ACTION PLAN FOR WORLD HERITAGE IN SOUTH AMERICA 2015-2020

Approved at the end of the
SUB-REGIONAL MEETING FOR SOUTH AMERICA
held in Cusco, Peru, from 5 to 7th of May 2015

Final Version of the 10th of JUNE 2015
Cusco (Peru) – Paris (France)
The present document describes the result of the sub-regional meeting “Towards the Action Plan for World Heritage in South America 2015-2020” (PAAS 2015-2020), held in Cusco, Peru, from 5 to 7 of May 2015.

During the 37th session of the World Heritage Committee (Phnom Penh, 2013), the Latin America and the Caribbean (LAC) community presented the results of the Second Cycle of the Periodic Reporting for LAC (2009-2013). By Decision 37 COM 10A the Committee congratulated the States Parties on the Report and requested the World Heritage Centre (WHC) to develop an Action Plan in collaboration with the States Parties of the region for presentation at its 38th session (Doha, 2014).

The Regional Action Plan for Latin America and the Caribbean 2014-2024 (PARALC), was elaborated by the States Parties during the regional meeting in Brasilia on April 2014 in collaboration with the WHC, the Advisory Bodies (ABs): ICOMOS, IUCN and ICCROM and the Category II Centres (C2C) of the region, as follow-up of Decision 37 COM 10A, with the aim of bringing an action framework for the 3 sub regions of LAC.

The World Heritage Committee adopted the PARALC 2014-2024 by Decision 38 COM 10B.4 (Doha, 2014) and requested the State Parties to continue working with the WHC, the ABs and the C2C in the development of sub-regional action plans.

The PARALC reflected the strategic objectives for the implementation of the Convention (Credibility, Conservation, Capacity-building, Communication and Communities) while identifying specific challenges for one or more LAC sub-regions; and established the following heritage typologies identified as regional priorities in one or more LAC sub-region: urban heritage, natural sites, cultural landscapes, archaeological heritage.

The PAAS 2015-2020 builds upon the PARALC; the answers received during the process of the Periodic Reporting for South American countries; and the State of Conservation Reports evaluated by the Committee in recent years. The Plan constitutes a prioritised sub-regional action framework that was agreed upon and approved by the States Parties of South America as a common working agenda.

The present Plan proposes a series of actions and activities focused on the main threats and potentials of the sub-region. These threats were identified in both the questionnaires of the Periodic Reporting and in the recent State of Conservation Reports for the properties inscribed on the World Heritage List, and are currently being debated in the sub-region.

Considering the diverse realities of each country and following the guidelines of the Action Plan 2015-2020, each State Party will prioritize the activities that they consider feasible to carry out.
Within this framework, the Plan contains three major components:

2. Strategy of Capacity Building for World Heritage properties (EFCAS)
3. Program of Pilot Projects in World Heritage properties (PPPAS)

I. Sub-regional Context

The 10 countries of the South American sub-region have all ratified the 1972 World Heritage Convention and currently have 65 properties inscribed on the World Heritage List: 44 cultural properties, 19 natural properties and 2 mixed properties, all recognized for their Outstanding Universal Value (OUV).

It is clear that the number of natural and mixed sites inscribed on the World Heritage List in South America does not reflect the mega-diversity of the sub-region. For example, three countries of the sub-region do not have natural or mixed properties inscribed on the World Heritage List. Even more surprising is the fact that, despite the recognized interaction between culture and nature in the sub-region, there are only two mixed sites and they are both located in the same country (ER-2).

One of the threats identified in the Periodic Reporting and the state of conservation reports recently examined by the Committee is the lack of adequate knowledge of management systems, in particular limited coordination and/or inter-institutional cooperation (national or transnational). A clear example of this fact is the scarce coordination and knowledge of management and conservation policies between the different institutional levels responsible for the protection of the property (Central, Regional, Provincial, Municipal and/or District governments as well as inter-sectorial at each level of government) and/or the lack of communication on the development of management tools between these institutions (ER-3).

In this context, it is further noted that the great efforts invested by the national authorities in the development of the management plans are not balanced with the efforts invested in their implementation. This is clearly connected with the lack of participation of the local communities and other actors that live within the property during the development of the management plans. (ER-3).

