## **World Heritage**

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WHC-2000/CONF.204/8 Paris, 3 November 2000 Original: English/French

## UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION

## CONVENTION CONCERNING THE PROTECTION OF THE WORLD CULTURAL AND NATURAL HERITAGE

#### WORLD HERITAGE COMMITTEE

Twenty-fourth session Cairns, Australia 27 November-2 December 2000

Item 7.2. of the Provisional Agenda: Periodic Reporting: Progress report on regional strategies for periodic reporting.

#### **SUMMARY**

This document provides information on the regional strategies for periodic reporting for the following regions: Africa, Asia and the Pacific, Latin America and the Caribbean, including budget estimates for their implementation. For Europe and North America some initial initiatives are listed; a regional strategy proposal will be submitted to the Committee at its twenty-fifth session.

The first completed regional report, for the Arab States, will be presented at the twenty-fourth session of the World Heritage Committee and is included in working document WHC-2000/CONF.204/7.

## **Decision required:**

Paragraph 5: The Committee is requested to approve the regional strategies presented in this document and to consider the budgetary implications under item 13 of the Provisional Agenda (Chapter IV of the budget).

#### **BACKGROUND**

1. The World Heritage Committee at its twenty-second session (Kyoto, 1998) adopted a regional approach for the examination of the periodic reports on the application of the World Heritage Convention (Section I) and the state of conservation of World Heritage properties (Section II), that will have to be submitted by the States Parties as per Article 29 of the World Heritage Convention.

To this effect it adopted the following schedule:

Region		Year of examination by the Committee
Arab States	1992	2000
Africa	1993	2001
Asia and the Pacific	1994	2002
Latin America and the	1995	2003
Caribbean		
Europe and North America	1996/1997	2004/2005

At the same session, the Committee requested the Secretariat to develop regional strategies for the periodic reporting process and to present them with budgetary proposals for their implementation to the Committee for consideration and adoption.

#### PRINCIPLES FOR THE PERIODIC REPORTING STRATEGIES

- 2. In the spirit of the decisions taken by the World Heritage Committee at its twenty-second session, the following principles guide the design and implementation of the regional periodic reporting strategies:
- The States Parties themselves are responsible for the preparation of national Periodic Reports.
- States Parties may request expert advice from the Secretariat or the advisory bodies. The Secretariat may also commission expert advice with the agreement of the States Parties.
- Periodic reporting will provide the framework for the exchange of experiences among States Parties.
- Periodic reporting is a participatory process in which all World Heritage partners are involved.
- The Secretariat will facilitate the implementation of the periodic reporting requirement by the States Parties and will synthesise the national reports by region. In doing so, full use will be made of the available expertise of the advisory bodies, States Parties, competent institutions and expertise available within the region.

## REGIONAL PERIODIC REPORTING STRATEGIES

3. An overall approach to periodic reporting for the Arab States and Africa was presented to the World Heritage Committee at its twenty-third session (working document WHC-99/CONF.209/12).

The first completed regional report, for the Arab States, will be presented at the twenty-fourth session of the World Heritage Committee and is included in working document WHC-2000/CONF.204/7.

- 4. The present document includes in its annexes the following:
- Progress report on the implementation of the periodic reporting strategy for Africa (Annex I).
- Regional strategies for Asia and the Pacific and Latin American and the Caribbean (Annex II and Annex III).
- Some initial notes on periodic reporting for Europe and North America (Annex IV). A regional strategy proposal will be submitted to the Committee at its twenty-fifth session.

## **DECISION REQUIRED**

5. The Committee is requested to approve the regional strategies presented in Annexes I, II, III and IV of this document and to consider the budgetary implications under item 13 of the Provisional Agenda (WHC-2000/CONF.204/15, Chapter IV of the budget) as follows:

Support to States Parties for Periodic Reporting	Proposed budget 2001	Proposed provisional Budget 2002
<b>Arab States (follow-up actions to</b>	US\$ 20,000	US\$ 20,000
Regional State of the World		
Heritage Report)		
Africa	US\$ 130,000	US\$ 20,000
Asia and the Pacific	US\$ 80,000	US\$ 130,000
Latin America and the	US\$ 40,000	US\$ 80,000
Caribbean		
Western Europe and North	US\$ 10,000	US\$ 20,000
America		
Central and Eastern Europe	US\$ 20,000	US\$ 30,000
Sub-total Periodic Reporting	US\$ 300,000	US\$ 300,000

## PERIODIC REPORTING EXERCISE FOR AFRICAN STATES PARTIES AND WORLD HERITAGE SITES

Phase 1 and 2 of the Periodic Reporting exercise were finalised and activities foreseen in Phase 3 (Ref. WHC-99/CONF.209/12) of the Periodic Reporting process for Africa progressed during the year 2000 as planned. These included:

