

UNESCO Member States Consultation on the implementation of the 2011 Recommendation on the Historic Urban Landscape

Section B. Local Level Survey

The 2011 Recommendation on the Historic Urban Landscape (HUL Recommendation) was adopted by the UNESCO General Conference at its 36th session on 10 November 2011 (36 C / Resolution 41) and recommends to Member States to integrate policies and practices of conservation of the built environment into the wider goals of urban development, in respect of the inherited values and traditions of different cultural contexts. This innovative standard-setting instrument calls for the conservation of urban heritage to be embraced for its contribution to culturally vibrant, economically prosperous, socially inclusive, and environmentally sustainable cities and settlements.

The Third UNESCO Member States consultation on the implementation of the Recommendation on the Historic Urban Landscape is taking place in 2022. It will provide the Secretariat with recent data on statistics and activities, policies and good practices at the national, regional and city levels.

- Section B of the survey aims to understand the implementation of the 2011 HUL Recommendation implementation at city, town or settlement (local) level.
- The survey should be filled in by a local government officer responsible for cultural heritage management and / or urban planning. Only one response should be submitted per city, town or settlement.
- Completed survey questionnaires must be submitted by **01 October 2022**.
- Prior to filling-in the questionnaire below, please read through the “Instructions” available at <https://whc.unesco.org/en/news/2431/>.
- A “Glossary” can also be consulted for a better understanding of the questionnaire. The document is available at <https://whc.unesco.org/en/news/2431/>
- All the information concerning the process can also be found here: <https://whc.unesco.org/en/news/2431/>

RESPONDENT DETAILS

Country: _____

Name of the historic urban area: _____

Name of city / town / village: _____

Area of the city / town / village (in square metres): _____

Area of the historic urban area (in square metres): _____

Population: _____

Name of respondent(s): _____

Email: _____

Job Title: _____

Department: _____

Institution: _____

Responsible for:

- Heritage conservation and management
- Urban planning and development
- Other

B1. GOVERNANCE AND PLANNING

The 2011 HUL Recommendation emphasizes the need to ensure that appropriate legislative and institutional frameworks and measures are in place to effectively manage all the different aspects of urban heritage, beyond just laws for protecting monuments. Conservation, planning and decision-making should focus on heritage protection and on safeguarding and strengthening the vitality of the historic urban environment to positively impact the lives and livelihoods of the local people. The essential role of urban heritage and its management should be consistently integrated into long-term urban planning and resilience planning (such as disaster risk reduction, Climate Action Plans, and other regulations).

The following questions look at the governance mechanisms in place to protect urban heritage and ensure thriving historic urban areas.

1.1 Is the historic urban area(s) in your city / settlement classified / registered / listed / protected?

- Yes
 No

Please provide details / links:

1.2 Please provide details on the registered / listed / protected historic urban area(s):

	Name of historic urban area	Type of listing / protection (information on the level of government that has inscribed or protected the historic urban area, e.g., national / regional (state) / local)	Inscribed on the World Heritage List? (answer Yes or No)	Inscribed on national Tentative list? (answer Yes or No)	Name and contact of responsible / focal point
1					
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1.3 Which of the following measures are in place to ensure that historic urban areas are adequately protected?

- Regulations exist requiring impact assessments (e.g., historic / environmental / social / other) to be conducted before undertaking urban development projects and interventions in and around urban heritage areas.
- Regulations exist that require approval from heritage authorities before undertaking urban development projects and interventions in and around urban heritage areas.
- Legal provisions exist within building regulations / codes for special building regulations to be established for historic urban areas.
- Legal provisions exist within land use-planning and zoning regulations / codes for special land-use and zoning regulations to be established for historic urban areas.
- There is an intersectoral coordination mechanism at the local level for urban development that includes heritage authorities / provisions for heritage protection.
- Legal provisions exist to coordinate heritage management of historic urban areas with the larger landscape setting.
- Other (please provide details in the comment box)

Please provide any comments, references, or links to support your answers:

1.4 How is urban heritage protection included into regular urban planning processes as well as into the development of Master Plans, City Development Plans or regional development plans?

