Information meeting
28 June 2021

Budget briefing
and
overview of budget document
WHC/21/44.COM/14
Information meeting
Budget briefing and overview of budget document
WHC/21/44.COM/14

Budget briefing

Mrs Jyoti Hosagrahar
Deputy Director, UNESCO World Heritage Centre
3 funding sources for the implementation of the World Heritage Convention

- **World Heritage Fund**
  - WORLD HERITAGE COMMITTEE

- **Regular Programme**
  - GENERAL CONFERENCE

- **Extrabudgetary funds**
  - DONORS
Extradbudgetary funds
(allotment 2020)
US$ 5.7 million

Depends on donors’ interest

Unpredictable source of income
Budget briefing

Regular Programme
2020-2021

US$ 11.3 million

STAFF
28 fixed posts

ACTIVITIES
Including Field Offices
World Heritage Fund: core income

World Heritage Fund
2020-2021
US$ 5.6 million

Contributions by States Parties to the World Heritage Convention
as per Art.16.1 & 16.2 of the Convention
Budget briefing

World Heritage Fund: additional income

Sub-accounts of the World Heritage Fund

Specific activities
Promotional activities
Evaluation of nominations

Human Capacities
International Assistance

Voluntary contributions by States Parties or other donors
Budget briefing

https://whc.unesco.org/en/world-heritage-fund/
Sustainability of the World Heritage Fund

Discussions started in 2008 (32 COM) because

Established limit in the income of World Heritage Fund
\((\text{ratifications being almost universal})\)

Growing demands under the Convention
\((\text{number of inscribed sites increases every year})\)

Focus in 2009 (33 COM): increasing the resources via additional voluntary contributions
Sustainability of the World Heritage Fund

Evolution of the World Heritage Fund budget level – Comparison over 10 years (2012-2022)

2012-2013 budget before cuts: 6,608,205
2022-2023 proposed budget: 5,902,000
Budget briefing

Sustainability of the World Heritage Fund

Resolution 19 GA 8 (2013)

✓ **Option 1**: Increasing the standard percentage used in the calculation of the contributions to the World Heritage Fund from 1% to 2%,

✓ **Option 3.1**: Increasing the contributions by a flat rate of **US$3,300 per property inscribed**,  

✓ **Option 3.2**: Increasing the contributions by an **additional 4%** of the current assessed contribution **per property inscribed**,  

✓ **Option 3.3**: Increasing the contributions by an **additional amount per property inscribed**, according to a **percentage increasing** with the number of properties inscribed,

✓ **Option 3.4**: Increasing the contributions by an **additional amount per property inscribed**, according to a **percentage decreasing** with the number of properties inscribed,

✓ **Option 4**: Increasing the contributions on the basis of the **number of tourists arrivals** at World Heritage Sites,

✓ **Option 5**: Contributing **per activity**;
Sustainability of the World Heritage Fund


Adoption of the “Roadmap for the Sustainability of the World Heritage Fund” (2017)

- Short-term measures (2017-2020)
- Medium-term measures (2021-2024)
- Long-term measures (beyond 2024)
Budget briefing

Sustainability of the World Heritage Fund

2017
Comparative Mapping Study of Forms and Models for use of Advisory Services by International Instruments and Programmes

Ad-hoc working group (2017-2018)

(1) Increase the resources of the Fund, and
(2) Optimize the use of its resources.

IOS: « nomination process heavy and costly »

Discuss the effectiveness of the Global Strategy for a balanced and representative World Heritage List

2019
✓ Sub-account on the evaluation of nominations
✓ Reform of the nomination process
Information meeting
Budget briefing and overview of budget document
WHC/21/44.COM/14

Presentation of budget document
WHC/21/44.COM/14

Mr Ernesto Ottone R.
Assistant Director-General for Culture
Part I


→ Annexes I and II
Voluntary contributions –
Overview of budget document
WHC/21/44.COM/14 – Part I

Unrestricted supplementary voluntary contributions (2014-2019)

- 2014-2015: 153,554
- 2016-2017: 119,726
- 2018-2019: 420,151

Contributions from:
- Australia
- Netherlands
- Norway
- Sweden
- Turkey
Overview of budget document
WHC/21/44.COM/14 – Part I

Sub-account for Specific Activities (2014-2019)

Norway $2.9 M

Private sector
Sub-account for Human Capacities (2014-2019)

Target: 2 million per biennium

- Kazakhstan
- Monaco
- Montenegro
- Norway
- Rep. of Korea
- Slovakia
- Spain
- Sweden

Overview of budget document
WHC/21/44.COM/14 – Part I
ANNEX II

Consolidated Table of allotments and expenditures for activities financed by the three funding sources as at 31 December 2019
Part II

Report on the World Heritage Fund for 2020-2021

→ Annexes III, IV and V
Overview of budget document
WHC/21/44.COM/14 – Part II

Compulsory contributions – Article 16.1 of the 1972 Convention (2020)

- Assessed contributions: $2,116,849
- Paid: $2,227,478

2020

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<thead>
<tr>
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<th>2020</th>
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</thead>
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<td>Assessed</td>
<td>2,116,849</td>
</tr>
<tr>
<td>Paid</td>
<td>2,227,478</td>
</tr>
</tbody>
</table>
Voluntary contributions – Article 16.2 of the 1972 Convention (2020)