### A. Establishing reporting questionnaires, a web site and electronic mail.

- 1. Between January and February 2000, 2 questionnaires established by the Centre: a) National overview of implementation of the Convention and b) the State of Conservation of the World Heritage sites inscribed on the World Heritage List before 1993, were sent to the following 9 French-speaking African States Parties: Benin, Cameroon, Central African Republic, Cõte d'Ivoire, Democratic Republic of the Congo, Guinea, Mali, Niger and Senegal (total of 17 World Heritage Sites).
- 2. The questionnaires were also sent to 8 other African countries: Ethiopia, Ghana, Madagascar, Malawi, Mozambique, Tanzania, Zambia, and Zimbabwe (total of 21 World Heritage sites).

The only States Parties yet to submit reports are the Central African Republic, Ethiopia, Malawi and Zambia.

- 3. A website (http://www.unesco.org/whc/reporting/africa) which enables access to the questionnaire and to various documents, was established.
- 4. An electronic address, which provides the possibility for those sites that wish to send the questionnaire by e-mail, was also established.
- 5. From April-June 2000 questionnaires were analysed and a process for creating a database was launched.

#### **B.** Organisation of Periodic Reporting Workshops

A Periodic Reporting Workshop for the French-speaking African countries was held in Dakar, Senegal from 5-8 July. Site managers of 4 cultural and 5 natural sites attended this workshop from 6 countries out of the expected 9. A regional Periodic Reporting workshop for English-speaking African countries will be held during the first half of 2001.

At the Dakar Workshop, various sections of the questionnaire were examined by the participants, and the following observations emerged from the workshop discussions:

- The African site managers themselves completed the questionnaire sent by the Centre and were satisfied with it. The establishment by the Centre of a single questionnaire for both natural and cultural site reporting was appreciated. The participants however remarked on the importance of identifying certain questions that are specific to either cultural or natural heritage sites.
- In discussing questions relating to the declaration of value, authenticity and integrity of World Heritage properties, it was observed that most of the African World Heritage sites managers do not have in their archives copies of the file nominating their respective sites in the World Heritage List. All the participants wish to receive a copy.
- The site managers are very interested in learning about and using the Geographic Information System (GIS). It was evident that very few of the World Heritage sites have access to this technology. However, its use would tremendously enhance the monitoring and the management of sites in Africa. A programme that would equip the African World Heritage sites with adequate materials is recommended. It was remarked that promotional information materials for site operations do not reach the managers, as often the materials are retained at the Department level and are not sent to the sites.
- The participants emphasized the importance of the promotion of sites as a major tool for the economic development of the geographical area of sites, and an essential element for the conservation of heritage site qualities.
- In discussing the question of standardising methods for managing sites, it was noted that the majority of sites in French-speaking African countries do not have management plans and existing management plans are often not operational. The reasons given by the site managers were: lack of human and financial resources to prepare the plan and financial resources to implement plans once prepared. In most cases, the management of sites is carried out on a day-to-day basis as and when problems arise.
- On independently managed sites: The majority of sites in French speaking African countries do not have self-sustaining programmes, all depend on the resources provided by the central Administration, which is obviously inadequate.
- Model of a management plan: A general request was made by all participants for a "model management plan" for African World Heritage sites that can be adapted by the countries. The guidelines provided by "ICCROM's Management Guide" are useful but are not detailed enough in various actions to enable the preparation of a site management plan. A more detailed model which would take into account the African specificities is therefore required.

- National World Heritage Strategy: A major request by the participants at the Workshop was for all African States Parties to establish National Strategies for the implementation of the World Heritage Convention, based if necessary on pilot examples available in the region.
- Most of the site managers complained about the lack of regular training programs on monitoring and site management as well as about the lack of regular up-dates on developments and new orientations concerning sites. The participants therefore, expressed the wish for regular organization of training seminars and workshops, to facilitate continued education to enable the site managers to apply more efficiently the decisions of the World Heritage Committee concerning the conservation of World Heritage sites.
- **C. Phase 4:** Receipt of the monitoring questionnaire forms and their analysis will be completed once the meeting for English speaking countries is concluded.
- **D. Phase 5:** The analysis and synthesis of the periodic monitoring exercise.

This activity will continue until 15 October 2001.

- **E.** Phase 6: Cases of problematic site studies will be undertaken during 2001, and will include special assistance missions to site managers requiring help, and assistance to others to identify and define any problems encountered.
- F. **Phase 7:** Diffusion of the periodic monitoring exercise will be followed by the presentation to the World Heritage Committee in 2001 of the Report for Africa.

