

Third UNESCO Member States Consultation on the implementation of the 2011 Recommendation on the Historic Urban Landscape

Section A. National Level Survey

The 2011 Recommendation on the Historic Urban Landscape (HUL Recommendation) was adopted by the UNESCO General Conference at its 36th session on 10 November 2011 (36 C / Resolution 41) and recommends to Member States to integrate policies and practices of conservation of the built environment into the wider goals of urban development, in respect of the inherited values and traditions of different cultural contexts. This innovative standard-setting instrument calls for the conservation of urban heritage to be embraced for its contribution to culturally vibrant, economically prosperous, socially inclusive, and environmentally sustainable cities and settlements.

The Third UNESCO Member States consultation on the implementation of the Recommendation on the Historic Urban Landscape is taking place in 2022. It will provide the Secretariat with recent data on statistics and activities, policies and good practices at the national, regional and city levels.

- Section A of the survey aims to understand the implementation of the 2011 HUL Recommendation implementation at the national level.
- It should be completed by national authorities, such as the UNESCO National Commission, National Focal Point for World Heritage (or other National authority, or Governance body that can respond for the national level (whole country situation) with knowledge on urban heritage preservation, HUL and / or urban processes).
- Completed questionnaires must be submitted by **01 October 2022**.
- Prior to filling-in the questionnaire below, please read through the “Instructions” document available at <https://whc.unesco.org/en/news/2431/>.
- A “Glossary” can also be consulted for a better understanding of the questionnaire. The document is available at <https://whc.unesco.org/en/news/2431/>.
- All the information concerning the process can also be found here: <https://whc.unesco.org/en/news/2431/>.

There are 36 questions in this survey.

RESPONDENT DETAILS

Country: _____

Name: _____

Email: _____

Job Title: _____

Department: _____

Institution: _____

Are you:

- The UNESCO National Focal Point for World Heritage for this country
- The UNESCO National Commission representative for this country
- Other:

A1. GOVERNANCE AND PLANNING

The 2011 HUL Recommendation emphasizes the need to ensure that appropriate legislative and institutional frameworks and measures are in place to effectively manage all the different aspects of urban heritage, beyond just laws for protecting monuments. Conservation, planning and decision-making should focus on heritage protection and on safeguarding and strengthening the vitality of the historic urban environment to positively impact the lives and livelihoods of the local people. The essential role of urban heritage and its management should be consistently integrated into long-term urban planning and resilience planning (such as disaster risk reduction, Climate Action Plans, and other regulations).

The following questions look at the governance mechanisms in place to protect urban heritage and ensure thriving historic urban areas.

1.1 Please list any laws / policies / measures that exist in your country, at the national level, to protect urban heritage or historic urban areas beyond national laws protecting monuments and archaeological sites:

**Please leave blank if there are no laws, policies, or measures of this type.*

	Name of instrument	Date that the instrument came into force	Type of instrument (e.g., law / policy)	Short description (including aspects covered by the instrument)	Implementing entity (e.g., government agency)
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

1.2 How many historic urban areas in your country are classified / registered / listed / protected?

1000-character limit.

1.3 Please provide details on the registered / listed / protected historic urban areas in your country:

	Name of historic urban area	Type of listing / protection (information about the level of government that has listed / protected the historic urban area (e.g., national / regional / state / local))	Inscribed on the World Heritage List? (Answer Yes or No)	Inscribed on the national Tentative List? (Answer Yes or No)	Name and contact of responsible / focal point for the historic urban area
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					

If applicable, please attach a list with details on as many as possible.

1.4 Which of the following measures are in place to ensure that historic urban areas are adequately protected?

- National laws / regulations requiring impact assessments (e.g., historic / environmental / social / other) to be conducted before undertaking urban development projects and interventions in and around urban heritage areas
- Other laws / regulations requiring approval from heritage authorities before undertaking urban development projects and interventions in and around urban heritage areas
- Legal provisions within national building regulations / codes for special building regulations to be established for historic urban areas
- Legal provisions within the national land use-planning and zoning regulations / codes for special land-use and zoning regulations to be established for historic urban areas
- An intersectoral coordination mechanism at the national or local level for urban development that includes heritage authorities / provisions for heritage protection
- Legal provisions to coordinate heritage management of historic urban areas with the larger landscape setting

Please provide any comments, references, or links to support your answers:

1.5 How is urban heritage protection included into regular urban planning processes at national level as well as into the development of Master Plans, City Development Plans or regional development plans?

Please provide an explanation and include any relevant links or references. 1,000-character limit.

1.6 Are there national policies to integrate a culture-based approach into urban development in historic urban areas?

Please select all answers that apply.

