

United Nations Educational, Scientific and Cultural Organization

Organisation

- des Nations Unies pour l'éducation,
- la science et la culture



# 36 COM

# WHC-12/36.COM/9A

Paris, 1 June 2012 Original: English / French

## UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION

CONVENTION CONCERNING THE PROTECTION OF THE WORLD CULTURAL AND NATURAL HERITAGE

# WORLD HERITAGE COMMITTEE

Thirty-sixth session

Saint Petersburg, Russian Federation 24 June– 6 July 2012

<u>Item 9 of the Provisional Agenda:</u> Global Strategy for a representative, balanced and credible World Heritage List

9A. Progress report on the implementation of the recommendations of the Evaluation of the Global Strategy and the PACT initiative

#### SUMMARY

The General Assembly, at its 17th session, requested the World Heritage Centre to provide at its 18th session in 2011 "with a summary of the work undertaken in relation to the reflection on the future of the *Convention*, including an independent evaluation by UNESCO's external auditor on the implementation of the *Global Strategy* from its inception in 1994 to 2011 and the *Partnerships for Conservation Initiative (PACT)*.

The Committee at its 35th session (UNESCO 2011) with Decision **35 COM 9A** requested the World Heritage Centre to transmit the independent evaluation by the UNESCO's external auditor to the 18th session of the General Assembly (UNESCO, 2011) for examination. The General Assembly decided (Resolution **18 GA 8)** to establish an open-ended working group including experts from the different geographic regions, whose composition would be determined by States Parties and depending significantly on extrabudgetary funds, to examine the report of the External Auditor, in order to produce an implementation plan for the recommendations for consideration by the World Heritage Committee and to present a final report to the 19th session of the General Assembly. The open-ended working group met from 15 to 16 May 2012 at UNESCO and an update of the work is provided in this document. All documents of the open-ended working group are posted on the World Heritage Centre website at http://whc.unesco.org/en/open-ended-working-group/.

Draft Decision: 36 COM 9A, See Point III.

# I. BACKGROUND

- 1. The General Assembly at its 17th session requested the World Heritage Centre to provide at its 18th session in 2011 "with a summary of the work undertaken in relation to the reflection on the future of the Convention, including an independent evaluation by UNESCO's external auditor on the implementation of the Global Strategy from its inception in 1994 to 2011 and the Partnerships for Conservation Initiative (PACT), based on indicators and approaches to be developed during the 34th and 35th sessions of the World Heritage Committee". The Committee at its 34th session (Brasilia, 2010) requested the inclusion of this item in the agenda of its 35th session.
- 2. The Committee at its 35th session (UNESCO 2011) with Decision 35 COM 9A requested the World Heritage Centre to transmit the independent evaluation by the UNESCO's external auditor to the 18th session of the General Assembly (UNESCO, 2011) for examination. The General Assembly decided (Resolution 18 GA 8) to establish an open-ended working group including experts from the different geographic regions, whose composition would be determined by States Parties and depending significantly on extrabudgetary funds. This open-ended working group was requested to examine the report of the External Auditor, in order to produce an implementation plan for the recommendations for consideration by the World Heritage Committee and to present a final report to the 19th session of the General Assembly.
- 3. The General Assembly at its 18th session also invited "the World Heritage Centre, in close collaboration with the Advisory Bodies, to produce by **1 February 2012** a working document, which: a) proposes a prioritized list of recommendations in the framework of the objectives of the Strategic Action Plan, adopted in resolution **18 GA 11**, and considering decisions **35 COM 12A** to **35 COM 12E**, b) indicates the financial implications, c) suggests the allocation of responsibility between States Parties, the General Assembly, the World Heritage Committee, the Advisory Bodies and the World Heritage Centre." This working document was dispatched by Circular Letters on 1 February 2012 and 24 April 2012 respectively.
- 4. The General Assembly also recommended that this open-ended working group meets "before the 36th session of the World Heritage Committee, in order to report to the Committee on the work undertaken".
- 5. The open-ended working group met from 15 to 16 May 2012 at UNESCO. It elected Mr David D. Hamadziripi (Zimbabwe) as Chairperson, Ms Vera Lacoeuilhe (Saint Lucia) as Vice Chairperson and Ms Kristien Dubois (Belgium) as Rapporteur.
- 6. The open-ended working group debated during 3 sessions on 15 and 16 May the Implementation Plan for the recommendations of the external auditor on the Global Strategy which have been endorsed by the 18th General Assembly. The open-ended working group prepared an Implementation Plan which indicates how to implement the recommendation, by whom, by when and the costs or funding required. The group worked in order of priorities (from the highest priority to low priority), except where a clustered approach between different recommendations from different priorities was considered more useful. This methodology is reflected in the Implementation Plan. The open-ended working group concluded its deliberations on the section on Global Strategy and decided to meet again prior to the 37th session of the World Heritage Committee to discuss the recommendations on the PACT Initiative.
- 7. The Implementation Plan is provided below in table format. All documents of the openended working group, including the letters sent to all States Parties and background information are posted on the World Heritage Centre website at <u>http://whc.unesco.org/en/open-ended-working-group/</u>.