Year	Activity	Budge	et
2001	A. Funds for the support of African Natural		
	Heritage Site Managers to attend Periodic		
	Reporting meeting in Africa (Nakuru, Kenya,		
	March 2001)		
	Personnel	US\$	6,884
	Sub-contract	US\$	7,031
	Meeting	US\$	46,500
	Miscellaneous	US\$	1,500
	TOTAL A	US\$	61,915
	B. National Meeting in the Seychelles		
	Personnel	US\$	5,623
	Sub-contract	US\$	5,000
	Meeting	US\$	3,475
	TOTAL B	US\$	14,098

Year	Activity	Budge	t
2001	C. Monitoring Consultant		
	Personnel	US\$	9,600
	TOTAL C	US\$	9,600
	D. Missions to the States Parties		
	Personnel	US\$	36,387
	(Fees and expenses for the consultant who will visit		
	8 countries: RDC; Ethiopia; Guinea; Senegal;		
	Tanzania; Malawi; Zambia; Zimbabwe)		
	Miscellaneous	US\$	8,000
	(Preparation, production and publication of the Final		
	report of the periodic reporting in Africa &		
	CD/ROM)		
	TOTAL D	US\$	44,387
	TOTAL A+B+C+D	US\$	130,000

## PERIODIC REPORTING EXERCISE FOR ASIA-PACIFIC STATES PARTIES AND WORLD HERITAGE SITES

#### 1. INTRODUCTION

The Asia-Pacific Region, with 26 Asian and 6 Pacific States Parties and home to 124 World Heritage sites, is geographically vast and continuing to develop at a rapid pace. There are 42 natural or mixed World Heritage sites distributed over 13 countries in Asia and the Pacific. Of these 42 natural or mixed, 33 from 11 countries were inscribed on the World Heritage List in or before 1994 and will be included in the periodic reporting exercise. Three of the 11 countries, i.e. Australia, China and India, account for 21 of the 33 sites inscribed on the World Heritage List up until 1994. As for cultural heritage sites, out of 84 cultural World Heritage sites in the Asia-Pacific Region, all concentrated in the Asian Region, 55 were inscribed before or in 1994 located within 14 States Parties. In China, India, Pakistan and Sri Lanka are located 36 of 55 of these cultural sites. **Table A** below indicates the States Parties and the regional distribution of natural, mixed and cultural sites.

#### 2. APPROACH

Taking into account the rich and diverse heritage located in the world's most populated region, the periodic reporting for the Asia-Pacific Region will be approached through a two-tiered method:

- (i) Compilation of general information on the implementation of the Convention **from a regional perspective;**
- (ii) Compilation of site-specific information within the Asia Pacific Region depending on the **types of heritage**, i.e. "natural & mixed" and "cultural".

The periodic reporting activity in Asia and the Pacific will be implemented in a **participatory** manner. For natural and mixed World Heritage, the World Heritage Centre will seek the cooperation of: (a) States Parties; (b) structures such as the Asia-Pacific Focal Point (APFP) set up by Australia; (c) UNESCO Offices in Indonesia, India and Samoa; (d) IUCN, including its Offices and network members in the Region; (e) other conservation IGOs, such as SPREP, NGOs, such as WWF, Conservation International (CI) and The Nature Conservancy (TNC) active in selected countries of the Region; (f) academic and training institutions like the University of Queensland, Australia; (g) Wildlife Institute of India and others as appropriate. For cultural World Heritage, the Centre will seek the co-operation of the (a) States Parties; (b) institutions such as the Nara World Heritage Office – ACCU set up by Japan; (c) ICOMOS and ICCROM; (d) other conservation organizations, institutions, as appropriate.

For cultural World Heritage sites in particular, in order to facilitate **sub-regional** co-operation and efficient compilation of the necessary information, the Centre will organize a number of sub-regional meetings for Central Asia, North-East Asia, South Asia, and South-East Asia. The regional periodic reporting exercise for cultural World Heritage in the Asia-Pacific Region will follow a **continuous integrated approach**, initiated by the World Heritage Centre since 1996, to support States Parties in national capacity building. Through international assistance activities in all categories (preparatory assistance, training, technical co-operation and emergency), or Global Strategy or Regional Thematic Meetings, whether financed from the World Heritage Fund or from other extrabudgetary sources, the Centre has aimed to gather the following information to enable the Asia-Pacific States Parties to enhance their national capacity for conservation of World Heritage properties:

- 1. existing national legislation and regulations;
- 2. structure of the management authority;
- 3. defined World Heritage value of each site (since many of the nomination files of earlier years do not have the statement of significance);
- 4. identification and diagnosis of deformations to these values, if any;
- 5. analysis of strengths and weaknesses in the existing legal and management framework;
- 6. identification of remedial measures;
- 7. national, regional, and local expertise and institutional resources.

