

## 11. Working methods and tools

### Document:

**WHC/18/42.COM/11**

# **Progress report on a Draft Policy Compendium**

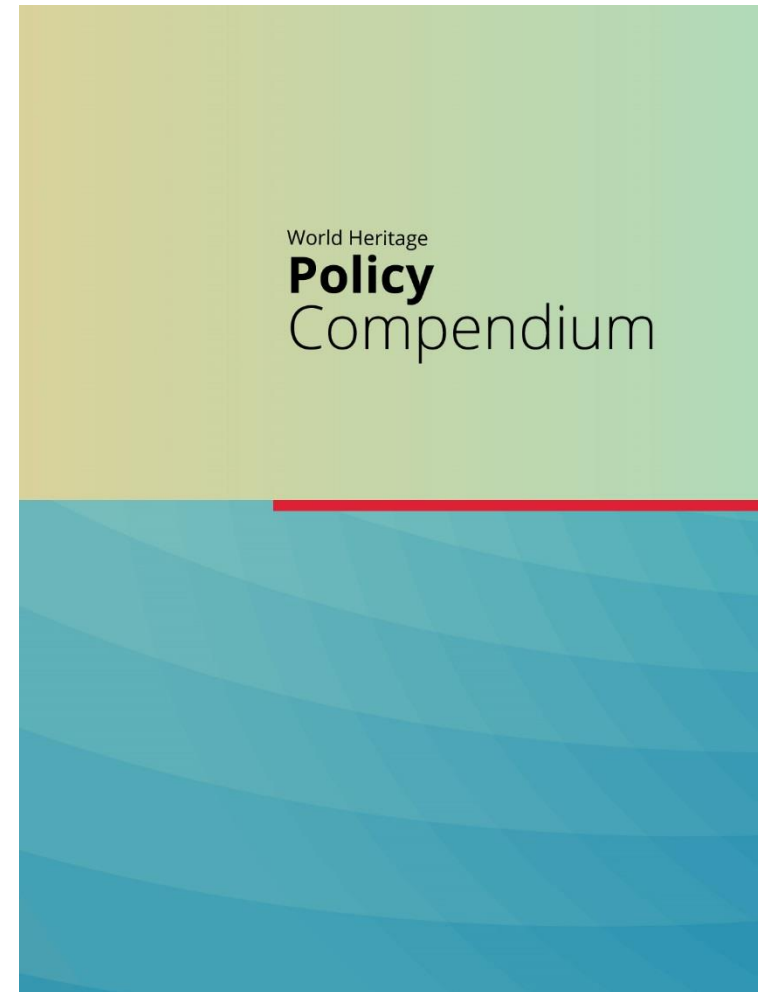
## Background

- Decision **35 COM 12B**: “*a new document, ‘Policy Guidelines’, be developed as a means to capture the range of policies that the Committee and the General Assembly adopt*”.
- 2015: the Government of Australia pledged its support for the preparation and finalization of a Policy Guidelines Document.
- Scoping Study presented to the World Heritage Committee at its 40th session (Istanbul/UNESCO, 2016).

# 11. Progress report on a Draft Policy Compendium

## Background

- With Decision **40 COM 12**, the World Heritage Committee
  - Approved the Scoping Study and the **two-phase approach** recommended
  - Renamed the document 'A *Compendium of Policy of the World Heritage Convention*' ('*Policy Compendium*')
  - Requested that a **Draft** Policy Compendium reviewed by an expert Working Group be examined at its 42nd session in 2018
  - Requested that **stakeholders** be consulted in the development process



## • **The Two-Phase Approach**

### • **Phase One – CURRENT ONE:**

- to collect existing policies and to present them to the World Heritage Committee for review

### • **Phase Two – NEXT ONE:**

- the draft Policy Compendium would be reviewed for consistency between individual policies and a streamlined text would be presented for approval