The state of conservation reports submitted to the Committee in recent years highlight several major issues that affect the World Heritage properties in the sub-region, including urban mobility, in particular public transportation policies and systems; development projects and infrastructure works in historical centres or their surroundings; as well as resource exploitation projects in natural sites.

In this sense, it is important to emphasize the necessity of making the different plans that affect the property compatible with each other (Management, Master Plans, etc) in particular the urban planning proposals for the area of protection of the property or its buffer zone with the Tourism Development Plan, which requires an update on the production of tourism-related products that would be compatible with the property (ER-4).

Furthermore, the Periodic Reporting and the evaluation of the state of conservation reports identify the impacts of mass tourism as one of the greatest threats for the properties. The state of conservation reports evaluated at the 38th session of the Committee demonstrated an increase in tourism, especially in the natural properties inscribed on the World Heritage List.

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1 ER= Expected Result. See Action Plan in chapter IV of the present document.
Mass tourism in turn generates urban pressure and activities that may threaten the conservation of the property (ER-4).

The increase of Environmental Impact Assessments (EIAs), Social Impact Assessments (SIAs), or Heritage Impact Assessments (HIAs) requested by the Committee and the Advisory Bodies of the Convention to the States Parties in the last few years, confirms the growing concern regarding the potential negative impacts of the afore-mentioned development projects on the properties’ OUV. (ER-5).

Likewise, the risks associated with natural disasters, climate change and anthropogenic pressures are a great challenge to the conservation and management of World Heritage properties in the sub-region. In the face of these challenges, the urgency to promote the reduction and risk management plans for World Heritage properties is obvious (ER-6).

Based on this diagnosis, the Action Plan for World Heritage in South America (PAAS 2015-2020) is available in chapter V.

With the aim of carrying out a periodic reporting of the implementation of the PAAS 2015-2020 activities, each State Party will present to the World Heritage Centre at least 2 evaluation reports of the activities of the PAAS 2015-2020:

- A progress report on the implementation of the activities described in the “PAAS 2015-2020” in July 2018 (which corresponds to the second cycle of the activities established in the PAAS);
- A final report on the implementation of activities described in the “PAAS 2015-2020” in December 2020 (which corresponds to the last cycle of activities established by the PAAS).

II. Strategy of Capacity-Building

With the purpose of preparing the Capacity-Building Programme for the sub-region, the following themes have been identified and divided into two sub-categories. Based on these, the following Strategy of Capacity Building for South America (EFCAS) has been developed:

1. General action framework for the implementation of the World Heritage Convention
   - National policies, legal framework for World Heritage
   - Coordination / Inter-institutional cooperation (between the different levels of government and inter-sectorial within each level of the government)
   - Education and raising awareness on the meaning of the value of heritage

2. Management and conservation of World Heritage properties
   - Inclusive management systems (public and private sector, with particular emphasis on local communities)
   - Management of visitors
   - Indicative lists and nominations
   - Sustainable and participative tourism policies
   - Integrated systems of urban and territorial development
   - Impact assessments (Heritage, Environment, Socio-economic, Roads, etc.)
   - Programs of risks management

The themes and sub-themes in the EFCAS and the PAAS were selected based on the main threats identified in the questionnaires of the Periodic Reporting and the state of conservation reports of the properties evaluated in recent years.
The EFCAS is included in chapter VI.

The Strategy of Capacity Building will be developed by the States Parties, with the purpose of designing and implementing a “Program of Capacity Building 2015-2020” and with the cooperation of Category II Centre “Lucio Costa”, ICCROM and the World Heritage Centre and with the collaboration of ICOMOS and IUCN, on a case-by-case basis.

III. Pilot Projects – Application in practice of the projects

In conformity with the general strategies established by the PARALC, the PAAS 2015-2020 proposes the establishment of a Program of Pilot Projects in South America (PPPAS).

The preliminary selected projects for the strategy will aim to reflect the States Parties’ efforts to efficiently address the main difficulties identified by the PAAS 2015-2020 and to contribute to the concept and dissemination of good practices for the conservation and management of the properties in the sub-region.