# II. IMPLEMENTATION PLAN BY THE WORKING GROUP FOR THE RECOMMENDATIONS OF THE EXTERNAL AUDITOR ON THE GLOBAL STRATEGY

1. HI	1. HIGHEST PRIORITY (Ht.P)							
No.	Recommendation (Based on Working Document WHC-11/18.GA/8)	Recommendations Open-ended Working Group	Time line (COM = Committee; GA= General Assembly)	Financial Implication s	Allocation of Responsibility			
15. <b>Ht.P</b>	Define, together with the Advisory Bodies, a global conservation strategy that could in particular examine the points mentioned in the following recommendations.	<ul> <li>The Working Group confirms conservation as the highest priority of the <i>World Heritage Convention</i> and invites the Advisory Bodies to compile existing documentation and information (e.g. results of the expert meeting on the global state of conservation challenges of World Heritage properties, Senegal 2011) into a Desk Study in order for the Committee to take this forward for a Global Conservation Strategy, which should include training, capacity building and management;</li> <li>The Working Group notes that recommendation 15 is a chapeau-recommendation for recommendations 16, 17, 18, 19, 20 and 21. Its implementation is interlinked with the implementation of these recommendations;</li> </ul>	37 COM 19 GA	Desk Study USD 15,000 and Advisory Bodies Meeting Review	World Heritage Centre and Advisory Bodies			
16. <b>Hi.P</b>	Reconsider the priority accorded to Preparatory Assistance in comparison to assistance for conservation and management and reinforce training in the field of management and conservation.	<ul> <li>The Working Group recommends to the Committee to revise the priority order of the Operational Guidelines in order to give priority to assistance for conservation and management and to reflect this proposal when adopting the budgetary lines;</li> <li>The Working Group recommends to the Committee to consider limiting preparatory assistance to States Parties which have no site on the World Heritage</li> </ul>	Operational Guidelines / Budget Working Group 36 COM	No additional cost (Review by International Assistance Panel/ Capacity Building Strategy)	World Heritage Committee, World Heritage Centre, International Assistance panel, Category 2 centres			

Progress report on the implementation of the recommendations

of the Evaluation of the Global Strategy and the PACT initiative

		List, during a predetermined number of years (e.g. duration of 4 years); - The Working Group encourages Category 2 centres to play a more active role in capacity building in the field of management and conservation where possible;			
17. Hi.P	Review the provisions in paragraph 115 of the Guidelines that depart from the obligation to include an appropriate management plan or another documented management system in the nomination file: at least, strictly indicate under what circumstances derogation of the obligation may be accorded; ensure the effective establishment of an appropriate management plan; review the drafting of paragraph 116 of the Guidelines to make obligatory Evaluation of the Global Strategy and the PACT Initiative WHC-11/18.GA/8, p. 10 the definition of a plan of action, approved by the Committee following consultation with the Advisory Bodies, on corrective measures concerning anthropogenic threats; inscribe in the Guidelines the requirement of a management plan for public use; require and not only to recommend - the integration of a risk and catastrophe management plan into the management plan.	- The Working Group invites the Operational Guidelines Working Group to review this recommendation for 37 COM and requests a draft text for revisions to the <i>Operational Guidelines</i> from the Advisory Bodies and World Heritage Centre on management plans;	37 COM	No additional cost (review by Operational Guidelines Working Group; priority International Assistance for management plans)	World Heritage Committee, World Heritage Centre, Advisory Bodies