## Collection of existing policies for presentation to the World Heritage Committee

### Step I. Preparatory work and the first compilation of policies

- **Scope of work:** producing a draft Policy Compendium for further development
- **Methodology:** identifying and organising existing policies, not policy drafting

## Collection of existing policies for presentation to the World Heritage Committee

### Step I. Preparatory work and the first compilation of policies

- DESK STUDY:
  - Extensive research of World Heritage policy documents, World Heritage Committee Decisions, the *Operational Guidelines* and other key policy-related documents.
  - Case law approach: ensuring holistic approach; a case law analysis of World Heritage Committee decisions on SoCs and Nominations
  - Identification of an appropriate thematic structure

# 11. Progress report on a Draft Policy Compendium

## ➤ The Policy Compendium Expert Working Group

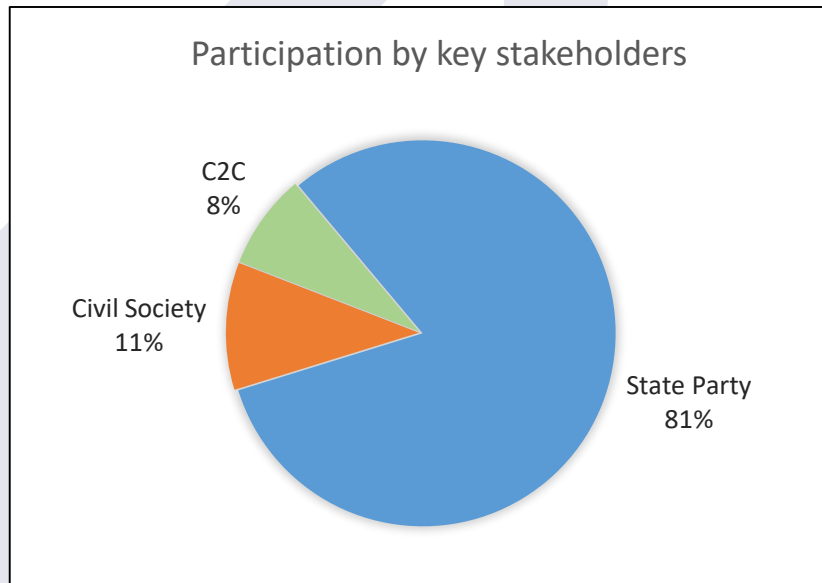
- Decision **40 COM 12**
- Professionals working in the field of cultural and natural heritage, Advisory Body representatives, staff members of the World Heritage Centre
- Gender-balanced, regionally-balanced and a balance of expertise in cultural and natural heritage.
- Work carried out online and during two working meetings (December 2017 and March 2018)



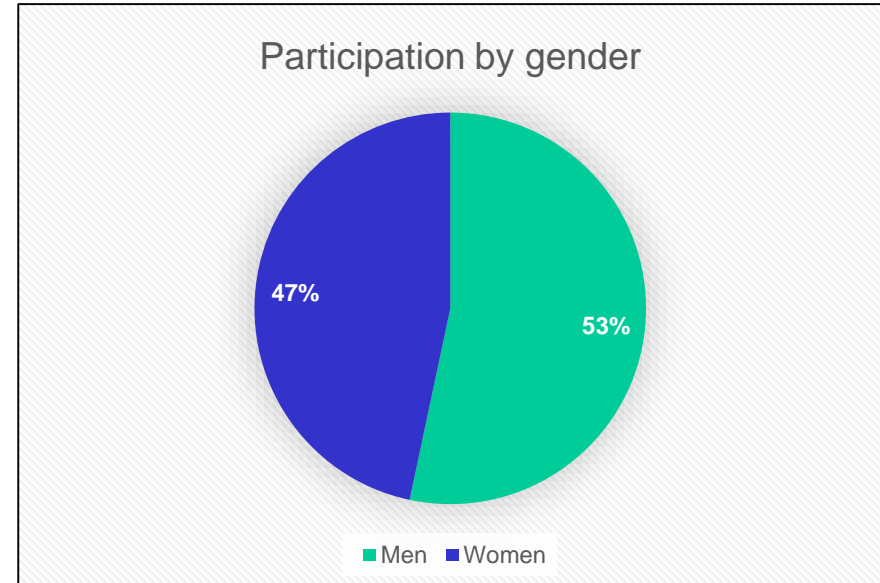
## Online Consultation with World Heritage stakeholders on the draft Policy Compendium (February - March 2018)