TABLE A					
Sub-regional States Parties in the Asia-Pacific Region for Culture	Number of States Parties	Number of natural or mixed properties inscribed up to end of 1994	Number of cultural properties inscribed up to end of 1994		
Central Asia	8				
Afghanistan		0	0		
Iran		0	3		
Kazakstan		0	0		
Kyrgistan		0	0		
Mongolia		0	0		
Tajikistan		0	0		
Turkmenistan		0	0		
Uzbekistan		0	2		
North-East Asia	4				
DPR of Korea		0	0		
Japan		2 (2N)	3		
PR of China		5 (3N +2M)	9		
Rep. of Korea		0	0		
Pacific	6				
Australia		11(7N + 4M)	0		
Fiji		0	0		
Kiribati		0	0		
New Zealand		2 (1N +1M)	0		
Papua New Guinea		0	0		
Solomon Islands		0	0		
South-East Asia	8				
Cambodia		0	1		
Indonesia		2 (2N)	2		
Laos		0	0		
Malaysia		0	0		
Myanmar		0	0		
Philipppines		1 (1N)	1		
Thailand		1 (1N)	3		
Vietnam		1 (1N)	1		
South Asia	6	_			
Bangladesh		0	2		
> India		5 (5N)	16		
> Maldives		0	0		
Nepal		2 (2N)	1		
> Pakistan		0	5		
> Sri Lanka		1 (1N)	6		
5 Sub-Regions	26	33	55		

Through this approach, considerable information has been gathered over the years and these have been compiled into draft "country" and "site" sheets, up-grading the baseline information made available through the original nomination file. Although far from being complete, these "country" and "site" sheets will serve as a basis for a more structured and

comprehensive report to be prepared for the national periodic reports, which will subsequently be analysed and synthesized into the Asia-Pacific Regional periodic report for examination by the Committee at its twenty-sixth session in 2002.

#### 3. PLAN OF ACTION AND BUDGET FOR 2000-2002

The following Action Plan is proposed:

## PHASE I: INTRODUCTION

**Objective I:** Inform the States Parties of the periodic reporting procedure and implement Article 29 of the 1972 Convention and paragraph 69 of the *Operational Guidelines*.

**Action I.1:** An Information Meeting, organized by the World Heritage Centre on 14 November 2000, inviting all Permanent Delegations of Asia-Pacific States Parties to UNESCO will be held to present the periodic reporting process and the Regional Reporting exercise for the Asia-Pacific Region scheduled for 2002.

**Action I.2:** Dispatch a circular letter to concerned Ministries and to the site-managers by the World Heritage Centre by the end of December 2000 informing the authorities concerned of the Asia-Pacific Regional periodic reporting exercise. To facilitate the work of the authorities concerned, all nomination files for World Heritage sites inscribed up to 1994 will be attached to the circular letter. For cultural heritage, "summary country and site sheets" will also be provided in digital form.

**Outputs:** Initiation of the periodic reporting exercise for the Asia-Pacific Region. Gathering of suggestions and comments on the proposed Action Plan for 2000-2002 from concerned States Parties at the Information Meeting at UNESCO. Transmission of basic information to authorities concerned to assist the authorities concerned.

**Costs:** US\$ 1,800 from the 2000 periodic monitoring budget for the dispatch of information.

### PHASE II:

Desk studies to harmonize and collate the corpus of data related to the implementation of the Convention in each State Party and to update the existing "country" and "site" data sheets.

## **Objective II:**

- (i) Collation of all published and existing data and information relevant to the preparation of **Sections**I and II of the periodic report;
- (ii) Analyse and harmonize information and identify missing information;
- (iii) Request States Parties and other institutions for further information, as appropriate.

#### **NATURAL & MIXED CULTURAL** Action II.1: Existing information, such as that Action II.1a: The World Heritage Centre and contained in data sheets of the World necessary consultancy services will collate, analyze Conservation Monitoring Centre (WCMC) in information, harmonize existing and the Cambridge, UK, will be reviewed and all information with existing databases. available and relevant data and information for preparing Sections I and II of the periodic **Duration:** December 2000 – April 2001 monitoring report will be collated. Communications and contacts with States Parties, **Costs:** US\$ 6,000 (part time consultancy) from the

#### **NATURAL & MIXED**

Advisory Bodies and other partners will be regular. Based on the research and desk-reviews undertaken, gaps that need to be filled in by new data and information collection will be identified.

**Outputs:** Compilation of all information and data already available and identification of missing information needs and gaps for the preparation of the periodic monitoring report.

**Duration:** January - April 2001

Costs: US\$ 5,000 from the periodic

reporting budget for 2001

#### PHASE III:

Data collection for filling in critical information gaps essential for the preparation of the periodic monitoring report and promoting exchange of experience and knowledge on monitoring tool-kits and practices.

**Objective III.1:** Collect all data and information required to bridge data and information gaps and meet needs identified in Phase II above.