18. <b>Ht.P</b>	Strengthen the monitoring of properties; define monitoring indicators for the state of conservation, establish proactive monitoring by the Advisory Bodies without waiting for the occurrence of serious problems; ensure the participation of experts from the Advisory Bodies in the preparation of periodic reports; actively promote best practice exchanges for conservation.	<ul> <li>The Working Group recommends to the Committee, World Heritage Centre, and Advisory Bodies to review the state of conservation processes and in particular to:</li> <li>a) Link existing tools of state of conservation and Periodic Reporting and recall Decision 35 COM 7C (see outcome of the expert meeting on the global state of conservation challenges of World Heritage properties, Senegal 2011);</li> <li>b) Strengthen the Advisory Bodies' role in the Periodic Reporting review;</li> </ul>	From 3rd cycle of Periodic Reporting	No additional cost (Coordination of the preparation of the Periodic Reporting through Advisory Bodies and World Heritage Centre meetings;	World Heritage Centre and Advisory Bodies, World Heritage Committee, States Parties
		c) Define the extent and standards of proactive monitoring and request IUCN and ICOMOS to report on this issue to the 37th session of the World Heritage Committee;	37 COM	Flanders financed project on threats and monitoring of trends);	
		- The Working Group recommends to the States Parties, World Heritage Centre and Advisory Bodies to make better use of best practice exercises;	Immediately	No additional cost: Best practice promotion: web-page	
		- The Working Group invites States Parties to inform the Committee, through the Secretariat, as soon as possible of their intention to undertake or to authorize in an area protected under the Convention major restorations or new constructions which may affect the Outstanding Universal Value of the property (paragraph 172 of <i>Operational Guidelines</i> );	Immediately	No additional cost;	
19. <b>M.P</b>	Study the establishment of a «rapid reaction » fund for threatened cultural properties.	- The Working Group notes that the World Heritage Centre developed a funding proposal for rapid reaction for cultural and natural heritage, however no	Medium term	Proposal for 'rapid reaction' fund has been	World Heritage Centre

Progress report on the implementation of the recommendations

of the Evaluation of the Global Strategy and the PACT initiative

		funding has yet been identified;		developed (extrabudgetar y funding):USD 6 million	
20. Ht.P	Fully use the mechanism of In- Danger listing, in conformity with the provisions of the Guidelines (both for inscription and removal); revise the Rules of Procedure of the Committee to forbid a State Party serving on the Committee to take part in the decision following debates on state of conservation	- The Working Group recalls that the <i>Rules of</i> <i>Procedures</i> were amended in 2011, but are not yet in line with this recommendation; it invites the Committee to introduce a provision in its <i>Rules of</i> <i>Procedures</i> , in order to prevent members of the Committee to take part and vote on the decision on the state of conservation of properties located in their territory;	At the latest 37 COM Item Rules of procedures	No additional cost	World Heritage Committee, Advisory Bodies, World Heritage Centre, States Parties
	reports concerning a property located in its territory.	- The Working Group stressed the need to promote Danger Listing as an international tool for conservation and to change the negative image of it by using the following incentives for Danger Listing: mobilization of International Assistance; the promotion of the States Parties' efforts for improvements with regard to the conservation of the site and; publicity for the removal from the Danger List;	Immediately	No additional cost (web, publications, press releases and conferences at Committee meetings, etc.)	
		- The Working Group recommends to the Committee, States Parties and other stakeholders to focus efforts on a select number of conservation projects for each site on the Danger List;	37 COM	No additional costs for the other recommendati ons	
		- The Working Group recommends to the Committee to ensure that all sites on the Danger List have a Desired State of Conservation by 38 COM at the latest;	38 COM at the latest		
		- The Working Group recommends to the World Heritage Centre and Advisory Bodies to formulate more precise standards with regard to the removal of a site from the Danger List;	37 COM		