Each State Party has selected within this framework at least one pilot project that it considers important to develop during the period of the Plan (taking into account December 2020 as a deadline for implementation), and in some of these projects several countries will work together.

Throughout the process of implementation, the States Parties will maintain close cooperation and communication with the WHC in order to disseminate the implemented good practices, the accomplishments of the projects and to receive technical support, if necessary. The Advisory Bodies will also provide consultancy and advice upon request by the State Parties.

During the implementation of the PPPAS, and depending on the update of development of projects initiatives from the public or private sector, the States Parties will be able to request the inclusion of more projects that comply with the afore-mentioned requirements and contribute to the promulgation of good practices in the sub-region.

The preliminary list of PPPAS is included in chapter VII of the document.
### IV. Sub-regional Action Plan

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<table>
<thead>
<tr>
<th>Goals</th>
<th>Activities</th>
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<th>Partner(s)</th>
<th>Indicators</th>
<th>Expected results</th>
</tr>
</thead>
<tbody>
<tr>
<td>ER1-A1: To strengthen the implementation of the World Heritage Convention.</td>
<td>A1.1 Revision of the efficacy and relevance of the legal and institutional policies and frameworks for the conservation and management of World Heritage.</td>
<td>SP</td>
<td>WHC</td>
<td>Diagnosed report by each State Party</td>
<td>X</td>
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<tr>
<td></td>
<td>A1.2 Evaluation and presentation to WHC of a report on the buffer zones of the properties which lack them. If it is the case, presentation of the proposals for protection of the surroundings, including the gradualness of its application.</td>
<td>SP</td>
<td>WHC</td>
<td>Report on the situation of the buffer zones as well as those proposals for protection of the surroundings, including the policies of gradualness in its application.</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>A1.3 Public conservation and development policies that consider natural and cultural heritage in the Operational Guidelines of the Convention.</td>
<td>SP</td>
<td></td>
<td>New or updated tools that consider conservation and development of natural and cultural heritage in the Operational Guidelines of the Convention.</td>
<td>X</td>
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<tbody>
<tr>
<td>A1.4</td>
<td>Diffusion of knowledge about the World Heritage Convention, emphasizing the responsibility and management shared between public bodies, social actors and communities.</td>
<td>SP</td>
<td>WHC, AB, CC2, OA</td>
<td>Report on the instruments applied and the results obtained.</td>
<td>X</td>
<td>X</td>
<td>X</td>
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</tr>
<tr>
<td>RE2-A1:</td>
<td>To update the Indicative Lists to have an improved representation of natural heritage as well as new typologies of cultural heritage.</td>
<td>A2.1</td>
<td>CPM, OC, CC2</td>
<td>Indicative Lists updated and representative of natural, cultural and mixed sites presented to the World Heritage Centre.</td>
<td>X</td>
<td>X</td>
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<td></td>
<td>A2.2 Promotion of the investigation about the new cultural heritage typologies which allow for improving the identification, conservation and management of the sites.</td>
<td>A2.2</td>
<td>CPM, OC, CC2</td>
<td>Number of investigation projects executed about new typologies of cultural heritage.</td>
<td></td>
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<td>X</td>
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</tbody>
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2 It is consolidated at the end of the cycle with a report
