	Good
Advisory Bodies	Fair

15.3. The four Objectives of Periodic Reporting

15.3.1 - How well does the current questionnaire address each of the four Objectives of Periodic Reporting?

	Objective	Not at all	Partially	Adequately
15.3.1.1	Provide an assessment of the implementation of the World Heritage Convention by the State Party			×
15.3.1.2	Provide an assessment as to whether the values of World Heritage properties are being maintained over time			×
15.3.1.3	Provide updated information about World Heritage properties to record the changing circumstances and state of conservation of the property			×
15.3.1.4	Provide a mechanism for regional cooperation and exchange of information and experiences between States Parties about the implementation of the <i>World Heritage Convention</i> , and World Heritage conservation			×

15.4. Please provide suggestions for improvement of the Periodic Reporting Exercise

15.4.1 -

15.5. Use of Data

15.5.1 - How does your State Party plan to use the data?

Revision of priorities/strategies/policies for the protection, management and conservation of heritage
Updating management plans
Fundraising
Awareness raising
Advocacy
Reporting on implementation of Sustainable Development Goals
Improve the States Party implementation on the Convention

15.6. Timing and resources

15.6.1 - Were your national authorities given adequate time (i.e. roughly ten months) to gather necessary information and to fill in the questionnaire during this cycle of Periodic Reporting?

Yes

15.6.2 - Please estimate the time (working hours) and the number of people involved in completing Section I of the questionnaire.

	Time & people	Number of hours worked	Number of people involved
15.6.2.1	Gathering data	10	5
15.6.2.2	Consulting stakeholders	10	15
15.6.2.3	Filling in the questionnaire	20	3

15.6.3 - Has a gender balanced contribution and participation been considered and implemented in the filling out of this questionnaire? Gender balance is explicitly considered and effectively implemented in the process.

15.6.4 - Did your national authorities mobilise any additional resources to carry out this cycle of Periodic Reporting?

	Additional resources	No	Yes
15.6.4.1	Human resources	×	
15.6.4.2	Financial resources for organizing consultation meetings/training	×	

15.6.5 - Please provide details on any additional resources mobilised.

15.7. Format and Content of the Periodic Reporting Questionnaire

15.7.1 - How accessible was the information required to complete the Periodic Report?

Most of the required information was accessible

15.7.2 - Was the questionnaire easy to use and clear to understand?

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Using the questionnaire	fair
Understanding the questions	fair

15.7.3 - Please provide suggestions for improvement

15.8. Training and guidance

15.8.1 - Please rate the level of support received in terms of training and guidance from the following entities for the completion of the Periodic Reporting questionnaire

World Heritage Centre	Fair
UNESCO (other sectors)	None
UNESCO National Commission	None
ICOMOS International	None
IUCN International	None
ICCROM International/regional	None
ICOMOS national/regional	None
IUCN national/regional	None
Category 2 Centres	Fair
Other	Not applicable
If 'Other' applies, please specify	

15.8.2 - Were the online training resources provided by the World Heritage Centre regarding Periodic Reporting adequate for your national authorities to complete the online questionnaire?

Yes

15.8.3 - Please provide further comments on the online training resources

15.9. Comments

- 15.9.1 Comments, conclusions and/or recommendations related to the Assessment of the Periodic Reporting Exercise
- 15.9.2 Thank you for having filled in all the questions.

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