Progress report on the implementation of the recommendations

		<ul> <li>The Working Group invites the Advisory Bodies in cooperation with States Parties to provide a costed Action Plan when proposing a site for the World Heritage List in Danger and to identify the sources of financing;</li> <li>The Working Group invites the World Heritage Centre to report regularly on the costed Action Plan and funding provided;</li> </ul>	36 COM / 37 COM 38 COM at the latest		
21. Hi.P	Allocate a part of accumulated <sup>1</sup> funds to conservation; estimate the funding needs for the safeguarding of properties in danger in conformity with the provisions of Article 11, paragraph 4 of the Convention; elaborate a conservation programme for properties requiring assistance from the international community, financed from permanent resources and not allocated, by implementing the funding solutions examined at the 34th session and in allocating funds in accordance with the degree of urgency of the intervention, evaluated by the Advisory Bodies; study the possibility of collecting ad hoc resources for conservation through public campaigns.	<ul> <li>The Working Group reiterates that priority funding should go to conservation;</li> <li>The Working Group invites the Committee to allocate the biggest part of the International Assistance budget of the World Heritage Fund to conservation;</li> <li>The Working Group invites States Parties to provide to the World Heritage Centre estimates of funding needs for conservation of sites on the Danger List;</li> <li>The Working Group invites the Budget Working Group to discuss the study on the sustainability of the World Heritage Fund at 36 COM;</li> <li>The Working Group invites the Advisory Bodies in cooperation with States Parties to provide a costed Action Plan when proposing a site for the World Heritage List in Danger (See also Recommendation 20);</li> </ul>	Working Group Operational Guidelines 36 COM Budget Working Group 36 COM 38 COM at the latest 36 COM 36 COM / 37 COM	Elaborate programme: USD 30,000; Funding for 35 sites in Danger List: about USD 20 Million of extrabudgetay funding (each site requires specific funding strategy);	World Heritage Committee, World Heritage Centre, States Parties

<sup>&</sup>lt;sup>1</sup> The Working Group noted a translation error in the English version: *Allocate an increased part of the funds to conservation;* 

Progress report on the implementation of the recommendations

25. Ht.P	Render World Heritage properties examples of best practice in the service of heritage protection; develop new tools to provide full meaning to Articles 4 to 6 of the Convention and the recommendation of 1972 on the protection of the national plan for cultural and natural heritage;	<ul> <li>The Working Group recommends to the Committee and States Parties to enhance best practices and promote them for preventive conservation (see also Recommendation 18);</li> <li>The Working Group recommends to the World Heritage Centre and Advisory Bodies to further develop Resource Manuals;</li> </ul>	Immediately 19 GA	No additional cost. New Conventions are not encouraged due to budget constraints; collaboration envisaged within	World Heritage Centre and Advisory Bodies, States Parties
	envisage, if need be, an additional protocol or new thematic conventions.	- The Working Group recommends to the World Heritage Centre to make the 1972 <i>Recommendation</i> <i>concerning the Protection, at National Level, of the</i> <i>Cultural and Natural Heritage</i> more visible on the website and through other media;	Immediately	existing frameworks (e.g. 186 EX/Decision 21 on landscapes)	
		- The Working Group recommends to States Parties to ensure implementation of articles 4 to 6 of the Convention;	Immediately		
		- The Working Group notes that new Conventions are not encouraged by the Executive Board and recommends international cooperation within the existing frameworks;			

2. HIGH PRIORITY (Hi.P.)							
No.	Recommendation (Based on Working Document WHC-11/18.GA/8)	Recommendations Open-ended Working Group	Time line	Financial Implications	Allocation of Responsibility		
2. Hi.P	Establish criteria and monitoring indicators for the Global Strategy, and more generally the implementation of the Convention, indicators that must concern not only the representativity of the List,	- The Working Group recalls the provisions in the Strategic Action Plan for the Implementation of the World Heritage Convention 2012-2022 which covers criteria and monitoring indicators under item 2.1 (see Document WHC-12/36 COM/12A);	36 COM	An update of the gap analysis by the Advisory Bodies would require extrabudgetary	World Heritage Centre and Advisory Bodies		