Action III.1: On the basis of work completed in Phase II, requests for specific information will be sent to States Parties and to other partner organisations. Questionnaires may be used to gather the necessary information. But other opportunistic methods, such as information collection during Centre and IUCN missions, "other international and regional events" and through the services provided by UNESCO or IUCN Offices in the Region will also be explored to obtain cost and time savings.

**Outputs III.1:** A series of draft chapters for part I and part II of the periodic monitoring report to serve as working documents at a workshop of States Parties, including sites, and other partners such as advisory bodies, conservation NGOs, foundations and funds.

**Duration III.1:** May – December 2001

Costs III.1: US\$ 2,500; in-kind support from selected States Parties and

#### **CULTURAL**

periodic reporting budget for 2001.

Action II.1b: In order to obtain a unity in the presentation of the cultural World Heritage reports, and to collect the missing information identified in Action II.1a at both "country" and "site" levels through the participation of the authorities concerned, regional, sub-regional, or national Workshops will be organized. This will also be an opportunity to identify the strengths, weakness, and conservation and management needs at all levels. Simultaneously, it will encourage the direct involvement and participation of the local and national authorities in the periodic reporting exercise, generating greater awareness of the World Heritage conservation process.

**Sub-action** (a): A Regional Workshop to be organized during the first half of 2001 with the participation of the Asian States Parties' focal points, the Advisory Bodies, and experts (both regional and international). The Government of the Republic of Korea has offered to host, co-finance and co-organize this Workshop together with the World Heritage Centre. The participants will be requested to present a draft periodic report of the implementation of the Convention as well as the state of conservation reports of all concerned sites in her/his State Party.

**Duration for (a):** January – June 2001

Cost for (a): US\$ 10,000 for travel expenses and organizational costs of the Secretariat from the 2001 periodic reporting budget. US\$ 20,000 for the travel for participants under 2001 "Training" budget upon request by host Government.

**Sub-action** (b): Subregional or National Workshops will be organized between October 2000 and March 2002 in conjunction with other World Heritage Meetings to economize financial and human resources, for disseminating information on the periodic reporting process and to gather information from States Parties and site-managers, as follows:

♦ The National Workshop on Periodic Reporting for Indian World Cultural Heritage will be held in Hampi World Heritage site, organized by the World Heritage Centre, UNESCO New Delhi Office and the Archaeological Survey of India, between 22-24 October 2000.

#### **NATURAL & MIXED**

partners.

Objective III.2: Co-operate with relevant partners to exchange ideas and information on methodologies, techniques, toolkits and protocols for monitoring the state of conservation of World Heritage sites and their possible incorporation into site-based monitoring plans and schedules that are integral to management.

Action III.2: Close links between periodic monitoring process for Asia-Pacific and the Centre/IUCN Global Project entitled "Enhancing our Heritage: Monitoring and Managing Success in World Natural Heritage sites" financed by the UN Foundation will be maintained. Implementation of the 4-year, US\$ 2 million project will begin in 2001 and the project aims to improve the management of a selected number of World Heritage sites throughout the world for developing better monitoring and reporting systems. The project is likely to include some sites, particularly in South Asia, and may provide opportunities for personnel from Asia-Pacific States Parties involved in the periodic monitoring process for learning about monitoring methodologies and techniques. In particular the experience and information from project sites to identify World Heritage values and link them through selected parameters and indicators to assessment, monitoring and reporting regimes that are integral to site-management will be brought to the attention of all involved in the periodic monitoring exercise.

Outputs III.2: One or more draft papers on monitoring methodologies, tool-kits and protocols and experience in their applications in World Natural/Mixed Heritage sites and other protected areas. These papers could also serve as working documents in the States Parties and partners workshop to be organised as part of the periodic monitoring exercise. The papers would also contain information that may be considered for inclusion on chapters dealing with the future of site-based monitoring plans and activities in the periodic monitoring report.

**Duration III.2:** The UNF financed project to be implemented by the Centre and IUCN will continue for a period of 4 years. However,

#### **CULTURAL**

- ♦ A National Workshop on Periodic Reporting for Chinese World Cultural Heritage for central government officials will take place in Beijing, China on 23 October 2000.
- ♦ A Subregional Workshop on Periodic Reporting for South-East Asian States Parties will be organized by the World Heritage Centre, UNESCO Jakarta and Bangkok Offices, and the Government of Indonesia, at Toraja, Indonesia, in February 2001, immediately following the Global Strategy Meeting for South-East Asian Cultural Heritage.
- ♦ A Subregional Workshop on Periodic Reporting for South Asian States Parties will be organized by the World Heritage Centre, UNESCO New Delhi Office, and the Archaeological Survey of India in mid-2001 at Taj Mahal, inviting participants responsible for the implementation of the World Heritage Convention and for protecting Cultural World Heritage sites in Bangladesh, India, Maldives, Nepal, Pakistan, and Sri Lanka.
- ♦ A Subregional Workshop on Periodic Reporting for Central Asian States Parties will be organized by the World Heritage Centre and UNESCO Tashkent Office, in the latter half of 2001 in Kyrgystan, inviting participants for the implementation of the World Heritage Convention and for protecting Cultural World Heritage sites in the five Central Asian Republics, Afghanistan, Iran, and Mongolia.