Please provide an explanation and include any relevant links or references. 1,000-character limit.

1.5 Which of the following policies are in place to support conservation of urban heritage in your city / settlement?

- Policies to protect the urban fabric around monuments
- Policies to protect water bodies (such as historical lakes, canals, ponds and wells)
- Policies to protect historic public spaces
- Policies to ensure heritage protection in development planning
- Policies related to COVID-19 pandemic recovery and resilience
- Other (please provide details in the comment box)

Please provide any comments, references, or links to support your answers:

1.6 Which of the following statements about heritage management plans apply in the case of the historic urban area (s) in your city / settlement?

- A heritage management plan for the historic urban area has been prepared and updated in the last 5 years.
- The heritage management plan for the historic urban area has been legally adopted (e.g., adopted by the municipal council).
- The heritage management plan for the historic urban area is integrated with the City Development Plan or Master Plan for the city / settlement.
- The heritage management plan for the historic urban area is integrated with the transportation development plan for the city / settlement.
- The heritage management plan of the historic urban area is integrated with the tourism infrastructure development plan for the city / settlement.
- No heritage management plan has been prepared for the historic urban area.

Please provide details / links:

1.7 Please list any specific instruments / strategies / policies / projects to manage urban heritage beyond laws to protect monuments and archaeological sites:

**Please leave blank if there are no instruments, strategies, policies nor projects of this type*

	Name of instrument	Type of instruments / strategies / actions (e.g., protection zoning / urban plan / limitation of uses / economic strategies / incentives to support certain activities)	Short description (including aspects covered by the instrument)	Implementing entity (e.g., regional or municipal department)
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1.8 What have been the key challenges to protect urban heritage in your city / settlement as a result of the COVID-19 pandemic? What have been some of the strategies and responses?

Please provide an explanation and include any relevant links or references. 15,000-character limit

1.9 Are you experiencing any overarching challenges in protecting urban heritage (s) in your city / settlement (e.g., environmental factors, recent or ongoing conflict, political or economic instability, etc.)?

Please provide an explanation and include any relevant links or references. 1,000-character limit

B2 URBAN HERITAGE AND LAYERING

The 2011 HUL Recommendation advocates a landscape approach for identifying, conserving, and managing historic urban areas within their broader contexts, it emphasizes an approach that promotes looking at historic urban areas as composed of layers and underlines the relationships between the built heritage, natural environment including its wider setting, and the local communities that include the monuments and the ordinary urban fabric around them, the water bodies, green areas agricultural lands and hills around the historic urban area, as well as the intangible cultural heritage and traditional practices and knowledges of the local communities.

These attributes of urban heritage are of key importance to the overall management and development of the historic urban areas. The HUL approach thus advocates the integration of heritage conservation within urban development plans and processes in order to manage change in historic urban areas, shifting the focus from a monument-focused conservation approach to sustainable development of the urban fabric as a whole. This approach recognizes that identifying and mapping layers of the attributes of urban heritage at different scales, conserving and revitalizing historic buildings, and promoting the vitality of traditional building materials and practices can enhance urban space and the quality of life of inhabitants.

The following questions look at how layers of urban heritage are being identified, recognized, and protected in historic urban areas.

2.1 Which of the following are being used in your city / settlement:

- An inventory of vernacular building traditions, construction techniques, and materials
- An inventory of traditional building practitioners and professions
- Policies and programmes to promote use of local building materials
- None

Please attach any inventories of vernacular building traditions if available or provide links and references.

2.2 Please indicate which of the following attributes of urban heritage in your city / settlement are inventoried:

Please select all answers that apply.

- Monuments
- Archaeological sites
- Historic buildings
- Historic zones and neighbourhoods
- Architectural features including facades and roofs
- Skylines
- Heights
- Volumes
- Intangible Cultural Heritage elements
- Natural landscape features in the wider setting
- Natural systems, at a larger scale, influencing the city (e.g., water ways)
- Public spaces
- Traditional building forms, materials and techniques
- Local economic activities

- Traditional open-air markets
- Traditional use of historic structures and spaces
- Other (please provide details in the comment box)
- There is no heritage inventory

Please provide any comments, references or links to support your answers:

2.3 What instruments do you have for planning that link your historic urban area to the larger territory and / or landscape?