Progress report on the implementation of the recommendations of the Evaluation of the Global Strategy and the PACT initiative

	but also and specifically the effectiveness of the nomination to the List, as a conservation tool.	- The Working Group invites the Committee to ensure that Statements of Outstanding Universal Value clearly indicate the attributes of the site.	Start 36 COM	funds: USD 10,000 No additional cost	
3. Hi.P	Establish more pertinent statistics by sub-region rather than the arbitrary zoning currently in use; avoid the approach by country and political region in favour of scientific analysis grids that the Advisory Bodies should be responsible for preparing; complete the statistics according to these classifications.	<ul> <li>The Working Group recommends to the Advisory Bodies and World Heritage Centre to establish regional and subregional statistical analysis (ref. Document WHC-10/34.COM/7C)to identify, among others, common threats on the basis of subregions established through the Periodic Reporting process;</li> <li>The Working Group recommends to the Committee to appeal to State Parties and Donors to identify and provide additional resources for thematic studies</li> </ul>	37 COM 36 COM	No additional cost/ Advisory Body contract (World Heritage Fund) Extrabudgetary funds (USD 30,000 per	Advisory Bodies and World Heritage Centre, States Parties
4. Hi.P	Strengthen the representation of natural scientific experts within the World Heritage Centre and its regional units, as well as synergies between the mechanism of the 1972 Convention and other international mechanisms for environmental protection.	<ul> <li>The Working Group recognizes the importance of this recommendation, but is aware of the current financial constraints at UNESCO. The Working Group invites UNESCO to implement the recommendation as soon as the situation improves;</li> </ul>	Report to 19 GA	Additional personnel costs under regular Programme Budget Synergies through Biodiversity Liaison Group (1 meeting per year/1 staff World Heritage Centre attends – USD 1000)	World Heritage Centre, UNESCO
7a. <b>Hi.P</b>	Refocus World Heritage nominations on the most outstanding properties and for the others,	- The Working Group invites the Committee not to adopt Statements of Outstanding Universal Value on a provisional basis and not to inscribe sites whose Statements of Outstanding Universal Value have not been finalized;	36 COM	Extrabudgetary funding for upstream processes including	World Heritage Committee, States Parties, Advisory Bodies, World Heritage Centre

		<ul> <li>The Working Group invites the Committee to strictly implement the provisions of the Operational Guidelines for inscriptions which do not have a confirmed Statements of Outstanding Universal Value by using referral or deferral;</li> <li>The Working Group recommends to the Committee to further clarify the concept of Outstanding Universal Value if it deems so necessary (see also "Special Meeting of Experts of all regions on the concept of outstanding universal value", Kazan, Russian Federation, 2005);</li> </ul>	36 COM Report to 19 GA	workshops; No additional cost	
8. <b>Hi.P</b>	Encourage States Parties to update and harmonise the tentative lists at the regional level; study the possibility of establishing regional or thematic tentative lists during the next periodic reporting cycle; - condition the granting of Preparatory Assistance destined	- The Working Group recommends to the Advisory Bodies to undertake a Desk exercise to review Tentative Lists (requires funding);	Report to 19 GA	Extrabudgetary funding for Harmonisation meetings USD 50,000/each Upstream process to be financed	World Heritage Centre, World Heritage Committee, Advisory Bodies
	for the establishment of tentative lists on the commitment to nominate in priority on these lists sites recognized by the Advisory Bodies as corresponding to gaps on the List and to participate in the experimental « Upstream » process aiming at evaluating potential outstanding universal value prior to the preparation of a	- The Working Group recommends to the Committee, the Advisory Bodies and the World Heritage Centre to further enhance capacity building for preparations of Tentative Lists and nominations (see also Document WHC-12/36.COM/9B);	36 COM	No additional cost / can be done by review panel of International Assistance based on gap analysis of Advisory Bodies	
	nomination file; - assist, if need be, States Parties lacking the capacity to maintain	- The Working Group recommends to the Advisory Bodies to update the existing gap analysis;	38 COM	Extrabudgetary funding	
	the values, integrity and authenticity of properties inscribed	- The Working Group recommends to the Committee to implement Decision 34 COM 12 taking into	36 COM	International Assistance/	

Progress report on the implementation of the recommendations of the Evaluation of the Global Strategy and the PACT initiative