**Duration (b):** October 2000 - December 2001

Costs (b): For 2000: US\$ 25,000 from periodic reporting budget, US\$ 30,000 from "Training" budget, US\$ 5,000 from Global Strategy budget. For 2001, US\$ 40,000 from periodic reporting budget, US\$ 70,000 from "Training" budget upon request, US\$ 30,000 from "Technical Co-operation" budget upon request.

**Outcome:** Unified information gathered and compiled together with the authorities of each State Party concerned, to be submitted formally to the Centre. Also, increased participation of authorities and stakeholders in the World Heritage periodic reporting exercise.

#### **NATURAL & MIXED**

exchange of information and experience between the project and the periodic reporting exercise for Asia-Pacific will be concentrated during the period between April - December 2001.

Costs III.2: An amount of US\$ 10,000 – 15,000 is likely to be needed for paying participant travel and allowances for developing Asia-Pacific States Parties. A project workshop for Asia is foreseen during the latter part of 2001. Asian participants from those countries and sites that are part of the project could be supported from project funds. Interested participants from other Asia-Pacific States Parties will be financed either using monitoring, technical co-operation or training funds set aside for natural heritage from the World Heritage Fund as appropriate and upon formal requests submitted by States Parties.

**Objective III.3:** Provide a collective forum for reviewing data, information, analysis, interpretations and recommendations concerning World Natural and Mixed Heritage to be included as part of the periodic monitoring report.

**Actions III.3:** Organisation of a technical workshop in an Asia-Pacific State Party to bring together representatives from the 11 countries concerned with the periodic reporting exercise, including site personnel, to review and discuss drafts prepared for meeting objectives III.1 and III.2. The will workshop also recommendations concerning the structure, contents, presentation and other aspects of the periodic monitoring report and establish a 3person team to review the preparation of the report. It will also agree on a time schedule and a plan for preparing and finalising the report during the first 9 months of the year 2002.

**Outputs III.3:** Recommendations on the structure, contents and presentation of the periodic monitoring report. A work plan for preparation of the report, its regular review and finalisation as a working document by September 2002.

**Duration III.3:** 5 days in January 2002

#### **CULTURAL**

#### PHASE III:

Analyse and harmonise the information submitted by States Parties and additional material collected during the regional, subregional and national Workshops

Objectives: Following the regional, subregional or national Workshops, the national authorities will prepare a second draft of the forms and send them to the Secretariat for comment. The site managers will receive comments and advice on the forms that they would have filled in order to finalise them. This procedure will enable the training of site managers in the important aspects of conservation defined in the framework of the Convention, and, at the end of the exercise, to obtain substantial documents that can be used as tools in the conservation of sites.

Action: Register the data obtained and create a computerised database – Make an intra-site comparison (differences between the time of inscription and the present) and an inter-site comparison – Identify the strengths and weaknesses of management methods – Select the most appropriate key words that can be used to designate the state of conservation of the Asia-Pacific sites - Define the minimal regular monitoring methods for the Asia-Pacific cultural and natural sites – Identify the problem sites which require closer attention and for which monitoring assistance should be recommended – Define the sites' contribution to the country's development.

**Costs:** US\$ 4,000 from the 2001 periodic reporting budget, US\$ 50,000 from the 2002 periodic reporting budget to hire consultants who will harmonize all the information and recommendations gathered, and to support any States Parties which need funds to submit their final reports.

**Duration:** August 2001 – June 2002

NATURAL & MIXED	CULTURAL
Costs III.3: Estimated to be US\$ 30,000 and to be sought from the World Heritage Fund, selected Asia-Pacific States Parties and other co-operating partners.	

#### PHASE IV:

Report preparation, review, finalisation and submission for the examination by the Committee.

**Objective:** Implement the work plan agreed upon by participants at the January 2002 workshop for drafting, review and finalisation of report, to be completed no later than 15 September 2002.

**Actions:** Following the January 2002 workshop, a consultant, chosen from among the experts at the January 2002 workshop, will be given the responsibility to prepare a first draft that will then be reviewed by the 3-person team established by the workshop. The draft is expected go through several revisions before being finalised by September 2002. It is hoped that the workshop as well as the report preparation will be fully participatory involving States Party representatives, Advisory Bodies and the Centre and several partner organisations. The presentation of the periodic report at the Committee session in the year 2002 could also be led by one or more States Parties who have fully participated in the process.