3 In the case of natural heritage, it is recommended to use as a reference Biodiversidad Terrestre y la Lista del Patrimonio Mundial accessible in the following link: https://www.iucn.org/about/work/programmes/wcpa_worldheritage/publications/pub_translations.cfm?uPubsID=4994
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<tr>
<td>A2.3</td>
<td>Elaboration of nomination files with particular emphasis on the new heritage typologies or under-represented typologies, prepared on the part of multidisciplinary and inter-institutional teams. The participation of local communities will be included in the nomination processes.</td>
<td>SP</td>
<td>WHC</td>
<td>Number of nomination files of new typologies or under-represented typologies, elaborated and transmitted by multidisciplinary teams that include community participation.</td>
<td>X</td>
</tr>
<tr>
<td>A3.1</td>
<td>Development of policies and mechanisms for decision-making that articulate and coordinate the different levels of management (inter-institutional and inter-sectorial) of the World Heritage properties.</td>
<td>SP</td>
<td></td>
<td>Report on inter-institutional management mechanisms generated and the corresponding results.</td>
<td>X</td>
</tr>
<tr>
<td>A3.2</td>
<td>To designate or to identify interlocutors in the diverse national institutions that interact in the management of natural and cultural properties of World Heritage.</td>
<td>SP</td>
<td></td>
<td>Updated list of the interlocutors of each institution that interact in the management of the World Heritage properties.</td>
<td>X</td>
</tr>
<tr>
<td>ER3-A3:</td>
<td>To improve the communication and inter-institutional cooperation in the management and understanding of World Heritage properties.</td>
<td></td>
<td></td>
<td>RE3: Efficient transversal and inter-institutional management of the SP, applied to the properties inscribed in the World Heritage List.</td>
<td>X</td>
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<tr>
<td>A3.3</td>
<td>Improvement of mechanisms and instruments of communication between focal points of World Heritage properties and the institutions related to management of national World Heritage properties.</td>
<td>SP</td>
<td></td>
<td>Report on the agreements between the focal points and the distinct institutions concerned with the properties.</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td></td>
<td></td>
<td></td>
<td>RE4: Inclusion of sustainable and participative tourism Plans (communities) in the national heritage policies.</td>
</tr>
<tr>
<td>A.3.</td>
<td>Establishment, strengthening and application of management structures in all those properties inscribed that require it.</td>
<td>SP</td>
<td>WHC AB</td>
<td>Management systems of the properties inscribed on the List of World Heritage in application.</td>
<td>x</td>
<td>x</td>
<td>x</td>
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<tr>
<td>ER4-A4: To develop and implement participative policies of sustainable tourism and include them in national heritage’s management frameworks.</td>
<td>A4.1</td>
<td>Development of a Strategy and a national sustainable tourism Action Plan for World Heritage properties, including:</td>
<td>SP</td>
<td>WHC AB</td>
<td>Number of sustainable tourism action plan in the 10 SP.</td>
<td>x</td>
<td>x</td>
<td>x</td>
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<td></td>
<td>A 4.1.1 Development of visitor’s management plans that include carrying capacity studies and/or reception capacity and acceptable modification of boundaries, in those properties where there is tourism activity.</td>
<td>SP</td>
<td>WHC AB</td>
<td>Number of visitors’ management plans developed.</td>
<td>x</td>
<td>x</td>
<td>x</td>
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<td></td>
<td>A 4.1.2 Development and application of an indicators system to monitor the impact of tourism activity, appropriate for the World Heritage properties’ characteristics.</td>
<td>SP</td>
<td>WHC AB</td>
<td>Systems of indicators of applied monitoring.</td>
<td>x</td>
<td>x</td>
<td>x</td>
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# Action Plan for World Heritage in South America