	on their tentative lists and where the potential for nomination on the World Heritage List has been recognized by the Advisory Bodies.	account that the Working Group gives first priority to conservation assistance (see recommendation No.16). However exceptions can be made for States Parties without sites;		bilateral cooperation	
9. <b>Hi.P</b>	Continue the diversification of the geographical origin of experts working with the Advisory Bodies; give consideration to travel costs of « panel » members in the contract between the Advisory Bodies for the implementation of the Convention.	- The Working Group recommends to the Advisory Bodies to continue progress in this regard;	Budget Working Group 36 COM	Travel costs to be included into Advisory Bodies contracts financed by World Heritage Fund	Advisory Bodies, World Heritage Committee
10. <b>Hi.P</b>	Ensure, as requested by the Committee at its 34th session (Decision 34.COM/5C), indication of the share of tasks between the World Heritage Centre and the Advisory Bodies to improve the	- The Working Group recommends to the World Heritage Centre and Advisory Bodies to continue reducing overlap and increase transparency and dialogue between Advisory Bodies, World Heritage Centre and States Parties;	36 COM	no additional costs (2 coordination meetings per year financed from World	World Heritage Centre, Advisory Bodies, World Heritage Committee and States Parties
	effectiveness and economy of the mechanism.	- The Working Group recommends to the World Heritage Centre and Advisory Bodies to increase the use of electronic means to reduce travel costs;	Immediately	Heritage Fund (travel costs))	
		- The Working Group recommends to the Committee to avoid joint missions unless they are absolutely necessary;	36 COM		
		- The Working Group recommends to the World Heritage Centre and Advisory Bodies to continue the work to find complementarities and efficiencies and to report back to the Committee;	37 COM		
11. <b>Hi.P</b>	Ensure respect of the provisions contained in Article 9, paragraph 3 of the Convention whereby experts have a central role in delegations	- The Working Group asks the Committee to strictly implement Article 9.3 of the <i>Convention</i> and Rule 5.2 of the <i>Rules of Procedures</i> ;	36 COM	Ticket and per diem of experts from Least Developed	World Heritage Committee and States Parties

	to the Committee, or otherwise take note of the current evolution and revise the Convention to clearly recognize it is more geopolitical rather than the scientific nature.	<ul> <li>The Working Group encourages States Parties to give a central place in their delegations to scientific and heritage expertise and expertise of the Convention;</li> <li>The Working Group recalls that Committee Members should have both cultural and natural experts in their delegations;</li> </ul>	36 COM 36 COM	Countries covered from World Heritage Fund (USD 40,000/year) Also tickets by other bodies, (e.g. NWHF USD 25,000/ year)	
12. Hi.P	Revise, for a better application of the Convention, the Rules of Procedure of the Committee to: - forbid a State Party to present a nomination during its mandate (or at least to suspend the examination of a file by the Committee as long as the State Party is present) and take part in the decision on the follow-up of state of conservation reports concerning a property located on its territory; - proscribe <sup>2</sup> the practice of the presentation of signed amendments before the opening of the debate on the nomination of the site; - effectively ensure the transparency of the process	<ul> <li>The Working Group recommends to the Committee to address any potential conflicts of interest of its members (see also Recommendation No. 20);</li> <li>The Working Group recommends to the Committee to refine Decision 35 COM 12B, confirming a transitional period on a voluntary basis for the States Parties presently members of the Committee;</li> <li>The Working Group invites the Committee, after this period, to postpone the examination of nominations presented by members of the Committee during their mandate, with the exception of referred back and transboundary nominations;</li> <li>The Working Group recommends to the Committee to consider the possibility of establishing a mechanism of allowing outgoing members of the</li> </ul>	Review Rules of Procedures (37 COM) Transition period for existing Committee members until 20 GA 38 COM	No additional cost	World Heritage Committee, World Heritage Centre
	through the publicity of debates; - prohibit nominations that do not fulfil the conditions set out in the Guidelines.	Committee to catch up on the nominations-backlog; - The Working Group recommends to the Committee to make the necessary changes in relevant	37 COM		

<sup>&</sup>lt;sup>2</sup> The Working Group noted that there is a translation issue in the English version of working document WHC-11/18.GA/8 Recommendation 12, which should read **Prohibit** the practice of the presentation of signed amendments before the opening of the debate on the nomination of the site.

		documents, including <i>Rules of Procedures</i> , with regard to the 3 last points in Recommendation 12;			
13. Hi.P	Delete from the List properties that have irremediably lost their outstanding universal value, in conformity with the provisions of paragraphs 192 to 198 of the Guidelines.	- The Working Group recommends to the Committee to revisit Decision 33 COM 9 with a view to establish clear standards for delisting (see also link to Recommendation No. 20);	Operational Guidelines Working Group (37 COM) to review whether provisions need to be revisited;	Reactive Monitoring mission costs to be covered by World Heritage Fund;	World Heritage Committee