- Yes, related to museums
- Yes, related to cultural and creative industries
- Yes, related to festivals and cultural events
- Yes, related to intangible cultural heritage
- Yes, related to livelihoods
- Yes, related to educational institutions
- Other (please provide details in the comment box):

Please provide any comments, references, or links to support your answers:

1.7 Are all historic urban areas in your country required to have heritage management plans or other formal management mechanisms?

- Yes
- No

If yes, please provide a reference or link:

1.8 Which of the following steps have been taken in your country to implement the 2011 HUL Recommendation?

Please select all answers that apply.

- Establishment of international cooperation between local authorities on the HUL Recommendation
- Establishment of national and regional cooperation between local authorities on the 2011 HUL Recommendation
- Implementation of the UNESCO Culture|2030 Indicators¹
- Cross-sectoral strategic planning and development of a common territorial vision for cities / settlements that includes heritage
- Other (please provide details in the comment box)

Please provide any comments, references, or links to support your answers:

1.9 What have been the key challenges to protecting urban heritage in your country as a result of the COVID-19 pandemic? What have been some of strategies and responses?

Please provide an explanation and include any relevant links or references. 7,000-character limit

¹ <https://whc.unesco.org/en/culture2030indicators/>

1.10 Are there any overarching challenges to protecting urban heritage in your country (e.g., environmental factors, recent or ongoing conflict, political or economic instability etc.)

Please provide an explanation and include any relevant links or references. 1,000-character limit

A2. URBAN HERITAGE AND LAYERING

The 2011 HUL Recommendation advocates a landscape approach for identifying, conserving, and managing historic urban areas within their broader contexts, considering the interrelationships between the physical forms, natural features, social and cultural values, and the wider setting. The 2011 HUL Recommendation emphasizes an approach that promotes looking at historic urban areas as composed of layers and underlines the relationships between the built heritage, natural environment including its wider setting, and the local communities that include the monuments and the ordinary urban fabric around them, the water bodies, green areas, agricultural lands and hills around the historic urban area, as well as the intangible cultural heritage and traditional practices and knowledges of the local communities. In the case of World Heritage properties, with the Outstanding Universal Value (OUV), these together constitute the local attributes of urban heritage that contribute to the conditions of integrity. In the case of other historic urban areas, they constitute the attributes of urban heritage that must be safeguarded, promoted and transmitted to future generations.

These attributes of urban heritage are of key importance to the overall management and development of the historic urban areas. The HUL approach thus advocates the integration of heritage conservation within urban development plans and processes in order to manage change in historic urban areas, shifting the focus from a monument-focused conservation approach to sustainable development of the urban fabric as a whole. This approach recognizes that identifying and mapping layers of the attributes of urban heritage at different scales, conserving and revitalizing historic buildings, and promoting the vitality of traditional building materials and practices can enhance urban space and the quality of life of inhabitants.

The following questions look at how layers of urban heritage are being identified, recognized, and protected in historic urban areas.

2.1 Please indicate which of the following attributes, properties, and components in urban areas in your country are subject to inventory, mapping, or assessment:

Please select all answers that apply.

- Monuments
- Archaeological sites
- Historic buildings
- Historic zones and neighbourhoods
- Architectural features including facades and roofs
- Heights
- Volumes
- Intangible Cultural Heritage elements
- Natural landscape features in the wider setting
- Natural systems, at a larger scale, influencing the city (e.g., water ways)
- Public spaces
- Traditional building forms, materials and techniques
- Local economic activities
- Traditional open-air markets
- Traditional uses of historic structures and spaces
- Other (please provide details in the comment box)
- Urban areas are not required to inventory, map, or assess their historic attributes, properties, and components

Please provide any comments, references or links to support your answers:

2.2 What policies, programmes, and guidance are in place in your country for adaptive reuse of historic structures and for regeneration / revitalization / redevelopment of historic urban areas?

Please provide details / links. 1,000-character limit

2.3 Please list examples of adaptive reuse of historic structures as well as regeneration / revitalization / redevelopment of historic urban areas. Please include references and links to documents and webpages.

Please provide details / links. 1,000-character limit

2.4 What policies, regulations, and guidance are in place in your country to support the integration of contemporary interventions and new developments into historic urban areas to be compatible with the urban heritage?

Please provide details / links. 1,000-character limit

2.5 Which of the following measures are in place in your country in order to safeguard traditional building techniques and practices, especially those that might be disappearing or diminishing?

Please select all answers that apply.