Output: Completion of the periodic report on natural and mixed World Heritage to be presented together with the periodic report for cultural World Heritage, as a synthesis report for the Asia-Pacific region to the World Heritage Committee at its twenty-sixth session in December 2002. The report will contain recommendations for further monitoring of the implementation of the Convention, including monitoring of the state of conservation of natural and mixed properties, to be adopted by the Committee.

**Duration:** January - September 2002

**Costs:** A sum of US\$ 25,000 to cover consultant services to draft the report and its revision in regular communication with the review team and other partners. To be obtained

#### PHASE IV:

Creation of a synthesis for the Asian Region for cultural World Heritage sites for finalisation and submission for the examination by the Committee.

**Objective**: With the results of the analysis and harmonization of the information from States Parties, a synthesis report will be made on the implementation of the World Heritage Convention and of the state of conservation of the cultural sites, with conclusions and recommendations. In order to maintain the interactive relationship initiated during the regional workshops, the synthesis document will also be communicated for information and comments to the managers concerned.

**Actions:** In close co-operation with States Parties concerned, the following actions will be undertake:

- Synthesize information and make an evaluation of the sites
- Define the major shortcomings with regard to conservation and development
- Make recommendations in order to improve the negative points and develop the positive points
- Identify the necessary training activities and the possible exchanges of regional and sub-regional expertise, as well as activities that could be undertaken within a regional network.

**Output:** Completion of the periodic report on cultural World Heritage to be presented together with the periodic report for natural and mixed World Heritage, as a synthesis report for the Asia-Pacific region to the World Heritage Committee at its twenty-sixth session in December 2002. The report will contain recommendations to strengthen the implementation of the Convention to be adopted by the Committee.

**Duration:** June – September 2002

**Costs:** US\$ 25,000 from the 2002 periodic reporting budget to cover the costs of consultant services to finalise the synthesis report.

NATURAL & MIXED	CULTURAL
partly from World Heritage Fund periodic	
monitoring and technical co-operation budgets	
for the year 2002 as well as from co-operating	
States Parties and partner organisations.	

## Total of estimated funding foreseen for Periodic Reporting for the Asia-Pacific Region (in US\$):

Heritage type	Natural and Mixed			Cultural		
Year	2000	2001	2002	2000	2001	2002
World Heritage Fund	900	20,000	55,000	30,900	60,000	75,000
International Assistance	0	0	0	30,000	120,000	0
Other funding sources	0	2,500	0	0	0	0
SUBTOTAL (US\$)	900	22,500	55,000	60,900	180,000	75,000
Chapter IV -		20,000	55,000		60,000	75,000
Periodic Reporting Budget			·			·

For 2001, a total amount of **US\$ 80,000** is proposed for the Asia-Pacific Periodic Reporting exercise. For 2002, an estimated amount of **US\$ 130,000** is foreseen as necessary for completing the Regional Periodic Reporting exercise.

## PERIODIC REPORTING EXERCISE FOR LATIN AMERICAN AND THE CARIBBEAN STATES PARTIES AND WORLD HERITAGE SITES

## **Approach**

The Periodic Reports from the region of Latin America and the Caribbean will be examined at the session of the World Heritage Committee in the year 2003.

In order to initiate the regional periodic reporting process, staff of the World Heritage Centre attended a meeting of the Permanent Delegates of Latin America and the Caribbean in June 2000 to discuss the approach that should be taken in setting up a work plan for the periodic reporting in the region.

As a result of this consultation, it is proposed that periodic reporting activities will be organised for the following three sub-regions:

Sub-region	Number of States Parties	Number of properties inscribed up to the end of 1995			ribed up to
		Total	(Cult.	Mixed	Nat.)
South America	10	35	( 24	2	9 )
The Caribbean	12	4	( 4	0	0)
Central America And Mexico	7	23	( 17	1	5)
Total	29	62	( 45	3	14 )

## Work plan

The work plan will consist of five phases:

## Phase 1 Preparation of the periodic reporting exercise (2000–early 2001)

The World Heritage Centre is setting up an information system through the Internet that will supply States Parties with baseline information on the application of the Convention in the region, the World Heritage sites and the advances of the reporting exercise as well as facilitate communication within the sub-regions. Baseline information will also be made available in paper copy and/or CD-ROM.

States Parties are requested to identify focal-points for periodic reporting activities from natural and cultural heritage fields.

15

## Phase 2 Sub-regional information meetings (first half of 2001)

Three sub-regional information meetings will be held in the first half of 2001 with the participation of the States Parties focal points, the advisory bodies and experts from the region.