3. M	3. MEDIUM-HIGH PRIORITY (M.H.)					
No.	Recommendation (Based on Working Document WHC-11/18.GA/8)	Recommendations Open-ended Working Group	Time line	Financial Implications	Allocation of Responsibility	
6. <b>M.H</b>	Take into account the evolution noted since 2007 and re-examine the appropriateness of amendments to the Suzhou Decision.	- The Working Group invites the Committee to review Decision 35 COM 8B.61 and to make an informed and evidence-based decision with regard to returning to the original Suzhou-decision (1 cultural and 1 natural nomination per State Party) in conformity with the Global Strategy;	38 COM	No additional cost (Committee decision required)	World Heritage Centre, Advisory Bodies and World Heritage Committee	
7b. <b>M.H</b>	envisage new tools for recognition and preservation at the regional level or by themes under the auspices of UNESCO or in concert with regional organizations.	<ul> <li>The Working Group underlines the importance of the implementation of Decision 34 COM 12;</li> <li>The Working Group recommends to the States Parties, the Advisory Bodies and the World Heritage Centre to take into account alternative tools (Biosphere Reserves, Geoparks) in the upstream processes;</li> </ul>	Immediately	Extrabudgetary funding for upstream processes including workshops; Cooperation with other	World Heritage Committee, States Parties, Advisory Bodies, World Heritage Centre	
		- The Working Group recommends to the World Heritage Centre and Advisory Bodies to broaden the	Immediately (depends on	organizations: funding for		

		approach to thematic studies to cover additional regions and categories of heritage (link to No.8 on harmonization tentative list);	extrabudgeta ry funds)	travel required	
		- The Working Group recommends to States Parties the use of other tools, including Heritage labels (e.g. Mercosur Heritage label), Biosphere Reserves, Geoparks, and use of national schemes;	Immediately	No additional cost	
		- The Working Group encourages education programmes to promote the recognition of other heritage labels at national or regional levels;	Immediately	No additional cost	
23. M.P	Establish a convention between UNESCO and the other United Nations institutions to organise cooperation on World Heritage properties.	- The Working Group notes that agreements exist with UNEP as well as other Conventions (RAMSAR, Convention on migratory species - CMS, Convention on Biological Diversity - CBD) and invites the World Heritage Centre to continue to cooperate within the UN-system (see document WHC-12/36.COM/ INF.5A.1);	Immediately	No additional cost: Memorandum of Understanding exist with several partners in UN system	World Heritage Centre

4. LOW PRIORITY (L.P.)						
No.	Recommendation (Based on Working Document WHC-11/18.GA/8)	Recommendations Open-ended Working Group	Time line	Financial Implications	Allocation of Responsibility	
1. <b>L.P</b>	Indicate in the Operational Guidelines for the Implementation of the World Heritage Convention objectives for the Global Strategy and determine their compatibility with the objectives of the 1972 World Heritage Convention.	- The Working Group invites the Operational Guidelines Working Group to ensure that provisions on and interpretations of Global Strategy are in line with the Convention;	Operational Guidelines Working group 36 COM	No additional cost	World Heritage Centre and World Heritage Committee	
5. <b>L.P</b>	Reflect upon appropriate means to preserve the sites that are outside	- The Working Group notes that studies are under way (e.g. Marine Heritage in high seas outside	36 COM 19 GA	Desk study USD 50000 (funding	World Heritage Centre and Advisory Bodies	
	of the sovereignty of States Parties	territorial waters, 2012) and asks the World Heritage		already received		

Progress report on the implementation of the recommendations of the Evaluation of the Global Strategy and the PACT initiative