- A national inventory of vernacular or traditional buildings, structures or practices
- Laws, programmes, regulations, or policies to support local, traditional and vernacular buildings, structures or practices
- Regulations, programmes, or policies to promote use of local building materials

Please provide details / links:

2.6 Do building permits for any new constructions, demolitions, or modifications to existing structures in historic urban areas have to be reviewed by heritage authorities?

- Yes
- No

If yes, please provide details / links:

2.7 In relation to new constructions, demolitions or modifications to existing structures in historic urban areas, please indicate the aspects where authorities ensure compliance with building regulations before issuing building permits:

Please select all answers that apply.

- Building use and zoning
- Heights of structures / number of storeys
- Roof forms
- Volumes of the structures
- Architectural features
- Building materials
- Colours
- Courtyards, gardens, and open spaces
- Facades including windows, railings, and rooflines
- Ground level street fronts
- Paving
- Uses
- Structural forms
- Other (please provide details in the comment box)

Please provide any comments, references or links to support your answers:

2.8 How is the conservation and management of living heritage elements ensured in historic urban areas?

- Inventories of intangible cultural heritage elements such as music, dance, processions, festivals, and others are prepared in consultation with the communities.
- Legislative and regulatory measures for the safeguarding of living heritage elements are in place.

Please provide details / links:

A3. INCLUSION AND PARTICIPATION

The 2011 HUL Recommendation advocates a people-centred and inclusive approach to managing urban heritage that ensures access for all. By engaging with and learning from diverse local communities about their histories, traditions, values, needs, and aspirations, the 2011 HUL Recommendation approach promotes intercultural dialogue furthering peace and security.

Sustainable urban management means promoting meaningful and socially inclusive historic urban areas where people are the custodians of their own heritage and are empowered to reflect their diverse histories, traditions, values, needs and aspirations. When local communities, women, indigenous peoples, and vulnerable groups are involved in urban heritage processes, historic urban areas can become spaces expressing multiple local identities and furthering democracy, freedom, and dialogue. Participatory decision-making processes involving all stakeholders are key to the sustainable management of historic places.

The following questions look at how openness and accessibility to historic urban areas are being ensured and how civic engagement is being promoted.

3.1 Please indicate which of the following are in place in your country to ensure a people-centred and inclusive approach to managing historic urban areas:

- Policies, measures, or guidance that require historic urban areas to carry out a mapping and identification of key stakeholders and local communities concerned
- Policies, measures or guidance that require historic urban areas to carry out a mapping and identification of relevant indigenous peoples and vulnerable groups
- Public spaces in the historic urban areas open to all communities to use and carry out activities
- Community managed historic properties and / or public spaces
- Participation of civil society in decision-making for matters related to the use and renovations of buildings or new developments in the historic urban area
- Policies and measures promoting affordable housing and a diversity of housing options

Please provide any comments, references or links to support your answers:

3.2 Please indicate which of the following statements apply to the management of historic urban areas in your country:

Please select all answers that apply.

- Policies and measures exist to ensure all stakeholders are consulted and engaged in the management and key decision-making processes concerning the historic urban areas.
- Policies and measures exist to ensure a gender-balanced participation of women in the management and key decision-making bodies and processes concerning the historic urban areas.
- Policies and measures exist to ensure the indigenous peoples' contribution and participation in the management and key decision-making bodies and processes concerning the historic urban areas.
- Policies and measures exist to ensure the contribution and participation of vulnerable groups in the management and key decision-making bodies and processes concerning the

historic urban areas.

- Policies and measures exist to ensure the contribution and participation of diverse local communities in the management and key decision-making bodies and processes concerning the historic urban areas.
- Relevant national and international non-governmental organisations (NGOs and IGOs) and representatives of civil-society groups are consulted / participate in planning processes and in the development and dissemination of tools and good practices.

Please provide any comments, references or links to support your answers:

A4. INCLUSIVE ECONOMIC BENEFITS

The 2011 HUL Recommendation recognizes the need for financial sustainability of conservation efforts in historic urban areas, including for the many privately-owned historic buildings. It encourages innovative financial models and instruments to enable the conservation of even the ordinary homes in historic urban areas, recognizing the need to protect heritage with local value and meaning. The 2011 HUL Recommendation also emphasizes the potential of heritage to promote sustainable forms of inclusive and equitable historic urban areas and to promote sustainable and diverse livelihoods. This includes productive and decent employment and income-generating activities for all, including women, indigenous peoples, and vulnerable groups.

These activities should be compatible with the conservation of attributes of urban heritage of historic urban areas. A wide range of traditional occupations and artisanal practices from textiles and crafts to stonework, masonry, storytelling, traditional forms of music, dance, speciality foods, and urban agriculture are among the many types of economic activities that are at the core of the of historic urban areas. Their potential can be harnessed by supporting small and micro-cultural enterprises, including those related to sustainable tourism. Mobilizing innovative financial modalities and instruments for sustainable conservation of urban heritage could also include developing innovative partnerships across public and private sectors, regions, and stakeholders.