• Assessed contributions: $1,090,180

• Paid: $510,539
Unrestricted supplementary voluntary contributions (2020)

- Unrestricted supplementary voluntary contributions (2020): $324,422
- Increase of nearly 74% between 2019 and 2020
Sub-account for Specific Activities (2020)

- Sub-account for Specific Activities: $4,696,355
- Contribution by Norway: 72%
ANNEX IV

Consolidated Table of allotments and expenditures for activities financed by the three funding sources as at 31 December 2020
Part IV

Follow-up to Decision 43 COM 14

→ Annex IX
Resource Mobilization and Communication Plan  
*(Annex IX)*

### 2018
Adoption by the Committee of a  
“Resource mobilization and communication strategy”

Implementation of a Resource Mobilization and Communication Plan over 4 years *(2018-2021)*

#### WHF
- Target: $250,000/year  
  - Target reached  
  
  - $788,943  
  
  - (2018-2020)

#### Extrabudgetary
- Target: $2.5 million/year  
  - Target exceeded  
  
  - $19,229,551  
  
  - (2018-2020)

Progress Report  
2018-2020
Cost-sharing model for the evaluation of nominations

Target: $440,000 (24 countries concerned)

5 countries: Germany, Czechia, Spain, Italy, Slovenia

Overview of budget document
WHC/21/44.COM/14 – Part IV
Roadmap for the Sustainability of the World Heritage Fund
Status of implementation of the short-term measures

2017
Adoption by the Committee of the
“Roadmap for the Sustainability of the World Heritage Fund”

“MarketPlace”
→ 1/3 of projects funded over 3 years

Implementation of short-term measures over 3 years (2017-2020)

Contributions per site
→ $19,000 over 3 years

Creation of a sub-account for the evaluation of nominations
→ Income lower than expected

Doubling of contributions
→ 5 States parties

Fund-raising
→ No additional human resources

Overview of budget document
WHC/21/44.COM/14 – Part IV
Part III

Budget proposal of the World Heritage Fund for the biennium 2022-2023

→ Annexes VI, VII and VIII
Budget level 2022-2023

Based on revenues for the 2018-2019 biennium (Decision 28 COM 11)

Evolution of the budget of the World Heritage Fund voted by the Committee (2016-2023)

- Assessed contributions
- Other revenues

2016-2017 (based on the 2012-2013 revenue)
2018-2019 (based on the 2014-2015 revenue)
2020-2021 (based on the 2016-2017 revenue)
2022-2023 (proposed budget, based on the 2018-2019 revenue)

$5.9 M (+5%)
Budget proposal 2022-2023 (Annex VI)

- Overall identical to 2020-2021

- **Increase of 5% broken down between:**
  - Retrospective inventory (+ $10,000)
  - International Assistance (+ $213,000)
  - Capacity building (+ $50,560) *(new)*
Nomination process:
2 phases envisaged (Decision 43 COM 12)

Phase 1: “Preliminary Assessment” (new)
Not budgeted $1.18 million for 2 years

Already budgeted $3.4 million for 2 years

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“Preliminary Assessment” (Annex VII)
Overview of budget document
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“Preliminary Assessment” (Annex VII)

Based on the examination of 35 files per year, “Preliminary Assessment” requires:

$1,181,220 for 2 years
$590,610/year

Advisory services

$1,101,120 for 2 years
$550,560/year

- Desk reviews
- Dialogue with State Parties
- Review by the Panel
- Reporting

Secretariat

$80,000 for 2 years
$40,000/year

- Review
- Comments
- Completeness check of files
“Preliminary Assessment” (Annex VII)

Requires **full and regular** payment of the assessed contributions

Article 16.1: $172,754  
Article 16.2: $72,807  
(2018-2019)

Very partial funding for the Preliminary Analysis
“Preliminary Assessment” (Annex VII)

Arrears of mandatory contributions of 180 countries as of 31 December 2019

$491,503

Very partial funding for the Preliminary Assessment and only for the first year
“Preliminary Assessment” (Annex VII)

**Scenario 1**

Reduce the number of files reviewed per year

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<thead>
<tr>
<th>Year</th>
<th>Number of Files</th>
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<tr>
<td>1</td>
<td>29</td>
</tr>
<tr>
<td>2</td>
<td>21</td>
</tr>
<tr>
<td>3</td>
<td>21</td>
</tr>
<tr>
<td>4</td>
<td>21</td>
</tr>
<tr>
<td>5</td>
<td>21</td>
</tr>
</tbody>
</table>

Based on: $40,760 / file

**Financial projection**

- Year 1 – 29 files
- Year 2 – 21 files
- Year 3 – 21 files
- Year 4 – 21 files
- Year 5 – 21 files
“Preliminary Assessment” *(Annex VII)*

**Scenario 2**

Use the Sub-account for the evaluation of Phase 1 nominations

$182,929 available (31 May 2021)

This allows for an examination of maximum 2 files a year

The number of files will depend on the amount of funds received each year
“Preliminary Assessment” (Annex VII)

Scenario 3

Cut budget lines

- Advisory services
- Internationale Assistance
- Sites in Danger
- Reactive monitoring
- Periodic Reporting
- Advisory Missions…
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Questions & Answers