- Survey developed by the World Heritage Centre in consultation with the expert Working Group
- Conducted online – minimize expenses and ensure inclusive participation

### 75+ participants



### Gender balance





# Developing a Compendium of Policy for the World Heritage Convention – Challenges and Outcomes

The work of the Policy Compendium Expert Working Group presented by **Dr. Greg Terrill** and **Ms. Maider Marana**

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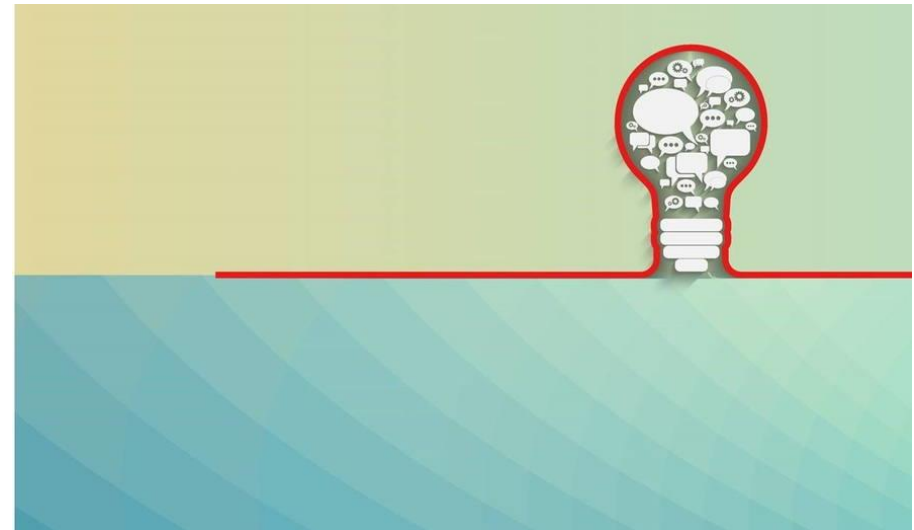
## CHALLENGES

- ✓ Policy Compendium
  - *Complex exercise*
  - *The need to make **choices***

- ✓ What is a policy?

### *Differences*

- *between operational & policy matters*
- *between a Policy Compendium and the Operational Guidelines*



## CHALLENGES

- ✓ For whom?
  - *Identifying the audience & their needs*
  - *Tailoring the document*

**Primary audience:** The World Heritage Committee and the States Parties

**Major audience:** Advisory Bodies to the *Convention*, regional offices of UNESCO, regional and local authorities, Site Managers, local communities including indigenous peoples, and other relevant stakeholders

**Wider audience:** Academics and others with an interest in the *World Heritage Convention*.

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## CHALLENGES

- ✓ Where to find the policies?
  - *Convention, General Assembly, Committee*  
*General decisions vs. decisions related to a specific issue or property*
  - *UNESCO governing bodies decisions and resolutions?*
  - *INF documents and the Context of a Decision?*
  - *UN system?*



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## CHALLENGES

- ✓ How to quote the policies?
  - *Do decisions always use policy wording?*
  - *How much to quote?*
  - ***Need to keep to original wording***
    - *not creating new policies, but compiling existing ones*

### Decisions of ordinary sessions of the World Heritage Committee

Sessions	Year
42 COM	2018
41 COM	2017

### Decisions of Extraordinary Sessions of the Committee

Sessions	Year
12 EXT.COM	2017
11 EXT.COM	2015

### Decisions in Focus

- Global Strategy for a representative, balanced and credible World heritage List (28 COM 13.1)
- Budapest Declaration On World Heritage (26 COM 9)
- Cairns Decisions - Work of The World Heritage Reform Groups (24 COM VI)