The following questions look at the use of innovative financial models and how economic benefits contribute to sustainable livelihoods of local communities in historic urban areas.

4.1 Which of the following measure are in place in your country to support conservation and sustainable urban development in historic urban areas?

Please select all answers that apply.

- Financial policies, instruments, or modalities to encourage and support heritage conservation in historic urban areas (for example, public-private partnerships)
- Financial policies, instruments, or incentives including tax benefits to encourage conservation of privately owned historic buildings
- Policies or measures to ensure that regeneration strategies for historic urban areas do not displace local communities and ensure their continuity in the same place
- Policies, measures, and guidance for sustainable tourism practices that benefit local communities in historic urban areas
- Policies to provide affordable housing
- Policies, measures, and guidance for local economic development in historic urban areas
- Policies related to COVID-19 pandemic recovery and resilience relevant for urban areas and heritage conservation

Please provide any comments, references or links to support your answers:

4.2 Which of the following measure are in place in your country to promote a diversity of cultural entrepreneurs and livelihoods in historic urban areas:

Please select all answers that apply.

- Legislation, policies, measures or guidelines to promote and support small businesses and cultural entrepreneurs in historic urban areas
- Regular mapping and inventory of key economic activities and cultural enterprises in the historic urban areas
- Measures to support job creation for local communities in historic urban areas
- Measures to support affordable workspaces in historic urban areas
- Policies or measures to support artisans and craft-workers in historic urban areas (for example access to small loans and markets)

Please provide any comments, references or links to support your answers:

A5. SUSTAINABLE DEVELOPMENT AND CLIMATE RESILIENCE

The 2011 HUL Recommendation addresses the need to better integrate and frame urban heritage conservation strategies within the larger goal of overall sustainable development. Integrating the 2011 HUL Recommendation approach means mainstreaming strategies and practices for sustainable urban development including implementing the UN 2030 Agenda and New Urban Agenda at the local level. Policies and measures to enhance the resilience and sustainability of the heritage as well as the local communities in historic urban areas are encouraged.

Historic urban areas, usually built using local building materials to respond to local geographies and climatic conditions, offer significant opportunities to contribute to sustainability, including disaster risk reduction, climate change mitigation and adaptation strategies. For example, valuable heritage-based strategies might include the re-use of historic buildings, promoting green ecological infrastructure, strengthening historical connections with water bodies and green spaces, introducing renewable energy sources, using local building materials and techniques, supporting non-motorised transport, and recognising and including traditional knowledge, as a central component of reinforcing resilience to natural hazards and climate change.

The following questions look at how ecological sustainability and climate action is being mainstreamed into the management of historic urban areas.

5.1 Please indicate which of the following measures have been taken in your country:

Please select all answers that apply.

- Implementation of the Culture|2030 Indicators² at the urban level
- Implementation of the New Urban Agenda (UN Habitat)
- Integration of international urban conservation policies into national efforts to implement the United Nations 2030 Agenda for Sustainable Development, and more specifically Goal 11 and its Target 11.4³
- Measures to implement the 2011 HUL Recommendation in historic urban areas throughout the country

Please provide details / links:

5.2 Please indicate which of the following policies and tools are used to promote mainstreaming of sustainable development in historic urban areas:

Please select all answers that apply.

- Policies or measures aimed at mitigating the impacts of climate change on historic urban areas
- Policies or measures concerning adaptation strategies in response to climate change in historic urban areas
- National or regional Disaster Risk Reduction plan(s) that include actions to protect urban heritage
- Inclusion of historic urban areas in national or regional Climate Action Plan
- Policies and measures to ensure the availability of public open space for cultural activities in historic urban areas
- Policies and measures to protect urban green space and vegetation in historic urban areas
- Policies and measures to promote rehabilitation and reuse of old buildings, and upgrading / reuse of commercial or industrial sites

² <https://whc.unesco.org/en/culture2030indicators/>

³ <https://sdgs.un.org/goals/goal11>

Please provide details / links:

5.3 Please indicate which of the following policies / measures are in place with regard to water bodies including wells, marshlands, and urban green areas within and around the historic urban areas:

Please select all answers that apply.

- Policies or measures that require their identification, mapping, documentation and regular monitoring
- Policies or measures concerning mitigation and adaptation strategies in response to climate change for water bodies and green areas within and around the historic urban areas
- Provisions to protect them at the national, regional, or local level
- Provisions to integrate them into planning / the management plan of the historic urban area

Please provide details / links:

5.4 Please indicate which of the following policies or measures are in place to promote ecological sustainability, energy transition, and climate action in historic urban areas:

Please select all answers that apply.