Please provide details / links. 1,000-character limit.

2.4 Do building permits for any new constructions, demolitions, or modifications to existing structures in the historic urban area have to be reviewed by the local heritage authority?

- Yes
- No

Please indicate the department or institution that reviews them and at what level and provide details / links:

2.5 What policies, regulations, and guidance are in place in your city or settlement to support the integration of contemporary interventions and new developments into historic urban areas to be compatible with the urban heritage?

Please provide details / links. 1,000-character limit.

2.6 Please indicate what aspects are included in building regulations applicable to the historic urban areas to ensure the compatibility of new constructions and modifications with the attributes of urban heritage:

Please select all answers that apply.

- Building use and zoning
- Heights of structures / number of storeys
- Roof forms
- Volumes of the structures
- Architectural features
- Building materials
- Colours
- Courtyards, gardens, and open spaces
- Facades including windows, railings, and rooflines
- Ground level street fronts
- Paving
- Uses
- Structural forms
- Building regulations for the historic urban area are the same as for other parts of the city / settlement
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

2.7 Please indicate which of the following projects and initiatives have been carried out in the historic urban area of your city / settlement:

- Urban regeneration initiatives (past, ongoing, or proposed)
- Adaptive re-use of historic structures
- Upgrading of historic housing for contemporary use
- Reconstruction of historic buildings or neighbourhoods
- Strategies linked to recovery from the COVID-19 pandemic, including initiatives to “build back better”
- Other

Please list the major projects in the last 10 years and provide details / links for them. 1,000-character limit.

2.8 Please indicate which of the statements below apply to the management of living heritage elements (such as music, dance, processions, festivals) in historic urban areas in your city / settlement:

- An inventory of intangible cultural heritage has been carried out with the local communities
- Measures are taken to ensure that living heritage elements are integrated into the conservation and management of the historic urban area

Please provide details / links:

B3. INCLUSION AND PARTICIPATION

The 2011 HUL Recommendation advocates a people-centred and inclusive approach to managing historic places that ensures access for all. By engaging with and learning from diverse local communities about their histories, traditions, values, needs, and aspirations, the 2011 HUL Recommendation approach promotes intercultural dialogue furthering peace and security.

Sustainable urban management means promoting meaningful and socially inclusive historic urban areas where people are the custodians of their own heritage and are empowered to reflect their diverse histories, traditions, values, needs and aspirations. When local communities, women, indigenous peoples, and vulnerable groups are involved in urban heritage processes, historic urban areas can become spaces expressing the multiple identities of the local communities furthering democracy, freedom, and dialogue. Participatory decision-making processes involving all stakeholders is key to the sustainable management of historic places.

The following questions look at how openness and accessibility to historic urban areas are being ensured and how civic engagement is being promoted.

3.1 Do you have policies or regulations to consult all stakeholders prior to major development plans and interventions

Please provide details / links. 1,000-character limit

3.2 Which groups participate in preparing plans and decisions regarding conservation and development programmes including heritage management plans and new development projects?

Please select all answers that apply

- Culture sector professionals
- Local communities
- Local businesses
- Banks and companies (such as transport companies)
- Vulnerable groups
- Women
- Indigenous People

- Youth
- Civil Society
- Other

Please provide details / links:

3.3 How do stakeholders participate in conservation and development programmes and decisions in your city / settlement?

Please select all answers that apply.

- Participation by elected membership
- Participation by invitation only to official representatives of all stakeholders
- Participation for representatives of accredited NGOs
- Participation is open to the public

Please provide details / links:

3.4 Which of the following policies or programmes are in place to ensure social inclusion and people-centred spaces?

Please select all answers that apply.