### Decisions by Theme

Administration Budget Capacity Building Communication Community

## CHALLENGES

- ✓ What structure to adopt?
  - *Chronological order*
  - *Based on type of document (Convention, Operational Guidelines, Declarations, ...)*
  - *Based on procedures (inscription, state of conservation, etc.)*
  - *The 5Cs*

Strategic Objectives  
the "Five Cs"

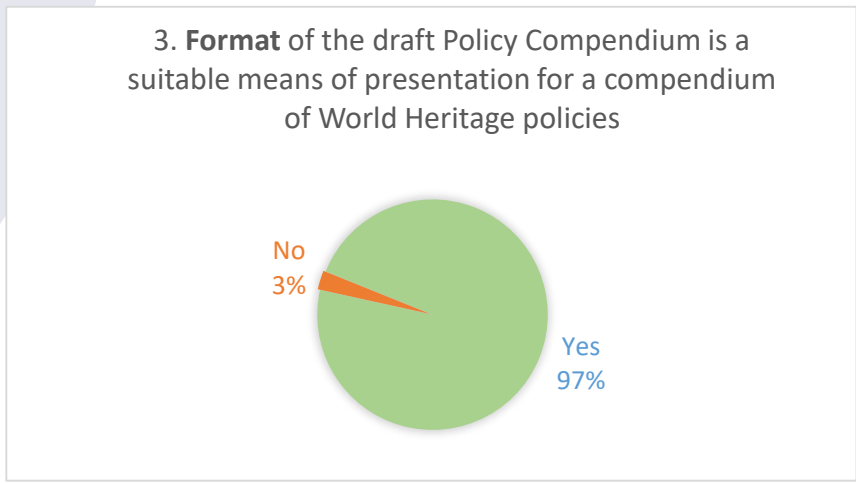
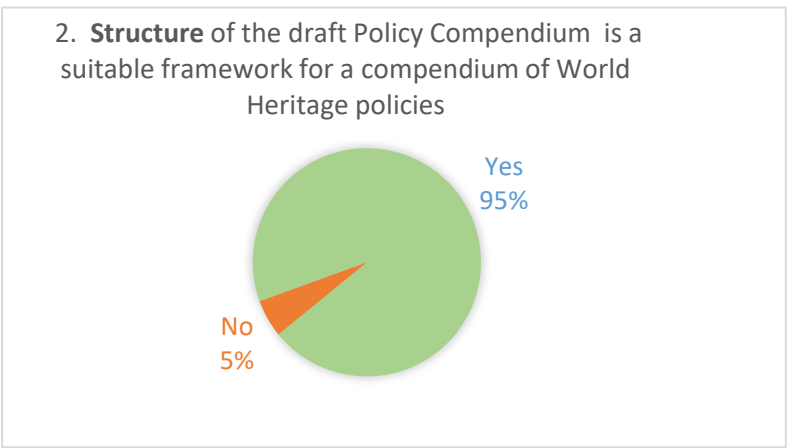
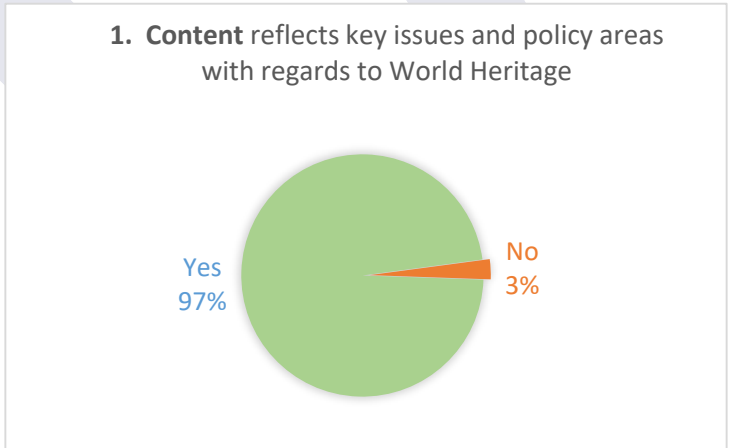
Credibility Conservation Capacity-building Communication Communities