	responding to the conditions of outstanding universal value.	Centre to pay particular attention to a close collaboration with other international and regional instruments;		for marine heritage)	
22. L.P	In the spirit of the initiative « United in action <sup>3</sup> », establish an annual report presenting in an exhaustive manner, extra- budgetary funding of United Nations institutions that benefit World Heritage properties and create a database on funding of all kinds (public or private) benefitting	<ul> <li>The Working Group recommends to the World Heritage Centre the creation of a database for extrabudgetary funding benefitting World Heritage sites, to provide a full picture of extrabudgetary funding prior to the allocation of funding from the World Heritage Fund, with the aim to better use the limited resources;</li> <li>The Working Group recommends to the World</li> </ul>	Long term(dependi ng on extrabudgeta ry funding) 3rd periodic	USD 20,000 Database creation (extrabudgetary funding)	World Heritage Centre, States Parties
	World Heritage properties.	Heritage Centre to collect data from States Parties on allocated and received funds for site conservation in order to complete the proposed database;	reporting cycle		
		- The Working Group notes that the World Heritage Centre has reintegrated the column on extrabudgetary resources received for conservation of sites in the budget document to ensure a more complete overview of the resources available and insists on the usefulness of this column;	36 COM		
		- The Working Group encourages the World Heritage Centre/UNESCO to ensure within the UN-country programme the mobilization of funds for heritage conservation;	Immediately		
24. <b>L.P</b>	Strengthen cooperation between the mechanism of the World Heritage Convention and the United Nations mechanisms on sustainable development and the other United Nations conventions	- The Working Group notes the collaboration with other conventions in the field of Culture and Environment (see also recommendation 23 and Document WHC-12/36.COM/INF.5A.1);	Immediately	No additional cost	World Heritage Centre

<sup>&</sup>lt;sup>3</sup>Translation error in English: This should read *Delivering as One.* 

	in the field of culture and the environment.				
14. <b>L.P.</b>	Limit the period for new nominations by subordinating their reconsideration for a new examination after consultation with the Advisory Bodies. <sup>4</sup>	<ul> <li>The Working Group interpreted this recommendation that no legal revision of the 1972 Convention was required, that the obligations to the Convention should be taken seriously by States Parties and that a regular review of newly inscribed sites be carried out, including through the periodic Reporting;</li> <li>The Working Group recalled that conservation should also start with the tentative listing process and review;</li> <li>The Working Group notes that the <i>Convention</i> does not foresee provisions to inscribe sites for a limited period of time, but has provisions for Danger listing and delisting;</li> <li>The Working Group recommends to the Committee to make full use of the provisions of referral and deferral (see also Recommendation 7a);</li> </ul>	Long term	No additional cost	World Heritage Committee

<sup>&</sup>lt;sup>4</sup> The Working Group noted a problem in the English translation of this Recommendation.

Progress report on the implementation of the recommendations

# **III. DRAFT DECISION**

## Draft Decision: 36 COM 9A

The World Heritage Committee,

- 1. <u>Having examined</u> Document WHC-12/36.COM/9A,
- 2. <u>Recalling</u> Decision **35 COM 9A** adopted at its 35th session (UNESCO, 2011) and Resolution **18 GA 8** of the 18th session of the General Assembly (UNESCO, 2011),
- 3. <u>Notes</u> that the World Heritage Centre in close collaboration with the Advisory Bodies, produced a working document on the recommendations of the independent evaluation by UNESCO's external auditor with a prioritized list of recommendations in the framework of the objectives of the Strategic Action Plan, adopted in Resolution **18 GA 11**, and considering Decisions **35 COM 12A** to **35 COM 12E**, which also indicated financial implications, and the allocation of responsibility between States Parties, the General Assembly, the World Heritage Committee, the Advisory Bodies and the World Heritage Centre;
- 4. <u>Also notes</u> that the World Heritage Centre, provided the document to all States Parties through Circular Letters dated 1 February 2012 and 24 April 2012;
- 5. <u>Acknowledges with appreciation</u> the financial support of the States Parties of Belgium, Switzerland and the Netherlands towards the travel support for experts and meeting other costs of the open-ended working group held at UNESCO 15-16 May 2012;
- 6. <u>Takes note</u> of the Implementation Plan concerning the Global Strategy prepared by the first meeting of the open-ended working group and that a second meeting on the PACT Initiative is scheduled to take place prior to the 37th session of the World Heritage Committee;
- 7. <u>Welcomes</u> that implementation has commenced on a number of recommendations and <u>reiterates its request</u> to all States Parties to fully comply with the provisions of the World Heritage Convention;
- 8. <u>Decides</u> to implement the recommendations within its mandate;
- 9. <u>Further notes</u> that a number of recommendations concern revisions to the Operational Guidelines and to the Rules of Procedures of the World Heritage Committee;
- 10. <u>Also decides</u> to include an item on Revisions to the Rules of Procedures on the agenda of its 37th session.