- Policies, laws, or regulations to ensure access to all urban heritage sites to all (including local communities, marginalised groups, women, indigenous people, youth)
- Policies, laws, regulations, or programmes to ensure inclusivity in the management, interpretation, or tourism-related activities in historic urban areas
- Initiatives to promote cultural diversity and / or creativity in historic urban areas
- Initiatives for enhancing integration for migrants in historic urban areas
- Initiatives in the historic urban areas to encourage reconciliation and cohesion of different social groups

Please provide any comments, references or links to support your answers:

3.5 How are younger generations encouraged to engage with heritage in your city?

Please select all answers that apply.

- By developing recreational green spaces in historic urban areas
- By promoting creative engagement with the urban space
- By developing programmes and content targeted at younger generations
- Through educational activities on urban heritage
- Integrating examples in educational materials (e.g., school programmes)

- None
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

3.6 How do you encourage diverse groups of people to access and use historic public spaces, including women, indigenous peoples and vulnerable groups?

Please explain, providing details / links. 1,000-character limit.

3.7 Please provide a list of the major historic public spaces in your city / settlement.

Please provide a list, attach photos and include links where possible. 2,000-character limit.

B4. INCLUSIVE ECONOMIC BENEFITS

The 2011 HUL Recommendation recognizes the need for financial sustainability of conservation efforts in historic urban areas including for the many privately-owned historic buildings. It encourages innovative financial models and instruments to enable the conservation of even the ordinary homes in historic urban areas, recognizing the need to protect heritage with local value and meaning. The 2011 HUL Recommendation also emphasizes the potential of historic urban areas to promote sustainable livelihoods, including for women, indigenous peoples, and vulnerable groups.

These activities should be compatible with the conservation of attributes of urban heritage of historic urban areas. A wide range of traditional occupations and artisanal practices from textiles and crafts to stonework, masonry, storytelling, traditional forms of music, dance, speciality foods, and urban agriculture are among the many types of economic activities that are at the core of historic urban areas. Their potential can be harnessed by supporting small and micro-cultural enterprises, including those related to sustainable tourism. Mobilizing innovative financial modalities and instruments for sustainable conservation of urban heritage could also include developing innovative partnerships across public and private sectors, regions, and stakeholders.

The following questions look at the use of innovative financial models and how economic benefits contribute to sustainable livelihoods of local communities in historic urban areas.

4.1 Which of the following policies or programmes are in place in your city / settlement to ensure inclusive and equitable economic benefits?

Please select all answers that apply.

- Sustainable tourism policies that benefit local communities (through job creation, business income, local authority revenue etc.)
- Policies to support small businesses
- Policies to support local economic development
- Policies to provide affordable housing and workspaces
- Entrepreneurship training for traditional artisans
- Availability of microcredits and loans
- Other (please provide details in the comment box)

Please provide details / links:

4.2 Do you have any financial tools, instruments, or policies in place for the following aims?

Please select all answers that apply.

- To promote revitalization or urban regeneration
- To support heritage conservation of private property
- To ensure compatible adaptive reuse of historic structures
- To mitigate the negative impacts of gentrification and support local communities
- To promote COVID-19 pandemic recovery and resilience

Please provide details / links:

4.3 How has your city / settlement supported major conservation / revitalization / regeneration activity?

Please select all answers that apply.

- Exclusively through a single public fund
- Through partnerships between public institutions
- Through partnerships between a public and private institution
- Exclusively through private institutions
- Through small community investments
- With micro-credit to local communities
- Through international development agency loans
- With international grants
- Other (please provide details in the comment box)

Please explain, providing further details / links:

4.4 Does your city / settlement have policies or programmes to promote and support traditional artisans and safeguard their skills, including in the context of the COVID-19 pandemic?

- Yes
- No

If yes, please provide details and links:

4.5 Does your city / settlement have policies or programmes to promote micro-, small- and medium-sized cultural enterprises in historic urban areas (for example, small loans or micro-credit)?