Read more:

Budapest Declaration (2002), The "fifth C" (2007)

## Results of the Online Consultation

Responses regarding the content, structure and format of the draft *Policy Compendium*:



## CHALLENGES

- ✓ Why the 5Cs structure?
  - *Aligns with Convention Objectives*
  - *Widely adopted, familiar to users*
  
- ✓ Structure – 5Cs: filling the gaps
  - *Inclusion of of subcategories per Objective*
  - *Alignment with Convention procedures (i.e. SoC, Periodic Reporting...)*
  - *Inclusion of General Policy chapter*



## CHALLENGES

- ✓ Hierarchy among policies
  - *Convention, General Assembly, policy documents and declarations, Operational Guidelines, Committee decisions, case law*
- ✓ Similar policies
  - *Which decision to quote among several on the same topic*
- ✓ Policies that diverged
  - *Policies that reflected different directions on the same topic*
- ✓ Cross-cutting references
  - *Policies relating to different chapters of the structure*

## CHALLENGES

### ✓ Case law:

- *Why? To include the policies derived from Committee decisions over the years, which established clear direction for some specific issues*
- *How?*
  - *State of conservation database: Themes and Threats*
  - *SOC: more than 400 decisions considered*
  - *Nominations: more than 200 decisions considered*
- *Not detailed decisions – difficult to “quote” a policy text from them*

## CHALLENGES

- ✓ Gaps:
  - *Different number of references among categories*
  - *Clear key areas for the Convention, without a related decision (i.e. youth)*
  
- ✓ MAKING CHOICES - Reached by consensus:

## OUTCOMES

- ✓ A holistic draft *Policy Compendium*
  - *General procedures and key issues are included*
  - *General overview of the Committee's work and decisions*
  - *Policy approach to the implementation of the Convention*
  - *Basic framework for next steps*

## OUTCOMES: INDEX

Introduction to the draft World Heritage *Policy Compendium*

1. General Policies regarding the World Heritage Convention
2. Policies regarding **Credibility** of the World Heritage List
3. Policies regarding **Conservation** of World Heritage properties
4. Policies regarding **Capacity building**
5. Policies regarding **Communication**
6. Policies regarding **Communities**

# 11. Progress report on a Draft Policy Compendium

## OUTCOMES: INDEX

- ✓ 1. General Policies – focus on:
  - *Fundamental policies*
  - *Synergies with other conventions*
  - *Shared responsibility*
  - *National level*
  
- ✓ 2. Credibility of the World Heritage List – focus on:
  - *Nominations, OUV*
  - *Type of properties*
  - *Global Strategy*
  - *Upstream Process*