**Final Version**

(1st July of 2015)

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<tr>
<td>A 4.1.3</td>
<td>Development of strategies for the participation and training of communities, when applicable, on tourism management of World Heritage properties, with emphasis on improving their quality of life and on conservation of properties, through socio-productive projects and/or services.</td>
<td>SP</td>
<td>WHC AB</td>
<td>Socio-productive projects of services and conservation formulated and being executed.</td>
<td>X</td>
</tr>
</tbody>
</table>

**ER5-A5:** To strengthen the legal framework to develop the *Environmental, Heritage and Socioeconomic Impact Assessments* of projects and initiatives that could affect the OUV of the World Heritage properties.4

| A5.1 | Update of the Management Systems of the inscribed properties to promote the development of impact assessments. It includes the strengthening of instruments and databases, as well as the identification of impact potentials on the OUV because of projects and initiatives in its surroundings. | SP | WHC AB | Number of management systems updated that integrate impact assessments. | X | X | X |

**RE5:** Development of Impact Assessments prior to the implementation of development projects.

| A5.2 | Development of mechanisms that make viable the inclusion of communities in impact assessments, in cases where national legislation does not foresee it. | SP | WHC AB | Number of impact assessments with participation of local communities. | X | X | X |

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4 Basis of the OUV (criteria, authenticity and integrity, measures of protection and management)
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<tr>
<td>ER6-A6: To develop programs and risks management plans in the World Heritage properties, with close attention given to the impacts generated by climate change.</td>
<td>Development of risk management plans for World Heritage properties.</td>
<td>SP</td>
<td>WHC, AB</td>
<td></td>
<td>X</td>
<td></td>
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<td></td>
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<td>RE6: Efficient, effective policies in the promotion of risks management Plans.</td>
</tr>
<tr>
<td>A6.1</td>
<td>Development of Risk Action Plans</td>
<td>SP</td>
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<tr>
<td>A6.1.1</td>
<td>Update of the inventory of risks associated with disasters, as well as the state of preparedness for them.</td>
<td>SP</td>
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<tr>
<td>A6.2</td>
<td>Development of boards of governmental and non-governmental, educational and experts’ institutions, for the development of research projects in risk management.</td>
<td>SP</td>
<td>AB</td>
<td></td>
<td>X</td>
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**ER6-A6:**
To develop programs and risks management plans in the World Heritage properties, with close attention given to the impacts generated by climate change.

**A6.1**
Development of risk management plans for World Heritage properties.

**A6.1.1**
Update of the inventory of risks associated with disasters, as well as the state of preparedness for them.

**A6.2**
Development of boards of governmental and non-governmental, educational and experts’ institutions, for the development of research projects in risk management.
### STRATEGY OF CAPACITY BUILDING (EFCAS)

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<th>2020</th>
<th>Expected Results</th>
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<tbody>
<tr>
<td>ER1-A1.1:</td>
<td>Identification of institutions, installations and current networks that act as providers of activities of capacity building in heritage management and conservation.</td>
<td>SP</td>
<td>WHC AB</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>#</td>
<td></td>
<td>RE1: Adequate education and awareness on the meaning of the value of heritage as an identifying factor and vehicle for development.</td>
<td></td>
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<tr>
<td>A 1.1.1</td>
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<td></td>
<td>Implementation of awareness, education and dissemination activities (at national and sub-regional levels) on the duties and benefits of the World Heritage Convention and its concepts.</td>
<td>SP</td>
<td>WHC AB CC2</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
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<tr>
<td>A 1.1.2</td>
<td>Development of didactic materials on emergent themes for the management and conservation of World Heritage in South America • Efficiency of the management • Impact Assessments (road, environmental, socio-economic, heritage and visual) • Management of buffer zones and immediate vicinity • Sustainable development • Climate change • Risk management</td>
<td>SP</td>
<td>WHC AB CC2</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
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<tr>
<td>ER1-A1.2.:</td>
<td>To organize and produce information about the management and conservation of heritage at all levels of society.</td>
<td>A 1.2.1</td>
<td></td>
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* Each State Party will decide in which period to carry out the action
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<tr>
<td>ER1-A1.3: To develop training actions.</td>
<td>A1.3.1 Promotion of exchanging knowledge about good practices and experiences on conservation, management and monitoring of heritage.</td>
<td>SP</td>
<td>CC2</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>#</td>
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<tr>
<td>A1.3.2 Creation of “on-line” modules of capacity building (in Spanish and Portuguese).</td>
<td>SP</td>
<td>WHC AB</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
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<tr>
<td>ER1-A1.4: To promote the diffusion of knowledge for all levels of society.</td>
<td>A1.4.1 Implementation of information campaigns targeted at local, traditional and Indigenous communities relative to their rights and duties in the framework of the World Heritage Convention.</td>
<td>SP</td>
<td>WHC AB CC2</td>
<td>At least 1 action per country per type of heritage (natural and cultural).</td>
<td>X</td>
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<tr>
<td>A1.4.2 Creation of web communication materials (sites, videoconferences, “podcasts”) about key issues for the general public (in Spanish and Portuguese).</td>
<td>SP</td>
<td>CC2 WHC AB</td>
<td>At least 1 action per country.</td>
<td>X</td>
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<tr>
<td>A1.4.3 Creation of “on-line” platforms that facilitate access to key documents, good practices and tools for self-learning, creation of trainings and other forms of cooperation that strengthen current international heritage</td>
<td>SP</td>
<td>CC2 CPM AB</td>
<td>At least 1 action per country.</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
<td>ER2-A2: To improve representation and diversity in the sub-regional properties of the List of World Heritage.</td>
<td>A2.1 Organisation of workshops to update indicative lists with emphasis on addressing the identified under-represented typologies in periodic reports in each country.</td>
<td>SP</td>
<td>WHC AB</td>
<td>At least 1 workshop.</td>
<td>X</td>
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<tr>
<td>ER3-A3: To improve communication and inter-institutional cooperation in the management of World Heritage properties.</td>
<td>A3.1 Organisation of national workshops regarding the development of communication and inter-institutional cooperation policies in World Heritage Sites.</td>
<td>SP</td>
<td>WHC AB</td>
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<td>A3.2 Organisation of sub-regional workshops regarding the development of information, communication and transnational cooperation policies in World Heritage serial and trans-boundary properties.</td>
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<td>WHC AB</td>
<td>At least 2 workshops.</td>
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5 To be developed in collaboration with “Sharing best practices in World Heritage management”.