- Policies or measures to introduce renewable energy sources in and around historic urban areas
- Waste recycling and reduction plans in and around historic urban areas
- Policies or measures to ensure inclusion of green infrastructure
- Policies or measures to protect traditional water systems including wells and underground channels
- Policies or measures to promote local building materials and techniques
- Policies or measures to promote non-motorized transport and pedestrian paths
- Policies or measures to promote urban agriculture and other strategies for greening in historic urban areas
- Other (please provide details in the comment box):

Please provide any comments, references or links to support your answers:

A6. KNOWLEDGE AND CAPACITY BUILDING

Developing diverse knowledge systems and promoting capacity-building are essential to foster understanding of the 2011 HUL Recommendation approach and its implementation. Knowledge, skills and research about the urban heritage should be actively developed and disseminated amongst target communities, decision-makers, academics and professionals. Digital technologies should be at the forefront of documenting and transmitting heritage values, while storytelling, including traditional knowledge, rituals, practices and performances, should be used to transmit, enrich and enhance local knowledge.

The tools used to digitally document urban heritage, from earth observation to drone surveys and Geographic Information Systems (GIS), are essential to monitoring, analysing, and sharing knowledge about urban heritage. Youth should be especially targeted as beneficiaries of such initiatives. The interpretation of urban heritage is an important means of sharing and transmitting knowledge and in diverse communities, multiple interpretations should be welcomed and used to promote dialogue.

The following questions look at how the knowledge, skills and capacities related to the 2011 HUL Recommendation approach are being promoted at the national level.

6.1 Please indicate which of the following steps have been taken in your country to develop knowledge and support capacity building related to the 2011 HUL Recommendation:

(max. 1,000 characters per answer)

- Translation of the 2011 HUL Recommendation into any national language(s) [other than UN languages: Arabic, Chinese English, French, Russian, Spanish]

Please provide details / links:

- Research on the 2011 HUL Recommendation approach or implementation been carried out by academic or other research institutes in your country

Please provide details / links:

- Courses, programmes, or training activities on the 2011 HUL Recommendation or urban heritage management carried out in your country in the last 3 years

Please provide details / links:

- Courses, programmes, or activities in your country that are targeted at engaging youth in the protection of historic urban areas

Please provide details / links:

- Development and dissemination of tools specifically adapted to the regional / national context for the implementation of 2011 HUL Recommendation approach

Please provide details / links:

- Development and / or dissemination of a manual or guidebook to facilitate public understanding of and involvement in the 2011 HUL Recommendation approach

Please provide details / links:

- Dissemination of international best practices on the HUL Recommendation

Please provide details / links:

6.2 Please indicate which of the following digital tools have been used in historic urban areas in your country:

- Digital storytelling around urban heritage
- Documentation and archiving of traditional knowledge, practices, techniques, or rituals
- Documentation and teaching / training on local knowledge and practices
- GIS survey of historic urban areas
- Drone surveys
- Earth observation and satellite imagery
- Aerial surveys
- Other (please provide details in the comment box):

Please provide any comments, references or links to support your answers:

6.3 Which digital tools have been used to train and mobilize youth in the conservation of historic urban areas in your country?

Please provide any comments, references or links to support your answers. 2,000-character limit.

6.4 Which of the following platforms / activities have you engaged with in the context of urban heritage management?

- Participated in events related to the UNESCO 10th anniversary of the 2011 HUL Recommendation on the Historic Urban Landscape ⁴
- Joined the UNESCO HUL Call for Action ⁵ to raise awareness about the 2011 HUL Recommendation
- Consulted the 'World Heritage Canopy'⁶ platform to gain guidance or inspiration for sustainable urban activities at the local level
- Participated in the World Heritage Cities Dialogues ⁷
- Subscribed to the Urban Notebooks of the World Heritage Cities Programme ⁸ (If not, you can do so via this [link](#))
- Contributed practices / projects to the Urban Notebooks of the World Heritage Cities Programme ⁹

Please provide any comments, references or links to support your answers:

⁴ <https://whc.unesco.org/en/events/1596/>

⁵ <https://survey.unesco.org/3/index.php?r=survey/index&sid=966989&lang=en>

⁶ <https://whc.unesco.org/en/canopy/>

⁷ <https://whc.unesco.org/en/events/1619/>

⁸ <https://whc.unesco.org/en/cities/>

⁹ <https://whc.unesco.org/en/cities/>