## OUTCOMES: INDEX

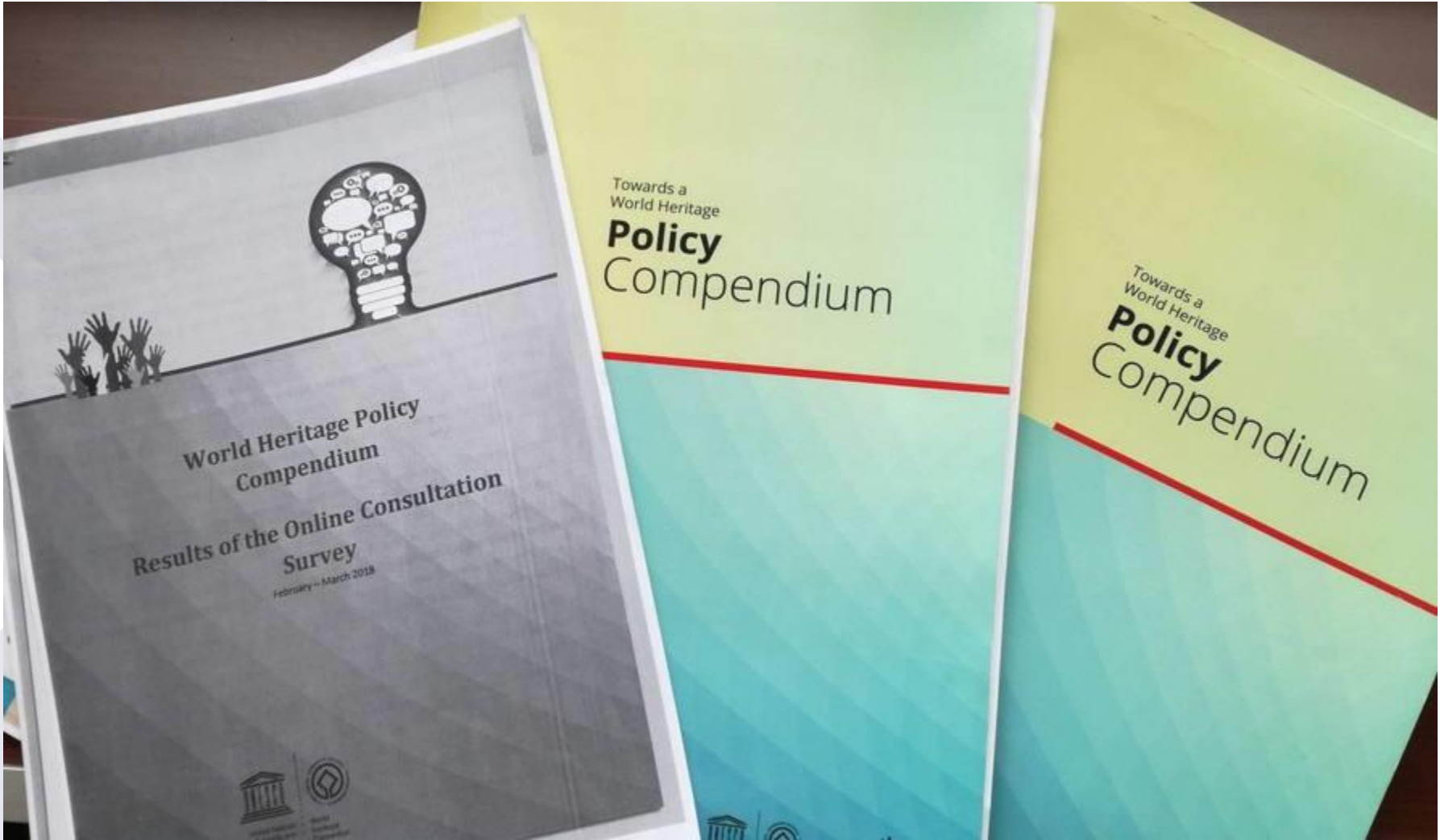
- ✓ 3. Conservation – focus on:
  - *Protection, conservation, management*
  - *Monitoring*
  - *Impact assessments and disaster risk management*
  - *Factors affecting properties*
  - *Tourism*
  - *Sustainable development*
  
- ✓ 4. Capacity building – focus on:
  - *General policies*
  - *Capacity building Strategy*

## OUTCOMES: INDEX

- ✓ 5. Communication – focus on:
  - *Education and awareness-raising*
  - *Interpretation*
  
- ✓ 6. Communities – focus on:
  - *Participation of local communities and other stakeholders*
  - *Human rights*
  - *Gender equality*
  - *Indigenous peoples*
  - *Youth*
  - *Fostering of peace and security*



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42nd session of the World Heritage Committee, Manama, Bahrain, 24 June – 4 July 2018