RE2: Representation of natural and cultural properties of the sub-region on the List of World Heritage.

RE3: Update of the national policies and legal frameworks for the management and conservation of World Heritage.
## STRATEGY OF CAPACITY BUILDING (EFCAS)

<table>
<thead>
<tr>
<th>Goals</th>
<th>Activities</th>
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<th>Partners</th>
<th>Indicators</th>
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<tr>
<td>ER4-A4: To develop and implement sustainable tourism participative policies and to include them in the national management frameworks.</td>
<td>A4.1 Organisation of sub regional workshops on sustainable and participative tourism in the World Heritage properties.</td>
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<td>WHC AB</td>
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<td>RE4: Inclusion of sustainable and participative tourism Plans (communities) in the national heritage policies.</td>
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<td>A4.2 Organisation of national communication workshops and integration of local communities in sustainable tourism policies for the management of the properties.</td>
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<td>ER5-A5: To strengthen the legal framework for the development of Environmental, Socioeconomic and mobility Impact Assessments and for Heritage prior to the development of projects.</td>
<td>A5.1 Training of World Heritage sites’ managers in the processes of impact assessments so that they can collaborate in its development processes.</td>
<td>SP</td>
<td>WHC AB</td>
<td>At least 1 workshop.</td>
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<td>RE5: Development of Impact Assessments prior to the implementation of development projects.</td>
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<td>A5.2 Organisation of national workshops (sub-groups) on the training for the development of Impact Assessments in World Heritage properties.</td>
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<td>ER6-A6: To develop programs of management and risks mitigation plans in the properties.</td>
<td>A6.1 Organisation of sub-regional workshops on training for the development of risk management Plans, taking into account the impacts of climate change.</td>
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<td>RE6: Development and implementation of a Program of national risk management in the national policies that manage World Heritage properties.</td>
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VI. Program of Pilot Projects in South America – PPPAS

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<td>ER1-A1: Development of projects to use the List in Danger as a tool to strengthen the protection of extremely vulnerable properties.</td>
<td>Project 1: Site: City of Potosí</td>
<td>Bolivia</td>
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<td>Project 2: Site: Los Katíos N.P.</td>
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<td>ER2-A2: Development and implementation of participative projects (local communities) on sustainable development in World Heritage properties that generate positive effects in standard of living, poverty alleviation and inclusion of women and young people in the conservation of World Heritage.</td>
<td>Project 1: Site: Salvador de Bahia-Cartagena de Indias</td>
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<td>Project 7: Site: Qhapaq Ñan</td>
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**ER3-A3**: Development and implementation of participative projects (local communities) on sustainable tourism in World Heritage properties.
### PROGRAM OF PILOT PROJECTS IN SOUTH AMERICA - PPPAS

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<td><strong>Project 1:</strong> Site: Fuerte de Samaipata</td>
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<td><strong>Project 2:</strong> Site: Salvador de Bahía- Cartagena de Indias</td>
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<td><strong>Project 3:</strong> Site: City of Quito and Historic Quarter of the Seaport City of Valparaíso</td>
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<td><strong>RE4:</strong> Policies of efficient risk management Plans.</td>
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**ER4-A4:** Development of projects on risk management and mitigation on World Heritage properties, in particular those associated with anthropogenic threats (example: illegal activities) or by nature (example: climate change).