- Yes
- No

If yes, please provide details and links:

B5. SUSTAINABLE DEVELOPMENT AND CLIMATE RESILIENCE

The 2011 HUL Recommendation addresses the need to better integrate and frame urban heritage conservation strategies within the larger goal of overall sustainable development. Integrating the 2011 HUL Recommendation approach means mainstreaming strategies and practices for sustainable urban development including implementing the UN 2030 Agenda for Sustainable Development and New Urban Agenda at the local level. Policies and measures to enhance the resilience and sustainability of the heritage as well as the local communities in historic urban areas are encouraged.

Historic urban areas are often ecologically more sustainable than newly built cities, and, with policies and measures to enhance these attributes, can contribute more significantly to sustainability including disaster risk reduction, climate change mitigation and adaptation strategies. For example, valuable heritage-based strategies might include the re-use of historic buildings, promoting green ecological infrastructure, strengthening historical connections with water bodies and green spaces, introducing renewable energy sources, using local building materials and techniques, supporting non-motorized transport, and recognizing and including traditional knowledge, as a central component of reinforcing resilience to natural hazards and climate change.

The following questions look at how ecological sustainability and climate action is being mainstreamed into the management of historic urban areas.

5.1 Is your city / settlement experiencing any impacts of climate change that affect urban heritage?

Yes

No

If yes, please provide details and links. 3,500-character limit.

5.2 Do you have a Climate Change Adaptation Strategy for the city / settlement (or the region) that includes the historic urban area?

- Yes
- No

If yes, please provide details and links. 1000-character limit.

5.3 Does your city / settlement have mitigation or adaptation strategies in response to Climate Change to diminish their impact on urban heritage?

- Yes
- No

If yes, please provide details and links. 13,000-character limit

5.4 Do you have a Disaster Risk Reduction strategy as part of the management plan of the historic urban area or does the city / settlement have one that includes the historic urban area?

- Yes
- No

If yes, please provide details and links. 1,000-character limit.

5.5 Which measures are in place with regards to sustainable tourism in your city?

Please select the answers that apply in the case of your city.

- Regulations requiring sustainable tourism management plan / strategy that address local economic, socio-cultural and environmental impacts
- Regulations requiring carrying capacity plans or mechanisms to manage pressures from commerce, services, and cultural activities
- Innovative activities or services for sustainable tourism in historic urban areas (Please provide details in comment box)
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

5.6 Have the assessments listed below been carried out in your city?

Please select the answers that apply in the case of your city.

- Impact Assessments (including Heritage Impact Assessment, Environmental Impact Assessments, and Social Impact Assessments)
- An assessment or review of the impact of climate change in the city (including the historic urban area / s)
- An assessment or review of a disaster risk reduction plan in the city (including the historic urban area / s)
- None

If yes, please indicate when they were last carried out:

5.7 Which of the following policies, regulations, or programmes are in place in your city / historic urban area?

- Regulations, programmes, or initiatives to protect urban green areas and vegetation
- Regulations, programmes, or initiatives to safeguard the availability of public open space for cultural activities
- Regulations, programmes, or initiatives to protect urban water bodies and water systems including lakes, streams, wells, wetlands, and water fronts
- Policies to promote sustainable transportation (such as renewable energy, low carbon transport planning) in the historic urban area
- Sustainable tourism policies in the historic urban area
- Strategies for mitigation and adaptation in response to climate change
- Policies and plans regarding Disaster Risk Reduction, including human risks

Please provide any comments, references or links to support your answers:

5.8 Which of the following initiatives have been carried out in your city / settlement to make the historic urban area more sustainable?

Please select all answers that apply.

- Renewable energy initiatives
- Waste and water management initiatives
- Initiatives to revitalize and reinforce links with urban green spaces and water systems
- Integration of local heritage-based solutions (knowledge, traditions, practices) for sustainable development and climate action
- Inclusion of traditional knowledge for culturally sensitive planning
- Consultation with stakeholders / communities on heritage values
- Increased pedestrianization and bicycling
- Promotion of mixed use in the historic urban area
- Reduction of motorized transport and parking
- Increase in mass transit
- Local agriculture initiatives
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

5.9 What is the percentage in total public expenditure (public & private) per capita spent on the preservation, protection and conservation of cultural and natural heritage in the city?