## Phase 3 Preparation of national reports (second half of 2001 – 2002)

Following the sub-regional meetings, States Parties will prepare their national reports. Assistance will be provided upon request of the State Party concerned.

## Phase 4 Sub-regional review meetings (second half of 2002)

Three sub-regional meetings will be held in the second half of 2002 to review the national reports from the sub-region and to draw preliminary conclusions and recommendations.

# Phase 5 Regional review meeting and preparation of Regional State of the World Heritage Report (early 2003)

In the beginning of 2003, a regional review meeting will be held at which the conclusions and recommendations will be formulated for presentation to the World Heritage Committee at its session in December 2003.

## **Budget**

The activities require the following funds:

Year	Activity	Budget
2001	Three sub-regional information meetings	US\$ 30,000
		(one meeting can be
		financed from the
		2000 allocation)
2001	Assistance to States Parties upon request	US\$ 10,000
2001	Total	US\$ 40,000

Year	Activity	Budget
2002	Three sub-regional review meetings	US\$ 45,000
2002	Assistance to States Parties upon request	US\$ 35,000
2002	Total	US\$ 80,000

Year	Activity	Budget
2003	Regional review meeting for preparation of Regional	US\$ 60,000
	State of the World Heritage Report	
2003	Assistance to States Parties upon request	US\$ 35,000
2003	Preparation and reproduction of Regional Report.	US\$ 15,000
2003	Total	US\$ 110,000

## PERIODIC REPORTING EXERCISE FOR EUROPEAN AND NORTH AMERICAN STATES PARTIES AND WORLD HERITAGE SITES

## **Approach**

The region of Europe and North America comprises a great number of States Parties and World Heritage properties that will be included in the Periodic Reporting for the region.

			Number of States Parties	Number of properties inscribed up to the end of 1996			
				Total	(Cult.	Mixed	Nat.)
Europe America	and	North	48	243	( 203	4	36 )

To date several initiatives have been developed by States Parties in preparation for the Periodic Reporting, for example:

### Croatia

In October 1999, the Ministry of Culture of Croatia submitted a periodic report including state of conservation reports on the four cultural properties on its territory (Old City of Dubrovnik, Historical Complex of Split with the Palace of Diocletian, Episcopal Complex of the Euphrasian Basilica in the Historic Centre of Porec, Historic City of Trogir).

#### Czech Republic

The Ministry of Culture of the Czech Republic issued in May 2000 a Methodology for the Preparation of Periodic Reports. This methodology includes the definition of responsibilities among agencies and instructions to those who are to prepare sections of the report.

#### Hungary

Hungary has proposed a project entitled "Project proposal for establishing a Central and Eastern European Cultural Heritage Network for supporting the Preparation of the Periodic Report for the Central and Eastern European Sub-region under the World Heritage Convention". This network would be established by the Hungarian National World Heritage Committee in the Ministry of Culture and implemented by the Department of Medieval Studies at the Central European University. The Secretariat is awaiting the support of other States Parties in the region to this initiative.

#### Poland

ICOMOS Poland has initiated a programme of preparation of state of conservation reports on the polish World Heritage sites. The one on Zamosc has been completed and others will follow in the coming years.

## **Nordic Countries**

The Directorate of Cultural Heritage of Norway has requested ICOMOS to collaborate in the preparation of state of conservation reports of the Norwegian World Heritage sites (Urnes Stave Church, Bryggen, Roros, Rock Drawings of Alta). Likewise, ICOMOS Sweden was involved in the preparation of a report on the Rock Carvings of Tanum, Sweden. Reports were prepared according to the periodic reporting format.

The Nordic World Heritage Office has initiated a "Pilot Project on the Development of a Technical Tool for UNESCO/WHC Periodic Reporting". The objective of this project is to co-operate with GRID-Arendal/UNEP on the development of a computer based tool for monitoring, reporting and follow-up.

### Workplan

The Secretariat is not in the position yet to propose a work plan for the preparation of the periodic reports. For this to be done, consultations will be required with States Parties and existing regional organizations and networks. Considering the limited human and financial resources, States Parties are encouraged to develop and propose (sub)regional activities and mechanisms for the preparation of reports, for (sub)regional collaboration and exchange and for the preparation of the regional synthesis report.

## **Budget**

A modest budget is proposed to be able to respond to (sub)regional initiatives from States Parties. Further budgetary proposals will be presented to the Committee at its twenty-fifth session in 2001.

### **Western Europe and North America**

Year	Activity	Budget
2001	Support to States Parties	US\$ 10,000

Year	Activity	Budget
2002	Support to States Parties	US\$ 20,000

## **Central and Eastern Europe**

Year	Activity	Budget
2001	Support to States Parties	US\$ 20,000

Year	Activity	Budget
2002	Support to States Parties	US\$ 30,000