■

Please provide details / links. 1,000-character limit

B6. KNOWLEDGE AND CAPACITY BUILDING

Developing knowledge systems and promoting capacity-building are essential to foster understanding of the 2011 HUL Recommendation approach and its implementation. Knowledge, skills and research about the urban heritage should be actively developed and disseminated amongst target communities, decision-makers, academics and professionals. Digital technologies should be at the forefront of documenting and transmitting heritage values, while storytelling, including traditional knowledge, rituals, practices and performances, should be used to transmit, enrich and enhance local knowledge.

The tools used to digitally document urban heritage, from earth observation to drone surveys and GIS, are essential to monitoring, analysing, and sharing knowledge about urban heritage. Youth should be especially targeted as beneficiaries of such initiatives. The interpretation of urban heritage is an important means of sharing and transmitting knowledge, and in diverse communities, multiple interpretations should be welcomed and used to promote dialogue.

The following questions look at how the knowledge, skills and capacities related to the 2011 HUL Recommendation approach are being promoted at the local level.

6.1 Have you had access to any guidance on HUL in your national language?

- Yes
- No

If yes, please provide details and links. 1,000-character limit.

6.2 What kind of training and educational approaches are being used to promote the 2011 HUL Recommendation approach in your city / settlement?

Please select all answers that apply.

- Encouraging use of traditional construction techniques and local materials as appropriate, including training youth
- Encouraging wider education, capacity building, and transmission of attributes of urban heritage using digital technologies
- Urban conservation education courses
- Integration of heritage education in schools that contribute to improving understanding of urban heritage, promote diversity and foster intercultural dialogue
- Integration in schools of respect and reflection on Intangible Cultural Heritage in local communities
- Promotion of capacity-building activities involving local communities, decision-makers, and professionals and managers

Please provide any comments, references or links to support your answers:

6.3 What educational programmes are available to youth on urban heritage and the HUL Recommendation?

Please select all answers that apply.

- Accredited courses
- Academic degrees
- Informal training
- International exchange programmes
- None
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

6.4 Has any research on the 2011 HUL Recommendation approach or implementation related to your city / urban area been carried out by academic or other research institutes?

- Yes
- No

If yes, please provide details and links. 1000-character limit

6.5 Which of the following digital tools are used to support the implementation of the 2011 HUL Recommendation approach?

Please select all that apply in the case of your city / urban area.

- Aerial photography
- Audio-visual content
- Drone surveys
- Earth observation
- Interactive applications

- Photogrammetry
- Skyline studies
- Social media
- Spatial digital tools
- Volume studies
- Websites
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

6.6 Have you engaged with any of the platforms or participated in any activities listed below?

Please select all that apply.

- Participated in online events related to the 10th Anniversary of the HUL Recommendation¹
- Joined the UNESCO HUL Call for Action² to raise awareness about the 2011 HUL Recommendation to accelerate inclusive urban and heritage management through HUL (If not, you can do so via this [link](#))
- Consulted the World Heritage Canopy³ platform to gain guidance or inspiration for sustainable urban activities at the local level
- Participated in World Heritage Cities Dialogues⁴
- Subscribed to the Urban Notebooks of the World Heritage Cities Programme⁵ (If not, you can do so via this [link](#))
- Contributed achievements / challenges / project to the Urban Notebooks of the World Heritage Cities Programme⁶

Please provide any comments, references or links to support your answers:

¹ <https://whc.unesco.org/en/events/1596/>

² <https://survey.unesco.org/3/index.php?r=survey/index&sid=966989&lang=en>

³ <https://whc.unesco.org/en/canopy/>

⁴ <https://whc.unesco.org/en/events/1619/>

⁵ <https://whc.unesco.org/en/cities/>

⁶ <https://whc.unesco.org/en/cities/>

Thank you for completing the survey. Please use the space below to share any further information about the implementation of the 2011 HUL Recommendation in your country / city / urban area, or attach any relevant documentation:

